

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2692994

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	ASSIGNMENT														
CONVEYING PARTY DATA															
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>Primit Parikh</td> <td>01/28/2011</td> </tr> <tr> <td>Yuvaraj Dora</td> <td>01/28/2011</td> </tr> <tr> <td>Yifeng Wu</td> <td>01/28/2011</td> </tr> <tr> <td>Umesh Mishra</td> <td>01/28/2011</td> </tr> <tr> <td>Nicholas Fichtenbaum</td> <td>01/28/2011</td> </tr> <tr> <td>Rakesh K. Lal</td> <td>10/02/2012</td> </tr> </tbody> </table>		Name	Execution Date	Primit Parikh	01/28/2011	Yuvaraj Dora	01/28/2011	Yifeng Wu	01/28/2011	Umesh Mishra	01/28/2011	Nicholas Fichtenbaum	01/28/2011	Rakesh K. Lal	10/02/2012
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<table border="1"> <tr> <td>Name:</td> <td>TRANSPHORM INC.</td> </tr> <tr> <td>Street Address:</td> <td>115 CASTILLIAN DRIVE</td> </tr> <tr> <td>City:</td> <td>GOLETA</td> </tr> <tr> <td>State/Country:</td> <td>CALIFORNIA</td> </tr> <tr> <td>Postal Code:</td> <td>93117</td> </tr> </table>		Name:	TRANSPHORM INC.	Street Address:	115 CASTILLIAN DRIVE	City:	GOLETA	State/Country:	CALIFORNIA	Postal Code:	93117				
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Application Number:	14102750														
CORRESPONDENCE DATA															
Fax Number:	(877)769-7945														
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Email:	apsi@fr.com														
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>															
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Address Line 2:	P.O.BOX 1022														
Address Line 4:	MINNEAPOLIS, MINNESOTA 55440-1022														
ATTORNEY DOCKET NUMBER:	22930-0029002														

NAME OF SUBMITTER:	MELISSA ALEXANDER
Signature:	/Melissa Alexander/
Date:	01/22/2014
Total Attachments: 4 source=Assignment#page1.tif source=Assignment#page2.tif source=Assignment#page3.tif source=Assignment#page4.tif	

ASSIGNMENT

For valuable consideration, we, PRIMIT PARIKH of 6832 Shadowbrook Drive, Goleta, California 93117, YUVARAJ DORA of 732 Embarcadero del Norte, Goleta, California 93117, YIFENG WU of 528 Fireside Lane, Goleta, California 93117, UMESH MISHRA of 2040 Creekside Road, Montecito, California 93108, and NICHOLAS FICHTENBAUM of 35 Romaine Drive, #B, Santa Barbara, California 93105, hereby assign to: Transphorm Inc., a corporation of Delaware having a place of business at: 107 South La Patera Lane, Goleta, California 93117; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled III-N DEVICE STRUCTURES AND METHODS, filed February 2, 2011, and assigned U.S. Serial Number 13/019,733, and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date: 1/28/11

P - A Pundh.
PRIMIT PARIKH

Witnessed by:

Name: [Signature]
Name: [Signature]

Date: 1/28/11

Date: 1/28/11

Date: 1/28/2011

D. Yuvaraj
YUVARAJ DORA

Witnessed by:

Name: [Signature]
Name: [Signature]

Date: 1/28/2011

Date: 1/28/2011

Date: 1/28/2011

[Signature]
YIFENG WU

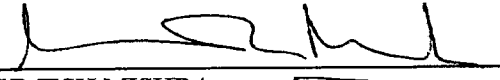
Witnessed by:

Name: [Signature]
Name: [Signature]

Date: 1/28/2011

Date: 1/28/2011

Date: 1/28/11


UMESH MISHRA

Witnessed by:

Name: [Signature]

Date: 1/28/2011

Name: _____

Date: _____

Date: 1/28/2011


NICHOLAS FICHTENBAUM

Witnessed by:

Name: [Signature]

Date: 1/28/2011

Name: [Signature]

Date: 1/28/2011

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ASSIGNMENT

For valuable consideration, I, RAKESH K. LAL of 6754 Abrego Road, #19, Isla Vista, California 93117, hereby assign to: Transphorm Inc., a corporation of Delaware having a place of business at: 115 Castillian Drive, Goleta, California 93117; and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by me, entitled III-N DEVICE STRUCTURES AND METHODS, filed February 2, 2011, and assigned U.S. Serial Number 13/019,733, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

Date: Oct 2, 2012 Rhe
RAKESH K. LAL

Witnessed by:

Name: Noriko Kazumi Date: 10/02/2012
 Name: Ila Be-4 Date: 10/2/2012

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