

Form PTO-1595 (Rev. 06-12)  
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Sun and Young Optical Technology

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 5/17/2013

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

### 2. Name and address of receiving party(ies)

Name: Sun and Young Sunglasses Co., Ltd.

Internal Address:

Street Address: (710) No. 113, Tzu Chiang Rd.

Yung Kang Dist.

City: Tainan

State:

Country: Taiwan, R.O.C.

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

B. Patent No.(s)

7,946,705

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Morton J. Rosenberg

Internal Address: Rosenberg, Klein & Lee

Street Address: 3458 Ellicott Center Drive, Suite 101

City: Ellicott City

State: MD

Zip: 21043

Phone Number: 410-465-6678

Docket Number: MR957-2355

Email Address:

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

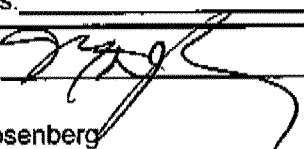
- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 50-5298

Authorized User Name

### 9. Signature:



Signature

12/11/2013

Date

Morton J. Rosenberg

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 7

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Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

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700513435

PATENT

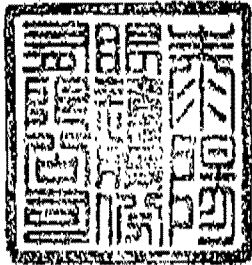
REEL: 032068 FRAME: 0792

CH \$40.00 505298 794670

第 3 頁第 1 頁

(公司印章)

(代表公司負責人印章)

|                                                                                   |                                                                                   |
|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
|  |  |
|-----------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|

## 股份有限公司變更登記表

|        |                                                                                                                                        |
|--------|----------------------------------------------------------------------------------------------------------------------------------------|
| 變更預查編號 | 1 0 2 0 1 9 6 8 7                                                                                                                      |
| 公司統一編號 | 6 9 8 2 8 4 4 0                                                                                                                        |
| 公司聯絡電話 | (06) - 2 3 2 3 1 0 8                                                                                                                   |
| 僑外投資事業 | <input type="checkbox"/> 是 <input checked="" type="checkbox"/> 否 公開發行 <input type="checkbox"/> 是 <input checked="" type="checkbox"/> 否 |
| 陸資     | <input type="checkbox"/> 是 <input checked="" type="checkbox"/> 否                                                                       |
| 原名稱    | 泰陽光學科技 股份有限公司                                                                                                                          |

印章請用油性印泥蓋章, 並勿超出框格。

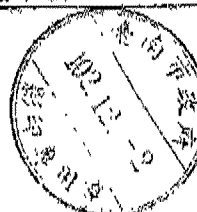
|                                                  |                                                                     |               |                              |
|--------------------------------------------------|---------------------------------------------------------------------|---------------|------------------------------|
| V 一、公司名稱(變更後)                                    | 泰陽眼鏡 股份有限公司                                                         |               |                              |
| 二、(郵遞區號)公司所在地<br>(含郵政市區村里)                       | (710) 台南市永康區東橋里自強路113號2樓                                            |               |                              |
| 三、代表公司負責人                                        | 許燦欣                                                                 | 四、每股金額(阿拉伯數字) | 10,000 元                     |
| 五、資本總額(阿拉伯數字)                                    | 20,000,000 元                                                        |               |                              |
| 六、實收資本總額(阿拉伯數字)                                  | 20,000,000 元                                                        |               |                              |
| 七、股份總數                                           | 2,000 股                                                             | 八、已發行股份總數     | 1. 普通股 2,000 股<br>2. 特別股 0 股 |
| 九、董事人數任期                                         | 3 人 自 100 年 03 月 25 日 至 103 年 03 月 24 日<br>(含獨立董事 0 人)              |               |                              |
| 十、監察人人數任期<br>或<br><input type="checkbox"/> 審計委員會 | 1 人 自 100 年 03 月 25 日 至 103 年 03 月 24 日<br>本公司設置審計委員會由全體獨立董事組成替代監察人 |               |                              |
| V 十一、公司章程修正(訂定)日期                                | 102 年 04 月 10 日                                                     |               |                              |

※變更登記  
日期及號

102.5.17 府經工商字第 1020388229 號

※

## 公務記載蓋章欄

|                                                                                                                                                                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|   |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

- (一)申請表一式二份, 於核辦後一份存核辦單位, 一份退還申請公司收執。
- (二)為配合電腦作業, 請打字或電腦以黑色印填清楚, 數字部份請採用阿拉伯數字, 並請勿折疊、挖補、塗貼或塗改。
- (三)張各欄如變更登記日期及號、權號等, 申請人請勿填寫。
- (四)違反公司法作資金專款公司資本不實, 公司負責人最高可處五年以下有期徒刑。
- (五)為配合郵政作業, 請於所在地加填郵遞區號。
- (六)第十欄僅填報公司章程內容, 於「監察人人數任期」前註記圖, 並填寫人數任期; 或於「審計委員會」前註記圖, 並填寫人數任期免填。

PATENT

REEL: 032068 FRAME: 0793

共 3 頁第 2 頁

## 泰陽眼鏡

## 股份有限公司變更登記表

註：1. 欄位不足請自行複製，來使用之欄位可自行刪除，若本頁不足使用，請複製全頁後自行增減欄位。  
 2. 有、無續頁，請於頁尾勾選一項，並請勿刪除。

|                                            |          |              |           |     |
|--------------------------------------------|----------|--------------|-----------|-----|
| 十二、本次股本增加明細<br>(股本若為8、9、10、11之併購者，請加填第十四欄) | 實收增加     | 1. 現金        | 0 元       |     |
|                                            |          | 2. 現金以外財產    | 0 元       |     |
|                                            |          | 3. 股份交換      | 0 元       |     |
|                                            |          | 4. 認股權憑證轉換股份 | 0 元       |     |
|                                            | 權益科目調整   | 5. 資本公積      | 0 元       |     |
|                                            |          | 6. 法定盈餘公積    | 0 元       |     |
|                                            |          | 7. 股息及紅利     | 0 元       |     |
|                                            | 併購       | 8. 合併        | 0 元       |     |
|                                            |          | 9. 分割受讓      | 0 元       |     |
|                                            |          | 10. 股份轉換     | 0 元       |     |
|                                            |          | 11. 收購       | 0 元       |     |
|                                            | 其他       | 12. 債權抵換股款   | 0 元       |     |
|                                            |          | 13. 公司債轉換股份  | 0 元       |     |
|                                            |          | 元            |           |     |
| 十三、本次股本減少明細                                | 1. 彌補虧損  | 0 元          | 2. 退還股款   | 0 元 |
|                                            | 3. 註銷庫藏股 | 0 元          | 4. 合併銷除股份 | 0 元 |
|                                            | 5. 分割減資  | 0 元          | 6. 收回特別股  | 0 元 |
|                                            |          |              | 元         | 元   |
|                                            |          |              | 元         | 元   |
| 十四、被併購公司資料明細                               |          |              |           |     |
| 併購種類                                       | 併購基準日    | 被併購公司        |           |     |
|                                            |          | 統一編號         | 公司名稱      |     |
|                                            |          |              |           |     |
|                                            |          |              |           |     |

有續頁請打 ☒ V無續頁請打 ☐

公務記載蓋章欄

PATENT

REEL: 032068 FRAME: 0794

共 3 頁第 3 頁

## 泰陽眼鏡

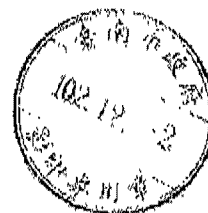
## 股份有限公司變更登記表

註:1.欄位不足請自行複製,未使用之欄位可自行刪除,若本頁不足使用,請複製全頁後自行增減欄位。

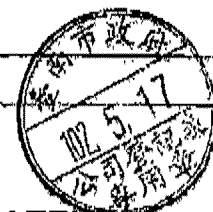
2.有、無欄頁,請於頁尾勾選一項,並請勿刪除。

| 所 營 事 業 |               |                         |  |
|---------|---------------|-------------------------|--|
| 編號      | 代 碼           | 營 業 項 目 說 明             |  |
| 1       | C E 0 1 0 3 0 | 光學儀器製造業。✓               |  |
| 2       | F 1 1 0 0 2 0 | 眼鏡批發業。✓                 |  |
| 3       | F 2 1 0 0 2 0 | 眼鏡零售業。✓                 |  |
| 4       | F 1 0 6 0 2 0 | 日常用品批發業。✓               |  |
| 5       | F 1 0 6 0 3 0 | 棋具批發業。✓                 |  |
| 6       | F 4 0 1 0 1 0 | 國際貿易業。✓                 |  |
| 7       | C F 0 1 0 1 1 | 醫療器材製造業。✓               |  |
| 8       | F 1 0 8 0 3 1 | 醫療器材批發業。✓               |  |
| 9       | Z Z 9 9 9 9 9 | 除許可業務外,得經營法令非禁止或限制之業務。✓ |  |

| 董 事、監 察 人 或 其 他 負 責 人 名 單      |     |                 |                         |         |
|--------------------------------|-----|-----------------|-------------------------|---------|
| 編號                             | 職 稱 | 姓 名 (或 法 人 名 稱) | 身 分 證 號 (或 法 人 統 一 編 號) | 持 有 股 份 |
| (郵遞區號) 住 所 或 居 所 (或 法 人 所 在 地) |     |                 |                         |         |
| 1                              | 董事長 | 許燦欣             | D 1 2 0 1 1 4 7 5 0     | 1,575   |
| (710) 台南市永康區東橋里自強路113號         |     |                 |                         |         |
| 2                              | 董事  | 許力云             | D 2 2 2 2 5 6 8 2 4     | 125     |
| (701) 台南市東區南聖里裕農二街6號           |     |                 |                         |         |
| 3                              | 董事  | 徐琰鈴             | D 2 2 1 2 5 3 4 4 5     | 25      |
| (701) 台南市東區崇善里20鄰崇善十一街12巷10號5樓 |     |                 |                         |         |
| 4                              | 監察人 | 許淳喻             | D 2 2 2 1 4 2 7 3 6     | 175     |
| (701) 台南市東區南聖里裕農二街6號           |     |                 |                         |         |

有續頁請打 ☐無續頁請打 ☒

公務記載蓋章欄



PATENT

REEL: 032068 FRAME: 0795

(Seal of Company)

(Seal of Company's President)

|                               |                                      |                               |               |
|-------------------------------|--------------------------------------|-------------------------------|---------------|
| Check<br>when<br>changed<br>Y | SUN AND YOUNG<br>SUNGLASSES CO., LTD | Check<br>when<br>changed<br>Y | Chan-Hsin Hsu |
|-------------------------------|--------------------------------------|-------------------------------|---------------|

## Incorporation Change Registration Form

Pre-Checking No.

102019687

Unified Business No.

69828440

Company Tel No.

06-2323105

Foreign investment  
businessYes ☒ No ☒

Publication

Yes ☒ No ☒

Chinese Investment

Yes ☒ No ☒

Original name

SUN AND YOUNG OPTICAL TECHNOLOGY CO., LTD.

Please use oil-based ink when affixing seal and keep seal within the borders of the box.

|                                                                                                                        |                                                                                                                                                       |                                         |                                                 |
|------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------|
| 1. Company Name (After changed)                                                                                        | SUN AND YOUNG SUNGLASSES CO., LTD.                                                                                                                    |                                         |                                                 |
| 2. Add. of Company (put zip code in bracketed area)                                                                    | (710) NO. 113, TZU CHIANG RD., YUNG KANG DIST., TAINAN, TAIWAN, R.O.C.                                                                                |                                         |                                                 |
| 3. Seal of Company's President                                                                                         | Chan-Hsin Hsu                                                                                                                                         | 4. Per share value (in Arabic numerals) | NT\$ 10,000                                     |
| 5. Capitalization of Company (in Arabic numerals)                                                                      | NT\$ 20,000,000                                                                                                                                       |                                         |                                                 |
| 6. Actual Capitalization of Company (in Arabic numerals)                                                               | NT\$ 20,000,000                                                                                                                                       |                                         |                                                 |
| 7. Total Number of Shares                                                                                              | 2,000                                                                                                                                                 | 8. Total Number of Issued Shares        | 1. Ordinary Share 2,000<br>2. Preferred Share 0 |
| 9. Number and Term of Directors                                                                                        | 3 persons<br>(Independent directors: 0 persons) From 25 MAR. 2011 to 24 MAR. 2014                                                                     |                                         |                                                 |
| 10. <input checked="" type="checkbox"/> Number and term of directors<br>or<br><input type="checkbox"/> Audit Committee | 1 persons From 25 MAR. 2011 to 24 MAR. 2014<br>The company set up audit committee which consists of all independent directors instead of supervisors. |                                         |                                                 |
| 11. Correction (set) Date for Articles of Incorporation                                                                | 10 APR. 2013                                                                                                                                          |                                         |                                                 |

※ Date and document number  
for approval of registration

17 MAY 2013 Official Approval No 10203882290

※ File number

## Sealed Column of Public Records

This copy is identical to the original document.  
SUN AND YOUNG SUNGLASSES CO., LTD.

Tainan City Government  
2 DEC. 2013  
Seal of Copy

Tainan City Government  
17 MAY 2013  
Seal of Company's Registration Sheet

1. Application shall be made in duplicate. After processing, one copy will be retained by the processing unit and the other shall be issued to the applicant.
2. Please use type or use computer printout to complete this form. Use Arabic numerals. Do not fold, erase, label or alter this form.
3. Leave the boxes marked with sign ※ in blank, e.g. date and document number for approval of registration or file number.
4. The president of the company can be subject to a maximum sentence of imprisonment for up to 5 years, if the capitalization stated above is not true and violates the company laws.
5. Please fill in the zip code in order to comply with postal operations.
6. Please mark ☒ before "Number and term of directors" and fill in column 10, or mark ☐ before "Audit Committee" and it is unnecessary to fill in this column according to the content of articles of incorporation.

Change Registration Form for SUN AND YOUNG SUNGLASSES CO., LTD.

Note: 1. If the space provided is insufficient, you may copy and attach sections of this form, and delete unnecessary sections to make space. You can also attach a separate page for additional information.

2. If you are including additional pages, please indicate so by checking the appropriate box at the end of this page.

|                                           |                                                                                      |                            |                              |                                                     |                               |   |
|-------------------------------------------|--------------------------------------------------------------------------------------|----------------------------|------------------------------|-----------------------------------------------------|-------------------------------|---|
| Check<br>when<br>changes<br>V             | 12. Details of increased Capital this time                                           | Increase of asset          | 1. Cash                      | 0                                                   |                               |   |
|                                           | (If the capital is the combination of types 8, 9, 10 and 11, please fill column 14.) |                            | Equity adjustment            | 2. Property other than cash                         | 0                             |   |
|                                           |                                                                                      |                            |                              | 3. Share exchange                                   | 0                             |   |
|                                           |                                                                                      |                            |                              | 4. Shares for convertible stock option certificates | 0                             |   |
|                                           |                                                                                      | 5. Capital reserve         |                              | 0                                                   |                               |   |
|                                           |                                                                                      | Acquirement & Merge        | 6. Statutory surplus reserve | 0                                                   |                               |   |
|                                           | 7. Dividends and bonuses                                                             |                            | 0                            |                                                     |                               |   |
|                                           | Others                                                                               |                            | 8. Merger                    | 0                                                   |                               |   |
|                                           |                                                                                      |                            | 9. Split and assignment      | 0                                                   |                               |   |
|                                           |                                                                                      |                            | 10. Share exchange           | 0                                                   |                               |   |
|                                           | 13. Details of decreased Capital this time                                           | 1. Supplement for losses   | 0                            | 2. Refund of shares                                 | 0                             |   |
|                                           |                                                                                      |                            | 3. Cancelled treasury shares | 0                                                   | 4. Cancelled shares by Merger | 0 |
|                                           |                                                                                      |                            | 5. Reduced capital for split | 0                                                   | 6. Withdraw preferred shares  | 0 |
| 14. Details of acquired or merged company |                                                                                      |                            |                              |                                                     |                               |   |
| Type of Acquirement & Merger (A&M)        | A&M Base Day                                                                         | Acquired or Merged Company |                              |                                                     |                               |   |
|                                           |                                                                                      | Unified Business No.       | Company Name                 |                                                     |                               |   |
|                                           |                                                                                      |                            |                              |                                                     |                               |   |
|                                           |                                                                                      |                            |                              |                                                     |                               |   |

Check here in case of additional pages. V

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Check here in case of no additional pages. V

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Tainan City Government  
2 DEC 2013  
Seal of Copy

Tainan City Government  
17 MAY 2013  
Seal of Company's Registration Sheet

Business PATENT

REEL: 032068 FRAME: 0797

Change Registration Form for **SUN AND YOUNG SUNGLASSES CO., LTD.**

Note 1. If the space provided is insufficient, you may copy and attach sections of this form, and delete unnecessary sections to make space. You can also attach a separate page for additional information.

2. If you are including additional pages, please indicate so by checking the appropriate box at the end of this page.

| Check when changed<br>V | Business |          |                                                                                                  |
|-------------------------|----------|----------|--------------------------------------------------------------------------------------------------|
|                         | No.      | Code No  | Description of business scope                                                                    |
|                         | 1        | C'E01030 | Manufacturing Industry for Optical Instruments                                                   |
|                         | 2        | F110020  | Wholesale for Eyeglasses                                                                         |
|                         | 3        | F210020  | Retail for Eyeglasses                                                                            |
|                         | 4        | F106020  | Wholesale for Daily Necessities                                                                  |
|                         | 5        | F106030  | Wholesale for Molds                                                                              |
|                         | 6        | F401010  | International Trade                                                                              |
|                         | 7        | CF01011  | Manufacturing Industry for Medical Equipment                                                     |
|                         | 8        | F108031  | Wholesale for Medical Equipment                                                                  |
|                         | 9        | Z799999  | Besides the allowable business, the business which is not prohibited or limited can be operated. |

| Check when changed<br>V | List of Directors, Supervisors or Other Officers |            |                                                                                                 |                                             |                       |
|-------------------------|--------------------------------------------------|------------|-------------------------------------------------------------------------------------------------|---------------------------------------------|-----------------------|
|                         | No.                                              | Title      | Name (or Corporate Name)                                                                        | Identity Card (or Corporate Uniform Number) | Shareholding (shares) |
|                         | 1                                                | President  | Chan-Hsun Hsu                                                                                   | D120114750                                  | 1,575                 |
|                         |                                                  | (710)      | NO.113, TZU CHIANG RD., YUNG KANG DIST., TAINAN, TAIWAN, R.O.C.                                 |                                             |                       |
|                         | 2                                                | director   | Li-Yun Hsu                                                                                      | D222256824                                  | 125                   |
|                         |                                                  | (701)      | NO.6, YUNONG 2ND ST., NANSHENG VIL., EAST DIST., TAINAN CITY, TAIWAN, R.O.C.                    |                                             |                       |
|                         | 3                                                | director   | Mei-Ling Hsu                                                                                    | D221253445                                  | 25                    |
|                         |                                                  | (701)      | 5F., NO 10, LN. 12, CHONGSHAN 11TH ST., CHONGSHAN VIL., EAST DIST., TAINAN CITY, TAIWAN, R.O.C. |                                             |                       |
|                         | 4                                                | supervisor | Chun-Yu Hsu                                                                                     | D222142736                                  | 175                   |
|                         |                                                  | (701)      | NO.6, YUNONG 2ND ST., NANSHENG VIL., EAST DIST., TAINAN CITY, TAIWAN, R.O.C.                    |                                             |                       |

Check here in case of additional pages. V ☐

Check here in case of no additional pages. V ☒

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|-------------------------------------------------------|-------------------------------------------------------------------------------|
| Tainan City Government<br>2 DEC. 2013<br>Seal of Copy | Tainan City Government<br>17 MAY 2013<br>Seal of Company's Registration Sheet |