

Form PTO-1595 (Rev. 03-11)
OMB No. 0651-0027 (exp. 03/31/2015)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Sung-Jin Kwon (10/24/2013), Young-Hoon Kim (10/24/2013), Soon-Ho Ahn (10/24/2013), Dong-Myung Kim (10/24/2013),

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

2. Name and address of receiving party(ies)

Name: LG Chem, Ltd.

Internal Address: _____

Street Address: _____

128, Yeoul-daero
Yeoungdeungpo-gu

City: Seoul

State: _____

Country: Republic of Korea Zip: 150-721

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

14/104,226

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kelly Y. Hwang
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.:

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: 908-654-5000

Fax Number: 908-654-7866

Email Address: mccormier@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☒ Authorized to be charged to deposit account

☐ Enclosed

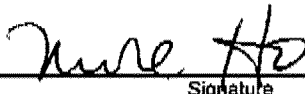
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 12-1095

Authorized User Name Nicole Ho

9. Signature:



Signature

January 6, 2014

Date

Nicole Ho - 64,482

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

RECORDATION FORM COVER SHEET (PTO-1595) (supplemental sheet)	
Additional Conveying Party(ies)/Execution Date(s) (1. Continued): Ki-Woong Kim (10/24/2013), Sung-Han Yoon (10/24/2013), and Seung-Min Ryu (10/24/2013)	
Additional Assignees (2. Continued):	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Assignee Name: _____	
Internal Address: _____	
Street Address: _____	
City: _____ State: _____ Country: _____ Zip: _____	
Additional Applications and/or Patents (4. Continued):	
Additional Patent Application Numbers 4A. Continued:	Additional Patent Numbers 4B. Continued:
Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	

Docket No.: G2012-0303US**Assignment of Application**

WHEREAS, I (WE)	Sung-Jin KWON, Young-Hoon KIM, Soon-Ho AHN, Dong-Myung KIM, Ki-Woong KIM, Sung-Han YOON and Seung-Min RYU
LG Chemical Development Corp., 104-1 Munji-dong, Yuseong-gu, Daejeon 305-380, Republic of Korea; LG Chemical Development Corp., 104-1 Munji-dong, Yuseong-gu, Daejeon 305-380, Republic of Korea; LG Chemical Development Corp., 104-1 Munji-dong, Yuseong-gu, Daejeon 305-380, Republic of Korea; LG Chemical Development Corp., 104-1 Munji-dong, Yuseong-gu, Daejeon 305-380, Republic of Korea; LG Chemical Development Corp., 104-1 Munji-dong, Yuseong-gu, Daejeon 305-380, Republic of Korea; LG Chemical Development Corp., 104-1 Munji-dong, Yuseong-gu, Daejeon 305-380, Republic of Korea; and LG Chemical Development Corp., 104-1 Munji-dong, Yuseong-gu, Daejeon 305-380, Republic of Korea	, respectively,
have invented certain new and useful improvements in:	
ELECTRODE ASSEMBLY HAVING STEPPED PORTION, AS WELL AS BATTERY CELL, BATTERY PACK, AND DEVICE INCLUDING THE ELECTRODE ASSEMBLY	
for which an application for Letters Patent was executed on	

(Application No.	14/104,226	, Filed	December 12, 2013), and
WHEREAS,	LG CHEM, LTD.			
(hereinafter referred to as "ASSIGNEE") having a place of business at: 128, Yeoui-daero, Yeoungdeungpo-gu, Seoul 150-721, Republic of Korea				

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I (WE), by these presents do sell, assign and transfer unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

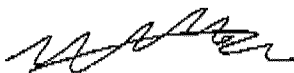
I (WE) hereby authorize and request the Patent Office Officials in the United States and Its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title

and interest in and to the same, for the sole use and behalf of said ASSIGNEE, its (his) successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I (WE) agree that I (WE) will communicate to said ASSIGNEE or its (his) representatives any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grant(s) the firm of Lerner, David, Littenberg, Krumholz & Mentlik, LLP, 600 South Avenue West, Westfield, NJ 07090 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 2013.10.24



(Signature of Inventor)
Sung-Jin KWON

Date: 2013.10.24



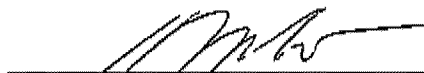
(Signature of Inventor)
Young-Hoon KIM

Date: 2013.10.24



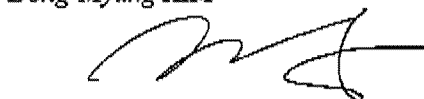
(Signature of Inventor)
Soon-Ho AHN

Date: 2013.10.24



(Signature of Inventor)
Dong-Myung KIM

Date: 2013.10.24



(Signature of Inventor)
Ki-Woong KIM

Date: 2013.10.24



(Signature of Inventor)
Sung-Han YOON



Date: _____

2013.10.24.

(Signature of Inventor)

Seung-Min RYU

Lerner, David, Littenberg, Krumholz & Mentlik, LLP
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Westfield, NJ 07090