#### 502623811 01/07/2014

#### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2670421

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY AGREEMENT		

### **CONVEYING PARTY DATA**

Name	Execution Date
AQUION, INC.	12/04/2013

#### **RECEIVING PARTY DATA**

Name:	JOHNSON BANK			
Street Address: 333 EAST WISCONSIN AVENUE				
City:	MILWAUKEE			
State/Country:	WISCONSIN			
Postal Code:	53202			

### PROPERTY NUMBERS Total: 4

Property Type	Number
Patent Number:	7736500
Patent Number:	7991490
Patent Number:	D449280
Patent Number:	D506142

#### **CORRESPONDENCE DATA**

**Fax Number**: (414)298-8097 **Phone**: 414-298-1000

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Correspondence will be sent via US Mail when the email attempt is unsuccessful.

Correspondent Name: ALEC D. SMYCZEK

Address Line 1: 1000 NORTH WATER STREET

Address Line 2: SUITE 1700

Address Line 4: MILWAUKEE, WISCONSIN 53202

	PATENT
Signature:	/Alec D. Smyczek/
NAME OF SUBMITTER:	ALEC D. SMYCZEK

PATENT 502623811 REEL: 031927 FRAME: 0870

Date:	01/07/2014
Total Attachments: 4 source=Aquion#page1.tif source=Aquion#page2.tif source=Aquion#page3.tif source=Aquion#page4.tif	

#### NOTICE OF SECURITY INTEREST IN PATENTS

THIS NOTICE OF SECURITY INTEREST IN PATENTS is made by and between AQUION, INC., a Delaware corporation, with its principal place of business located at 2080 E. Lunt Avenue, Elk Grove Village, Illinois 60007 (the "Company") and JOHNSON BANK, as administrative agent (the "Agent") with a place of business and address at 333 East Wisconsin Avenue, Milwaukee, Wisconsin 53202.

The parties acknowledge and agree as follows:

- A. The Company, WATER INGENUITY HOLDINGS CORP., a Delaware corporation, and CLEARWATER TECH, L.L.C., a Delaware limited liability company, have executed a General Intangibles Security Agreement dated as of even date herewith (as it may be amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement");
- B. The Company owns the patent applications and the patents listed on <u>Exhibit A</u> attached hereto (the "Patents"), which Patents are pending before or have been issued by the United States Patent and Trademark Office;
- C. Pursuant to the Security Agreement, the Company hereby grants to the Agent a security interest in and to all of the Patents, and in and to all proceeds and benefits thereof; and
- D. Capitalized terms not defined herein shall have their meaning as set forth in the Security Agreement.

[signature page follows]

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AQUION, INC., a Delaware corporation

BY
Name: Michael Madsen
Title: President

JOHNSON BANK, as Administrative Agent

Name: Title:

Signature Page to Notice of Security Interest in Patents

Dated as of	December	<u></u> 2013.
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AQUION, INC., a Delaware corporation

BY

Name: Michael Madsen

Title: President

JOHNSON-BANK, as Administrative Agent

DV.

Name: Robert A. Niclsen
Title: Senior Vice President

Signature Page to Notice of Security Interest in Patents

### EXHIBIT A

## U.S. Utility Patents of Aquion

PATENT NO.	ISSUE DATE	PATENT APP. NO.	FILING DATE	TITLE	NEXT MAINTENANCE FEE DUE	EXPIRATIO N DATE	OWNER
7,736,500 40880,00. 0008	June 15, 2010	11/316,075	Decembe r 22, 2005	Bypass Valve with Blending Feature	December 15, 2013	August 25, 2028	Aquion, Inc.
7,991,490 40880.00. 0002	August 2, 2011	11/295,423	Decembe r 5, 2005	Control Unit for Utility Treatment Systems	February 2, 2014	August 19, 2027	Aquion, Inc.

# U.S. Design Patents of Aquion

PATENT NO.	ISSUE DATE	PATENT APP. NO.	FILING DATE	TITLE	EXPIRATION DATE	OWNER
D449,280 40880.00. 0015	October 16, 2001	29/117,813	January 31, 2000	Water Softener Control Units	October 16, 2015	Aquion, Inc.
D506,142 40880.00. 0013	June 14, 2005	29/189,264	August 29, 2003	Container	June 14, 2019	Aquion, Inc. +

<sup>&</sup>lt;sup>†</sup> Corporate name in Registration of Patent is missing a comma.

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**RECORDED: 01/07/2014**