

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT								
NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>WEIFANG SHAN</td> <td>12/17/2013</td> </tr> <tr> <td>ASHVINIKUMAR V. GAVAI</td> <td>12/19/2013</td> </tr> <tr> <td>JAMES AARON BALOG</td> <td>12/13/2013</td> </tr> </tbody> </table>		Name	Execution Date	WEIFANG SHAN	12/17/2013	ASHVINIKUMAR V. GAVAI	12/19/2013	JAMES AARON BALOG	12/13/2013
Name	Execution Date								
WEIFANG SHAN	12/17/2013								
ASHVINIKUMAR V. GAVAI	12/19/2013								
JAMES AARON BALOG	12/13/2013								
RECEIVING PARTY DATA									
Name:	BRISTOL-MYERS SQUIBB COMPANY								
Street Address:	ROUTE 206 AND PROVINCE LINE ROAD								
City:	PRINCETON								
State/Country:	NEW JERSEY								
Postal Code:	08543								
PROPERTY NUMBERS Total: 1									
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>PCT Number:</td> <td>US1360831</td> </tr> </tbody> </table>		Property Type	Number	PCT Number:	US1360831				
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PCT Number:	US1360831								
CORRESPONDENCE DATA									
<p>Fax Number:</p> <p>Email: patents@bms.com</p> <p><i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i></p> <p>Correspondent Name: BRISTOL-MYERS SQUIBB COMPANY</p> <p>Address Line 1: ROUTE 206 AND PROVINCE LINE ROAD</p> <p>Address Line 4: PRINCETON, NEW JERSEY 08543</p>									
ATTORNEY DOCKET NUMBER:	11776WOPCT								
NAME OF SUBMITTER:	GARY D. GREENBLATT								
Signature:	/gary d. greenblatt/								
Date:	01/07/2014								
<p>Total Attachments: 3</p> <p>source=20140102_ASG_11776WOPCT#page1.tif</p> <p>source=20140102_ASG_11776WOPCT#page2.tif</p> <p>source=20140102_ASG_11776WOPCT#page3.tif</p>									

ASSIGNMENT

We,

Weifang Shan, Ashvinikumar V. Gavai and James Aaron Balog

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Rt. 206 & Province Line Road, Princeton, NJ 08543, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

TRICYCLIC HETEROCYCLE COMPOUNDS

invented by us and described in PCT International Patent Application

Application No. PCT/US2013/60831, filed September 20, 2013

Including said PCT International Patent Application and any application claiming priority from said PCT International Patent Application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

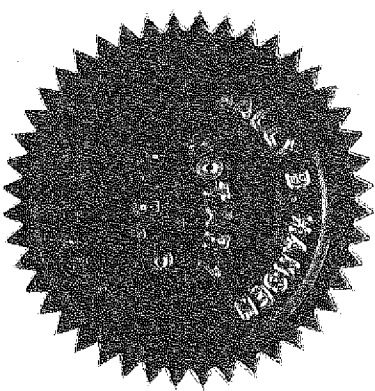
Signed this 17th day of December, 2013 by Weifang Shan
Weifang Shan

STATE OF NEW JERSEY)
)
COUNTY OF MERCER)

On the 17 day of December, 2013, before me came Weifang Shan to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Sally B. Hansen
Notary Public

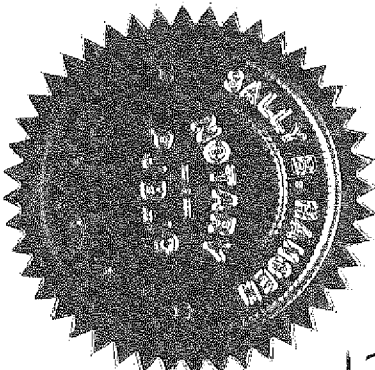
SALLY B. HANSEN
Notary Public of New Jersey
My Commission Expires June 6, 2015



Signed this 19 day of December, 2013 by Ashvinikumar V. Gavai
Ashvinikumar V. Gavai

STATE OF NEW JERSEY)
)
COUNTY OF MERCER)

On the 19 day of December, 2013, before me came Ashvinikumar V. Gavai to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

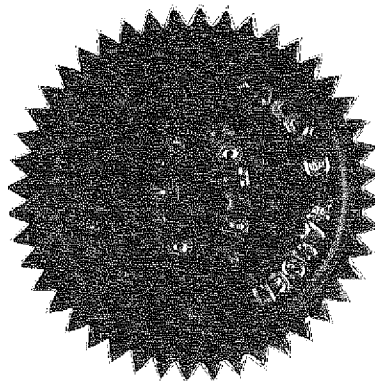


Sally B. Hansen
Notary Public
SALLY B. HANSEN
Notary Public of New Jersey
My Commission Expires June 6, 2015

Signed this 13th day of December, 2013 by J. Aaron Balog
James Aaron Balog

STATE OF NEW JERSEY)
)
COUNTY OF MERCER)

On the 13 day of December, 2013, before me came James Aaron Balog to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Sally B. Hansen
Notary Public
SALLY B. HANSEN
Notary Public of New Jersey
My Commission Expires June 6, 2015