

## PATENT ASSIGNMENT COVER SHEET

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NATURE OF CONVEYANCE:	ASSIGNMENT

## CONVEYING PARTY DATA

Name	Execution Date
ASHVINIKUMAR V. GAVAI	10/14/2013
YUFEN ZHAO	10/18/2013
DANIEL O'MALLEY	10/11/2013
CLAUDE A. QUESNELLE	10/11/2013
BRIAN E. FINK	10/15/2013
DEREK J. NORRIS	10/11/2013
WEN-CHING HAN	10/11/2013
GEORGE V. DELUCCA	10/15/2013

## RECEIVING PARTY DATA

Name:	BRISTOL-MYERS SQUIBB COMPANY
Street Address:	ROUTE 206 AND PROVINCE LINE ROAD
Internal Address:	PATENT DEPARTMENT
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08543

## PROPERTY NUMBERS Total: 1

Property Type	Number
PCT Number:	US1360799

## CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER: 12036-WO-PCT

NAME OF SUBMITTER: GARY GREENBLATT

Signature: /gary greenblatt/

Date: 01/08/2014

**Total Attachments: 6**

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ASSIGNMENT

We/I,

**Ashvinikumar V. Gavai, Yufen Zhao, Daniel O'Malley, Claude A. Quesnelle, Brian E. Fink, Derek J. Norris, Wen-Ching Han and George V. Delucca**

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Rt. 206 & Province Line Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**ALKYL, FLUOROALKYL-1,4-BENZODIAZEPINONE COMPOUNDS**

invented by us and described in PCT International Patent Application

**PCT/US2013/060799, filed September 20, 2013**

Including said PCT International Patent Application and any application claiming priority from said PCT International Patent Application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

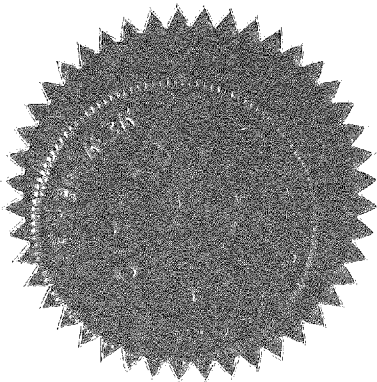
And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 14 day of October, 2013 by Ashvinikumar V. Gavai  
Ashvinikumar V. Gavai

STATE OF NEW JERSEY    )  
  )  
COUNTY OF MERCER    )

On the 14<sup>th</sup> day of October, 2013, before me came Ashvinikumar V. Gavai, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

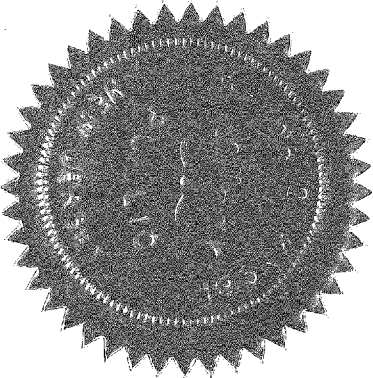
Maura O. Knobl  
Notary Public  
**MAURA O. KNOBL**  
Notary Public of New Jersey  
My Commission Expires Aug. 7, 2018



Signed this 18<sup>th</sup> day of October, 2013 by *Yufen Zhao*  
Yufen Zhao

STATE OF NEW JERSEY    )  
  )  
COUNTY OF MERCER    )

On the 18<sup>th</sup> day of October, 2013, before me came Yufen Zhao, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

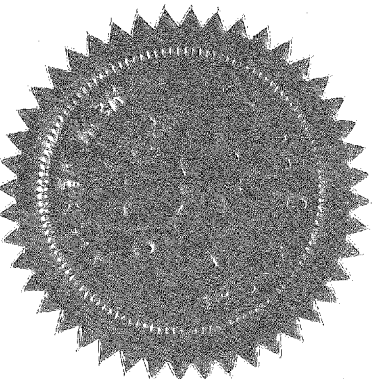


*Maura O. Knobl*  
Notary Public  
**MAURA O. KNOBL**  
Notary Public of New Jersey  
My Commission Expires Aug. 7, 2018

Signed this 11 day of October, 2013 by *D. O'Malley*  
Daniel O'Malley

STATE OF NEW JERSEY    )  
  )  
COUNTY OF MERCER    )

On the 11<sup>th</sup> day of October, 2013, before me came Daniel O'Malley, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



*Maura O. Knobl*  
Notary Public  
**MAURA O. KNOBL**  
Notary Public of New Jersey  
My Commission Expires Aug. 7, 2018

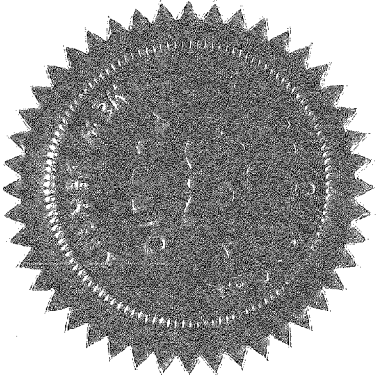


SEAL]

Signed this 11 day of OCTOBER, 2013 by Derek J. Norris  
Derek J. Norris

STATE OF NEW JERSEY )  
  )  
COUNTY OF MERCER     )

On the 11<sup>th</sup> day of October, 2013, before me came Derek J. Norris, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

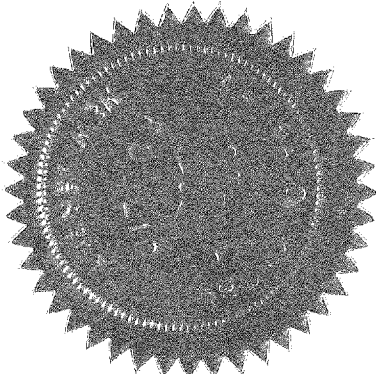


Maura O. Knobl  
Notary Public  
**MAURA O. KNOBL**  
Notary Public of New Jersey  
My Commission Expires Aug. 7, 2018

Signed this 11 day of October, 2013 by Wen-Ching Han  
Wen-Ching Han

STATE OF NEW JERSEY )  
  )  
COUNTY OF MERCER     )

On the 11<sup>th</sup> day of October, 2013, before me came Wen-Ching Han, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.



Maura O. Knobl  
Notary Public  
**MAURA O. KNOBL**  
Notary Public of New Jersey  
My Commission Expires Aug. 7, 2018

SEAL]

Signed this 15 day of October, 2013 by George V. Delucca  
George V. Delucca

STATE OF NEW JERSEY    )  
  )  
COUNTY OF MERCER    )

On the 15<sup>th</sup> day of October, 2013, before me came George V. Delucca, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Maura O. Knobl  
Notary Public

**MAURA O. KNOBL**  
Notary Public of New Jersey  
My Commission Expires Aug. 7, 2018

