

Form PTO-1595 (Rev. 06-12)  
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U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Parry John Guilford

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 9 February 2007

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Pacific Edge Biotechnology Limited

Internal Address: Level 6, Otago House

Street Address: 481 Moray Place

City: Dunedin

State: \_\_\_\_\_

Country: New Zealand

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

12/843,435

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: D. Benjamin Borson, Ph.D.

Internal Address: Suite 200

Street Address: 1078 Carol Lane

City: Lafayette

State: CA

Zip: 94549

Phone Number: (925) 310-2060

Docket Number: PEBL-01021US1

Email Address: bborson@borsonlaw.com

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$40

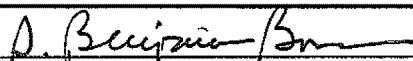
- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 504089

Authorized User Name D. Benjamin Borson, Ph.D.

### 9. Signature:

  
Signature

December 12, 2013

Date

D. Benjamin Borson, Ph.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 504089 12843435

**DEED OF ASSIGNMENT**

**THIS DEED** made effective as of the 9th day of February 2007

**BETWEEN** **PARRY JOHN GUILFORD**, a New Zealand citizen of 38 Riccarton Road East, East Taieri, Dunedin, New Zealand  
\_\_\_\_\_  
("the Assignor")

**AND** **PACIFIC EDGE BIOTECHNOLOGY LIMITED**, a New Zealand company of T D Scott & Co Ltd, Level 6, Otago House, 481 Moray Place, Dunedin, New Zealand  
("the Assignee")

**WHEREAS** the Assignor is the inventor of an invention entitled **URINE GENE EXPRESSION RATIOS FOR DETECTION OF CANCER** ("the Invention"), which is the subject of PCT Application No. PCT/NZ2007/000029 ("the PCT Application") and New Zealand Patent Application No. 545243 ("the New Zealand Application").

**AND WHEREAS** the Assignor has agreed with the Assignee that his whole right, title and interest to and in the Invention, the PCT Application and the New Zealand Application is vested in the Assignee, including all copyright and like rights subsisting in original literary or artistic works related to the Invention ("the Copyright").

**AND WHEREAS** the parties wish to confirm this in writing and for the consideration herein stated.

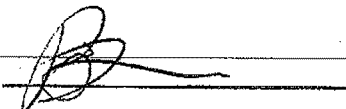
**NOW THIS DEED WITNESSES** that in consideration of the sum of one dollar (\$1.00) paid by the Assignee to the Assignor, the receipt of which the Assignor hereby acknowledges.

**THE ASSIGNOR DOES HEREBY ASSIGN** and transfer unto the Assignee the whole right, title and interest to and in the Invention, the PCT Application and the New Zealand Application, and any Letters Patent granted thereon, to and in the Copyright, and to the use of the Assignee and the Assignee's successors, and assigns absolutely.

**AND THE ASSIGNOR AGREES** to do all such things and to execute without further consideration any and all documents necessary to enable the Assignee to pursue all its rights to which it is entitled by virtue of this deed.

IN WITNESS WHEREOF the Assignor and the Assignee each have executed this deed to be effective as of the date mentioned above.

PARRY JOHN GUILFORD



Witness

NAOMI LANE  
Name (in Capitals)

B ST CLAIR CLOSE  
Address

ST CLAIR PARK, DUNEDIN

PACIFIC EDGE BIOTECHNOLOGY LIMITED

  
Authorised Officer

NAOMI LANE  
Name (in Capitals)

ADMINISTRATION MANAGER  
Title

Witness

ANDREW HOLYOAKE  
Name (in Capitals)

41 RYEHILL ST  
Address

CANERSHAM, DUNEDIN