502650553 01/24/2014

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2697161

UBMISSION TYPE:			NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT			
CONVEYING PARTY	DATA				
		N	Name	Execution Date	
BRYANT A. MORRIS				01/24/2014	
JEFFREY R. RIES				01/24/2014	
RECEIVING PARTY	DATA				
Name:	CATERPILLA	CATERPILLAR INC.			
Street Address:	100 N.E. ADA		REET		
City:	PEORIA				
State/Country:	ILLINOIS				
Postal Code:	61629-9510				
PROPERTY NUMBE	Туре		Number		
	Туре	141636			
Property	Туре	141636			
Property Application Number: CORRESPONDENCI	Type E DATA		641		
Property Application Number: CORRESPONDENCI Fax Number:	Type E DATA (202	2)408-44	400		
Property Application Number: CORRESPONDENCI	Type E DATA (202 202-	2)408-44	400		
Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will	Type E DATA (202 202- region be sent via US Ma	2)408-44 -408-40 onal-des	641 400 00 sk@finnegan.com of the email attempt is unsuccessful.		
Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will Correspondent Name	Type E DATA (202 202- region be sent via US Maion CAT	2)408-44 -408-400 onal-des ail when	641 400 00 sk@finnegan.com of the email attempt is unsuccessful. LAR/FINNEGAN, HENDERSON, L.L.P.		
Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will	Type E DATA (202 202- region be sent via US Mate E CAT 901	2)408-44 -408-400 onal-des <i>ail when</i> ERPILL NEW Y	641 400 00 sk@finnegan.com of the email attempt is unsuccessful.	113	
Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will Correspondent Name Address Line 1:	Type E DATA (202 202- region be sent via US Mail E: CAT 901 WAS	2)408-44 -408-400 onal-des <i>ail when</i> ERPILL NEW Y	400 00 sk@finnegan.com of the email attempt is unsuccessful. LAR/FINNEGAN, HENDERSON, L.L.P.	113	
Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will Correspondent Name Address Line 1: Address Line 4:	Type E DATA (202 202- region be sent via US Ma E: CAT 901 WAS	2)408-44 -408-400 onal-des <i>ail when</i> ERPILL NEW Y	641 400 00 sk@finnegan.com of the email attempt is unsuccessful. LAR/FINNEGAN, HENDERSON, L.L.P. FORK AVENUE, NW FON, DISTRICT OF COLUMBIA 20001-44	113	
Property Application Number: CORRESPONDENCI Fax Number: Phone: Email: Correspondence will Correspondent Name Address Line 1: Address Line 4: ATTORNEY DOCKET	Type E DATA (202 202- region be sent via US Ma E: CAT 901 WAS	2)408-44 -408-400 onal-des <i>ail when</i> ERPILL NEW Y	400 00 sk@finnegan.com of the email attempt is unsuccessful. LAR/FINNEGAN, HENDERSON, L.L.P. FORK AVENUE, NW TON, DISTRICT OF COLUMBIA 20001-44	113	

PATENT REEL: 032042 FRAME: 0993

source=08350_1213_MORRIS_Assignment#page2.tif

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.1213-00 Client Ref. No. 13-1487

ASSIGNMENT

WHEREAS I/We, the below named inventor(s), hereinafter referred to as Assignor(s), have made an invention entitled:

FILTER ELEMENT HAVING END CAP SEAL AND FILTER ASSEMBLY

for which I/We will or have filed a patent application; and

WHEREAS, Caterpillar Inc., a corporation of Delaware whose post office address is 100 N.E. Adams Street, Peoria, Illinois 61629-9510 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, and in and to the patent application for this invention and any and all patents to be issued upon this application throughout the world;

NOW THEREFORE, be it known that for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, and this application, and all divisions, and continuations thereof, and all patents which may be granted thereon, and all reissues thereof, as well as all rights to claim priority in any country or jurisdiction or patent office on the basis of this application, and all applications for patents which may hereafter be filed for this invention in any country or jurisdiction or patent office, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request any official of any country or jurisdiction or patent office whose duty it is to issue patents on applications as described above, to issue all patents for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE HEREBY covenant that I/We have the full right to convey the interest assigned by this Assignment, and I/We have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in any country or jurisdiction or patent office, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns;

AND, I/WE HEREBY authorize the attorneys/representatives that I/WE have empowered to prosecute this

Application to insert here in parentheses the country, application number, and official filing date (
FULL NAME OF SOLE OR FIRST ASSIGNOR Bryant A. MORRIS	ASSIGNOR'S SIGNATURE Buyer Horn	DATE 1/24/13			
ADDRESS 5400 W. Sienna Ln. Apt. 6201		CITIZENSHIP United States			
Peoria, Illinois 61615					

Page 1 of 2

SOLE/JOINT INVENTION (Worldwide Rights) Attorney Docket No. 08350.1213-00 Client Ref. No. 13-1487

1. WITNESS NAME	WITNESS SIGNATURE	DATE
Mark T. AlloTT	Mal et all the	1/24/2014
Mark T. AlloTT ADDRESS 7021 SOUTH BEID	les Road	CITIZENSHIP A.
Maple TON IL 615	_	
2. WITHESS NAME	WITNESS SIGNATURE	DATE
Jeffrey A Ries	Offer K Rin	1/24/14
Jeffry / Ries ADDRESS 1330 N I. Jeger	dere (+	CITIZENSHIP UJA
	61548	
2. FULL NAME OF SECOND ASSIGNOR, IF ANY	ASSIGNOR'S SIGNATURE	DATE
Jeffrey R. RIES	leffy A hier	1-24-14
ADDRESS 1330 North Independence Court	CITIZENSHIP United States	
Metamora, Illinois 61548		
1. WITNESS NAME	WITNESS SIGNATURE	DATE
Joe Unseth	Che and	Jan 24, 2014
2312 W Brooklyn	Pl.	CITIZENSHIP
Dunlap, 12 61529		
2. WITNESS NAME	WITNESS SIGNATURE	DATE
Adam C. Smith	Man C. Swing	1/24/2014
address 226 W Knowille St	÷. /	CITIZENSHIP
Brinfield, IL	61517	

Page 2 of 2

PATENT REEL: 032042 FRAME: 0995