

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT2690891

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	01/13/2014
RECEIVING PARTY DATA	
Name:	Lawrence Livermore National Security, LLC
Street Address:	2300 First Street
Internal Address:	Suite 204
City:	Livermore
State/Country:	CALIFORNIA
Postal Code:	94550
PROPERTY NUMBERS Total: 3	
Property Type	Number
Patent Number:	7591834
Patent Number:	8187252
Application Number:	13460596
CORRESPONDENCE DATA	
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Correspondent Name:	LAWRENCE LIVERMORE NATIONAL LAB
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Address Line 4:	LIVERMORE, CALIFORNIA 94551
ATTORNEY DOCKET NUMBER:	IL-11255
NAME OF SUBMITTER:	MARK H. LOUGH

Signature:	/Mark H. Lough/
Date:	01/21/2014
Total Attachments: 4 source=IL-11255_ExecWWA#page1.tif source=IL-11255_ExecWWA#page2.tif source=IL-11255_ExecWWA#page3.tif source=IL-11255_ExecWWA#page4.tif	

WORLD-WIDE ASSIGNMENT OF PATENT RIGHTS

For good and valuable consideration, the receipt of which is hereby acknowledged, The Regents of the University of California, a California corporation having its statewide administrative offices at 1111 Franklin Street, Oakland, CA 94607-5200, hereinafter referred to as "ASSIGNOR," hereby sells, conveys, assigns and transfers to Lawrence Livermore National Security, LLC, having offices at 2300 First Street, Suite 204, Livermore, CA 94550, hereinafter referred to as "ASSIGNEE," ASSIGNOR'S entire right, title and interest in and to the following:

(a) any provisional or non-provisional patent application or patent identified in the attached Schedule;

(b) any continuation or divisional patent application entitled to the priority date of an application or patent identified in the attached Schedule;

(c) any continuation-in-part or substitute application based on a patent application or patent described in (a) or (b) above, but only with regard to and to the extent of subject matter that either:

- (i) is described in and entitled to the priority date of an application or patent identified in (a) or (b) above; or
- (ii) is described in a continuation-in-part or substitute application filed prior to October 1, 2007 and based on an application or patent described in (a) or (b) above; or
- (iii) was conceived or actually reduced to practice prior to October 1, 2007;

(d) any patent already issued as of the effective date of this Assignment or issued after the date of this Assignment from an application described in (a) or (b) above, or from an application described in (c) above but subject to the same limitations as set forth in (c) above;

(e) any patent resulting from extension, renewal, reinstatement, reissue or re-examination, or any interference, opposition, or judicial proceeding, directed to a patent application or patent described above; and

(f) any foreign equivalent or counterpart of an application or patent described above.

This Assignment is subject to the terms of Contract No. W-7405-ENG-48 between ASSIGNOR and the National Nuclear Security Administration of the U.S. Department of Energy (DOE), and is subject to any license granted by ASSIGNOR under said Contract to the U.S. Government or to any third party pursuant to any license agreement, option agreement or other agreement.

ASSIGNOR and ASSIGNEE each covenant that they will, upon request, provide each other promptly with information and documents relating to inventions, inventors, and other information pertinent to patent applications, patents and legal equivalents thereof covered by this Assignment, to the extent known and accessible to them, and will cooperate and testify as to the same in any interference, litigation or proceeding related thereto; and ASSIGNOR will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce such applications, patents and legal equivalents, as may be necessary or desirable to carry out the purposes thereof.

ASSIGNEE hereby assumes responsibility for prosecution and maintenance of applications and patents conveyed by this Assignment, including costs and expenses of

prosecuting U.S. and non-U.S. patent applications conveyed by this Assignment, any U.S or non-U.S. administrative or judicial proceedings or litigation involving patent applications, patents or legal equivalents conveyed by this Assignment, and any maintenance or renewal costs associated with same, including costs and expenses incurred by ASSIGNEE prior to as well as subsequent to the date of this Assignment.

ASSIGNOR hereby grants to ASSIGNEE and its attorneys the power to insert in this Assignment any further identification that may be necessary or desirable to comply with the rules or requirements of the U.S. Patent and Trademark office, or any other non-U.S. patent office, for recordation of this Assignment.

This Assignment shall be effective as of the latter of the dates on which it is executed by ASSIGNOR and ASSIGNEE.

Agreed and Accepted By:

THE REGENTS OF THE UNIVERSITY OF
CALIFORNIA

Date: 1/13/14

By:

William T. Tucker
William T. Tucker, Ph.D. M.B.A.
Executive Director
Innovation Alliances and Services

State of California

ss.

County of Alameda

On 1/13/14 before me, Annette Hayes, notary public, personally appeared:
William T. Tucker

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

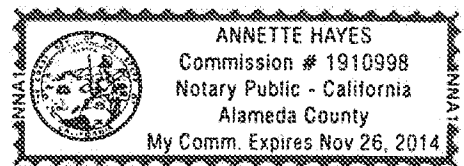
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal

Notary Public Signature:

Annette Hayes

Notary Public Seal:



Agreed and Accepted By:

LAWRENCE LIVERMORE NATIONAL
SECURITY, LLC

Date: 1/6/14

By: [Signature]

Name: Mark H. Lough

Title: Deputy General Counsel

State of CA)

ss.

County of Alameda)

On 1-6-14 before me, Kathleen C. Quinzon-Phillips, personally appeared: Mark H. Lough who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

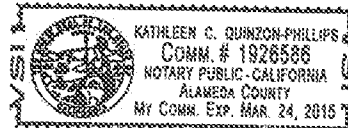
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal

Notary Public Signature:

Notary Public Seal:

Kathleen C Quinzon Phillips



WORLD-WIDE ASSIGNMENT OF PATENT RIGHTS

Schedule
IL-11255 Family

COUNTRY	PATENT APPLICATION NO. or PATENT NO.	FILING OR GRANT DATE	TITLE
US	7,591,834	09/22/2009	SHAPE MEMORY SYSTEM WITH INTEGRATED ACTUATION USING EMBEDDED PARTICLES
US	8,187,252	05/29/2012	SHAPE MEMORY SYSTEM WITH INTEGRATED ACTUATION USING EMBEDDED PARTICLES
US	13/460,596	04/30/2012	SHAPE MEMORY SYSTEM WITH INTEGRATED ACTUATION USING EMBEDDED PARTICLES

All of the foregoing applications naming inventors identified as:

Patrick R. Buckely, Duncan J. Maitland