# 502645958 01/22/2014

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2692566

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CARLO M. CROCE	11/05/2005
CHANG-GONG LIU	11/07/2005
GEORGE A. CALIN	11/07/2005
CINZIA SEVIGNANI	11/04/2005

#### **RECEIVING PARTY DATA**

Name:	THOMAS JEFFERSON UNIVERSITY	
Street Address:	1020 LOCUST STREET	
Internal Address:	SUITE M34	
City:	PHILADELPHIA	
State/Country:	PENNSYLVANIA	
Postal Code:	19107-6799	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	13972759

### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER: 3589.1018-015

NAME OF SUBMITTER: ANNE AMISON

PATENT

502645958 REEL: 032020 FRAME: 0396

Signature:	/Anne Amison/
Date:	01/22/2014
Total Attachments: 8 source=35891018015Asg#page1.tif source=35891018015Asg#page2.tif source=35891018015Asg#page3.tif source=35891018015Asg#page4.tif source=35891018015Asg#page5.tif source=35891018015Asg#page6.tif source=35891018015Asg#page7.tif source=35891018015Asg#page8.tif	



Docket No. 3589.1018-008

<u>Joint</u>

## **ASSIGNMENT**

WHEREAS, we, Carlo M. Croce, Chang-Gong Liu, George A. Calin and Cinzia Sevignani, have invented a certain improvement in Diagnosis and Treatment of Cancers with MicroRNA Located in or Near Cancer-Associated Chromosomal Features described in an application for Patent,

[]	the specification of which is about to be filed in the United States Patent Office (use for utility (37 CFR $\S$ 1.53(b)) and design filings only);
[]	is about to be filed in the United States Patent Office as a Provisional Application
[X]	the specification of which is United States Application No. 11/194,055, filed July 29, 2005;
[]	the specification of which is a Patent Cooperation Treaty Application, International Application No. [ ], filed [ ], which designates the United States of America [and assigned United States Application No. [ ]];
[]	which was patented under United States Patent No. [ ].

WHEREAS, Thomas Jefferson University (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having a usual place of business at 1015 Walnut Street, Suite 705, Philadelphia, Pennsylvania 19107 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; I hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our sea	als the
date set forth below.	
/_//\	-
Inventor's Signature:	
Carlo M. Croce	
State/Commonwealth	
of OHIO	
County of FRONKIN	
On this	gned
personally known to me, or	
proved to me through satisfactory evidence of identification, which was	
to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.	
(SEAL) Notary Public	/
Sharon R Packo (print name)	
SHARON R. PALKO  Notery Public, State of Ohio	
William C & real Communication may a second	

	Docket No. 3589.1018-00
	Inventor's Signature: Charge of S
	Chang-Gong Liu State/Commonwealth
	of 0410
	County of FROUKLIN
	On this day of _NOVEWBER, 2005_, before me, the undersigned notary public, personally appeared Chang-Gong Liu,
	personally known to me, or
	proved to me through satisfactory evidence of identification, which was
	to be the person whose name was signed on the foregoing instrument in my presence, and macknowledged that he/she signed the foregoing instrument as his/her free act and deed.
	Notary Public
0	SHARON R. PALKO Notary Public, State of Ohio My Commission Expires 06-06-07  (print name)
1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	My Commission expires 06/64/07
	Inventor's Signature:
	State/Commonwealth  George A. Calin
	of OHIO
	County of FRONKUN
	On this day of _November, 20_5, before me, the undersigned notary public, personally appeared George A. Calin,
	personally known to me, or
	proved to me through satisfactory evidence of identification, which was
ÄÄÏÄÄ	to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.
	SHARON R. PALKO  Notary Public
TE OF	Notary Public, State of Ohio My Commission Expires 06-06-07  My Commission Description (print name)
*********	My Commission expires 06/06/07

Docket No. 3589.1018-008

Inventor's S	ignature:		
	-	Cinzia Sevignan	ì
State/Comm	ionwealth		
of			
County of			
On this	day of	, 20	, before me, the undersigned
perso	c, personally appeared Cinzia Seconally known to me, or ed to me through satisfactory evi		ation, which was
	rson whose name was signed on t ed that he/she signed the foregoin		
		***************************************	(print name)
	My Commission expires	//	

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Docket No. 3589.1018-008

Joint

## **ASSIGNMENT**

WHEREAS, we, Carlo M. Croce, Chang-Gong Liu, George A. Calin and Cinzia Sevignani, have invented a certain improvement in Diagnosis and Treatment of Cancers with MicroRNA Located in or Near Cancer-Associated Chromosomal Features described in an application for Patent,

[]	the specification of which is about to be filed in the United States Patent Office (use for utility (37 CFR § 1.53(b)) and design filings only);
[ ]	is about to be filed in the United States Patent Office as a Provisional Application
[X]	the specification of which is United States Application No. 11/194,055, filed July 29, 2005;
	the specification of which is a Patent Cooperation Treaty Application, International Application No. [ ], filed [ ], which designates the United States of America [and assigned United States Application No. [ ]];
	which was patented under United States Patent No. [ ].

WHEREAS, Thomas Jefferson University (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, and having a usual place of business at 1015 Walnut Street, Suite 705, Philadelphia, Pennsylvania 19107 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue on said invention; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein; I hereby expressly authorize the filing of an International Patent Application under the Patent Cooperation Treaty which corresponds to and claims the priority of the above-identified application;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of any future applications including substitution, reissue, divisional or continuation applications, and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request each Patent Office and the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said invention to said ASSIGNEE, its successors, assigns, and legal representatives.

Inventor's Signature:			
inventor s orginature.		Carlo M.	~*^*^
State/Commonwealth		Carlo IVI.	Croce
of			
County of		**************************************	
County of			
On this day	of	, 20	, before me, the undersigne
notary public, personally ap	peared Carlo M.	Croce,	
personally known to	me, or		
proved to me throug	h satisfactory evic	dence of identific	ation, which was
to be the person whose nam acknowledged that he/she s	-		rument in my presence, and nis/her free act and deed.
(SEAL)			Notary Public
()			
	***************************************	-	(print name)

Inventor's Signature:				
Chang-Gong Liu				
State/Commonwealth				
of		•.		
County of			a	
On this day of notary public, personally appe	eared Chang-Gong Li	, 20, be	fore me, the undersigned	
personally known to m	e, or			
proved to me through s	atisfactory evidence o	f identification, w	hich was	
to be the person whose name acknowledged that he/she sign				
(SEAL)			Notary Public	
	·	A STATE OF THE STA	(print name)	
	My Commission expir	res /	<u>/</u>	
Inventor's Signature:				
C+/C	G	George A. Calin	r	
State/Commonwealth				
of				
County of				
On this day of notary public, personally appe	ared George A. Calin	, 20, bei	Fore me, the undersigned	
personally known to me	e, or			
proved to me through s	atisfactory evidence of	fidentification, w	hich was	
to be the person whose name was signed on the foregoing instrument in my presence, and acknowledged that he/she signed the foregoing instrument as his/her free act and deed.				
(SEAL)			Notary Public	
· · · · · · · · · · · · · · · · · · ·			(print name)	
	My Commission expir	res/·	/	

Docket No. 3589.1018-008
 Inventor's Signature: Cimplo Senhuolui
Cinzia Sevignani
State/Commonwealth 7
of tennsylvania
County of Philadelphia
On this day of
notary public, personally appeared Cinzia Sevignani,
personally known to me, or
X proved to me through satisfactory evidence of identification, which was
provide the through satisfactory evidence of identification, which was
employee photo identification
to be the person whose name was signed on the foregoing instrument in my presence, and
acknowledged that he/she signed the foregoing instrument as his/her free act and deed.
(SEAL) Clarke 9. Reine Notary Public
(print name)
My Commission expires
NOTARIAL SEAL
CLAIRE T. REINKE, Notary Public
City of Philadelphia, Phila. County
WY Commission Expires August 5, 2009

**RECORDED: 01/22/2014**