Form PTO-1595 (Rev. ()7/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET CAR S-122,624 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(les) 2. Name and address of receiving party(les) California Institute of Technology Name: United States Department of Energy Internal Address: _____ Additional name(s) of conveying party(ies) attached? Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave., S.W Execution Date(s) August 27, 2013 Assignment Merger City: Washington ☐ Security Agreement Change of Name ___ Joint Research Agreement State: D.C. Government Interest Assignment Country: USA _____ Zip: 20585 Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? ☐ Yes ✓ No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) Serial No. 13/007,389 Additional numbers attached? Yes ✓ No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Michael J. Dobbs 7. Total fee (37 CFR 1.21(h) & 3.41) \$ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account Enclosed Street Address: 9800 S. Cass Ave ✓ None required (government interest not affecting title) 8. Payment Information City: <u>Argonne</u> a. Credit Card Last 4 Numbers _____ ____Zip:60439 Expiration Date Phone Number: 630-252-2164 b. Deposit Account Number Fax Number: 630-252-2779 Authorized User Name Email Address: Confirmatory.License@ch.doe.gov 9. Signature:

Signature Date

Michael J. Dobbs #58.093 Total number of pages including cover

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT

sheet, attachments, and documents:

REEL: 031946 FRAME: 0060

EIR: 1073501-10-0015

License to the United States Government

Invention Title: Isomerization of Sugars

Inventors: Manuel Moliner-Marin; Yuriy Roman-Leshkov; Mark E. Davis; Eranda Nikolla

Patent Application Serial No: 13/007,389

US Filing/Issue Date: 1/14/2011

Grant/Contract Identification No: DE-SC0001004 / T-104652

Foreign Applications filed/intended in (countries):

The invention identified above is a Subject Invention under 35 U.S.C. 200, et seq., and the Standard Patent Rights clause at 37 CFR 401.14 or FAR 52.227-11, which are included among the terms of the above-identified grant/contract award from the DOE - Chicago. This document is confirmatory of:

- 1. The nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the invention described in any patent application and in any and all divisions, continuations, and continuations in part, and in any and all patent and re-issues granted thereon throughout the world; and
- All other rights acquired by the Government by reason of the above identified grant/contract award and the laws and regulations which are applicable to the award.

The Government is here by granted an irrevocable power to inspect and make copies of the above-identified patent application.

California Institute of Technology 1200 E. California Boulevard Pasadena, California 91125

Dated: August 27, 2013

Fred Faring, Chief Innovation Officer

CIT File No: 5527

PATENT REEL: 031946 FRAME: 0061

RECORDED: 12/06/2013