

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2705756

SUBMISSION TYPE:	NEW ASSIGNMENT								
NATURE OF CONVEYANCE:	ASSIGNMENT								
CONVEYING PARTY DATA									
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>PETER G. SPIEGEL</td> <td>01/29/2014</td> </tr> <tr> <td>MICHAEL A. PEDERSEN</td> <td>01/29/2014</td> </tr> </tbody> </table>		Name	Execution Date	PETER G. SPIEGEL	01/29/2014	MICHAEL A. PEDERSEN	01/29/2014		
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PETER G. SPIEGEL	01/29/2014								
MICHAEL A. PEDERSEN	01/29/2014								
RECEIVING PARTY DATA									
<table border="1"> <tr> <td>Name:</td> <td>RESPONSE PRODUCTS LIMITED</td> </tr> <tr> <td>Street Address:</td> <td>11TH FLOOR, ONE PACIFIC PLACE</td> </tr> <tr> <td>City:</td> <td>88 QUEENSWAY</td> </tr> <tr> <td>State/Country:</td> <td>HONG KONG</td> </tr> </table>		Name:	RESPONSE PRODUCTS LIMITED	Street Address:	11TH FLOOR, ONE PACIFIC PLACE	City:	88 QUEENSWAY	State/Country:	HONG KONG
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PROPERTY NUMBERS Total: 1									
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14168342</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14168342				
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CORRESPONDENCE DATA									
Fax Number:	(404)853-8806								
Phone:	404-853-8000								
Email:	jeremy.spier@sutherland.com								
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>									
Correspondent Name:	SUTHERLAND ASBILL & BRENNAN LLP								
Address Line 1:	999 PEACHTREE STREET, N.E.								
Address Line 2:	SUITE 2300								
Address Line 4:	ATLANTA, GEORGIA 30309								
ATTORNEY DOCKET NUMBER:	31686-0013								
NAME OF SUBMITTER:	JEREMY D. SPIER, REG. NO. 64,544								
Signature:	/Jeremy D. Spier/								
Date:	01/30/2014								

This document serves as an Oath/Declaration (37 CFR 1.63).

Total Attachments: 7

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PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

U.S. Patent Appln. No. _____
Filing Date: _____

Attorney Docket No. 31686-0013

PARTIES TO THE ASSIGNMENT

Assignor(s):

PETER G. SPIEGEL
14724 Ventura Blvd., Suite 200
Sherman Oaks, CA 91403

MICHAEL A. PEDERSEN
14724 Ventura Blvd., Suite 200
Sherman Oaks, CA 91403

Assignee:

RESPONSE PRODUCTS LIMITED
11th Floor, One Pacific Place
88 Queensway
HONG KONG

AGREEMENT

WHEREAS, ASSIGNOR(S) (listed above) are inventor(s) of an invention entitled "**SYSTEMS AND METHODS FOR WATER FILTRATION**" (Invention) for which a non-provisional application for United States Letters Patent

- ☒ was filed on _____, and accorded U.S. application no. _____; or
- ☐ will be filed concurrently with the submission of this executed PATENT ASSIGNMENT for recordation.

ASSIGNOR(S) hereby authorizes and requests ASSIGNEE'S legal representatives, of Sutherland Ashill and Brennan LLP, associated with Customer No. 29052 to insert in the header above and here in parentheses (U.S. application no. _____, filed _____) this application's U.S. application number and filing date, when known.

PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

U.S. Patent Appln. No. _____
Filing Date: _____

Attorney Docket No. 31686-0013

WHEREAS, ASSIGNEE, a corporation, is desirous of acquiring the entire right, title and interest in and to the Invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sell, assign and transfer unto ASSIGNEE its successors and assigns, the entire right, title and interest in and to said Invention and any improvements thereto, said Application and any and all letters patent which may be granted for said Invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all provisional, divisions, reissues, re-examinations and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said Invention, application and all letters patent on said Invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR(S) had this assignment, transfer and sale not been made. ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said Invention to ASSIGNEE. ASSIGNOR(S) agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said Invention, for litigation regarding said letters patent, or for the purpose of protecting title to said Invention or letters patent therefor.

AND ASSIGNOR(S) DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or

PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

U.S. Patent Appln. No. _____
Filing Date: _____

Attorney Docket No. 31686-0013

may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said letters patent before or after issuance.

AND ASSIGNOR(S) DOES HEREBY covenant and agree that ASSIGNOR(S) will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Invention or said application, and testify in any legal proceeding, assist in the preparation of any other patent property relating to the application and the Invention or any improvements made thereto, sign/execute all lawful papers, provide all requested documents, execute and make all rightful oaths and/or declarations in connection with the application and the Invention including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Invention in all countries. These provisions are binding upon our heirs, legal representatives, administrators, and assigns.

CORRESPONDENCE ADDRESS

I hereby direct all correspondence and telephone calls in connection with this application be addressed to the number associated with the customer number listed below, which is:

Customer No.

29052

PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

U.S. Patent Appln. No. _____
Filing Date: _____

Attorney Docket No. 21686-0013

DECLARATION

As a below named inventor, I hereby declare that:

This declaration is directed to:

- ☐ the attached application; or
☒ United States application number _____ filed on _____; or
☐ PCT international application number _____ filed on _____
(I hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, and acknowledge the duty to disclose to the US Patent & Trademark Office all information known to me to be material to the patentability as defined in 37 CFR 1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

Legal name of inventor: **PETER G. SPIEGEL**

Peter G. Spiegel

Date

WITNESS: _____

Date

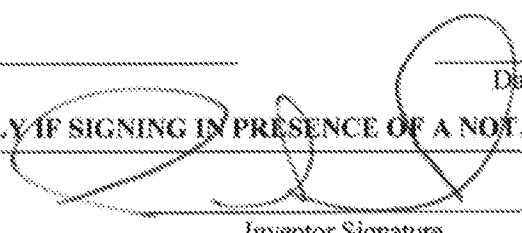
WITNESS: _____

Date

OR USE SECTION BELOW ONLY IF SIGNING IN PRESENCE OF A NOTARY

1.27.14

Date



Inventor Signature

STATE OF _____

ss.

COUNTY OF _____

This _____ day of _____, _____ before me personally came the above-named **Peter G. Spiegel** to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

State of California

County of Los Angeles

On January 29, 2014 before me, J.E. Garrett, Notary Public

(Here insert name and title of the officer)

personally appeared Peter G. Spiegel

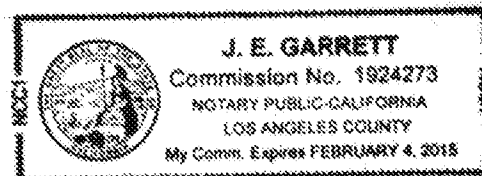
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he she they executed the same in his her their authorized capacity(ies), and that by his her their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

J. E. Garrett
Signature of Notary Public

(Notary Seal)



ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Patent Assignment and Declaration

(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages 5 Document Date 1/29/14

(Additional information)

CAPACITY CLAIMED BY THE SIGNER

- ☒ Individual (s)
☐ Corporate Officer

(Title)

- ☐ Partner(s)
☐ Attorney-in-Fact
☐ Trustee(s)
☐ Other _____

INSTRUCTIONS FOR COMPLETING THIS FORM

Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e., certifying the authorized capacity of the signer). Please check the document carefully for proper notarial wording and attach this form if required.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by enclosing off incorrect forms (i.e., he/she/they, is/are) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
 - ❖ Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
 - ❖ Indicate title or type of attached document, number of pages and date.
 - ❖ Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e., CEO, CFO, Secretary).
- Securely attach this document to the signed document.

PATENT ASSIGNMENT AND DECLARATION (37 CFR 1.63)

U.S. Patent Appln. No. _____
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Attorney Docket No. 31686-0013

DECLARATION

As a below named inventor, I hereby declare that:

This declaration is directed to:

- ☐ the attached application; or
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Legal name of inventor: **MICHAEL A. PEDERSEN**

Michael A. Pedersen

Date

WITNESS: _____

Date

WITNESS: _____

Date

OR USE SECTION BELOW ONLY IF SIGNING IN PRESENCE OF A NOTARY

1/29/2014

Date

Michael A. Pedersen

Inventor Signature

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **Michael A. Pedersen**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal _____

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County of Los Angeles

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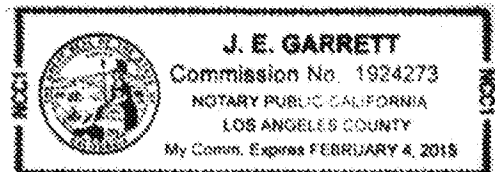
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he ~~he/she/they~~ executed the same in his ~~his/her/their~~ authorized capacity(ies), and that by his ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

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