

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT2710488

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>ROBERT KLOTZ</td> <td>12/19/2013</td> </tr> <tr> <td>KEVIN R. KETTERER</td> <td>12/19/2013</td> </tr> </tbody> </table>		Name	Execution Date	ROBERT KLOTZ	12/19/2013	KEVIN R. KETTERER	12/19/2013				
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ROBERT KLOTZ	12/19/2013										
KEVIN R. KETTERER	12/19/2013										
RECEIVING PARTY DATA											
<table border="1"> <tr> <td>Name:</td> <td>MINE SAFETY APPLIANCES COMPANY</td> </tr> <tr> <td>Street Address:</td> <td>1000 CRANBERRY WOODS DRIVE</td> </tr> <tr> <td>City:</td> <td>CRANBERRY</td> </tr> <tr> <td>State/Country:</td> <td>PENNSYLVANIA</td> </tr> <tr> <td>Postal Code:</td> <td>16066</td> </tr> </table>		Name:	MINE SAFETY APPLIANCES COMPANY	Street Address:	1000 CRANBERRY WOODS DRIVE	City:	CRANBERRY	State/Country:	PENNSYLVANIA	Postal Code:	16066
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PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14138860</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14138860						
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CORRESPONDENCE DATA											
<p>Fax Number: (412)945-5933</p> <p>Phone: 412-471-8815</p> <p>Email: assignments@webblaw.com</p> <p><i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i></p> <p>Correspondent Name: THE WEBB LAW FIRM</p> <p>Address Line 1: 420 FT. DUQUESNE BLVD</p> <p>Address Line 2: ONE GATEWAY CENTER, SUITE 1200</p> <p>Address Line 4: PITTSBURGH, PENNSYLVANIA 15222</p>											
ATTORNEY DOCKET NUMBER:	2934-133382										
NAME OF SUBMITTER:	NATHAN J. PREPELKA										
Signature:	/Nathan J. Prepelka/										
Date:	02/03/2014										

PATENT

Total Attachments: 5

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**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Robert Klotz  
Kevin R. Ketterer

**2. Name and address of receiving party(ies)**

Name: Mine Safety Appliances Company

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) Dec 19, 2013

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

Street Address: 1000 Cranberry Woods Drive

City: Cranberry

State: PA

Country: US Zip: 16066

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

14/138,860

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Richard L. Byrne

Internal Address: The Webb Law Firm

Street Address: One Gateway Center  
420 Ft. Duquesne Blvd., Ste 1200

City: Pittsburgh

State: PA Zip: 15222

Phone Number: 412-471-8815

Fax Number: 412-945-5933

Email Address: assignments@webblaw.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ n/a**

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers 1916  
Expiration Date 05/2015

b. Deposit Account Number 23-0650

Authorized User Name \_\_\_\_\_

**9. Signature:**



Signature

February 3, 2014

Date

Nathan J. Prepelka, Reg. No. 43,016

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1460, Alexandria, V.A. 22313-1460

Atty No. 2934-133382

**ASSIGNMENT**

WHEREAS, as a below named inventor, I have invented certain new and useful improvements in

**ADJUSTMENT MECHANISM FOR A HEADBAND ARRANGEMENT OF A SAFETY  
HELMET**

(Invention Title)

☒ for which a United States Provisional Patent application was filed 8/30/2013 on \_\_\_\_\_ (mm/dd/yyyy) and bears Application Number 61/872,090 (hereinafter "said application").

AND/OR

☐ for which I have this day executed an application for a United States Patent (hereinafter "said application").

AND/OR

☒ for which an application for a United States Patent was filed on \_\_\_\_\_ (mm/dd/yyyy) and bears Application Number \_\_\_\_\_ (hereinafter "said application").

AND/OR

☐ for which an international patent application was filed under the Patent Cooperation Treaty on \_\_\_\_\_ (mm/dd/yyyy), bearing Application No. \_\_\_\_\_ (hereinafter "said application").

AND, WHEREAS, Mine Safety Appliances Company, a corporation of the Commonwealth of Pennsylvania, having a place of business at 1000 Cranberry Woods Drive, Cranberry, PA 16066, hereinafter called the "assignee", is desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration paid to me by said assignee, the receipt of which is hereby acknowledged, I as the inventor or as one of the inventors, hereinafter the assignor(s), do hereby assign, sell and transfer unto said assignee the full and exclusive right, title and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, continuations-in-part, renewals and/or extensions thereof. Such assignment extends to the full ends of the terms of these applications and patents as fully and entirely as the same would have been held and enjoyed by me had this Assignment not been made.

I hereby authorize and request any attorney associated with The Webb Law Firm, Customer No. 28289, to insert here in parentheses (Application No. 14/138,860, filed 12/23/2013) the filing date and application number of said application when known.

DOMESTIC  
Application No. 14/138,860

Attorney Docket No. 2934-133382

I covenant that I am the lawful owner(s) of said application, inventions and improvements, that the same are unencumbered, that no license has been granted to make, use or vend the said inventions or improvements or any of them, and that I have the full right to make this Assignment.

And for the consideration aforesaid, I agree individually and, if applicable, jointly that I will communicate to said assignee or the representatives thereof any facts known to me respecting said inventions and improvements, and will, upon request but without expense to me, testify in any legal proceedings; sign all lawful papers, execute all divisional, reissue, continuation, continuation-in-part, renewal and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts deemed necessary or expedient by said assignee or by counsel for said assignee to assist or enable said assignee to obtain and enforce full benefits from the rights and interests herein assigned. This Assignment shall be binding upon my heirs, executors, administrators and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns, as the case may be, of said assignee.

1. FULL NAME OF ASSIGNOR:

Robert Klotz

RESIDENCE:

Jefferson Hills, PA

EXECUTED this 19<sup>th</sup> day of Dec, 2013

Robert Klotz  
SIGNATURE

STATE of Pennsylvania

COUNTY of Butler

On this 19<sup>th</sup> day of December, in the year 2013, before me Christine A. Krolicki

DAY

MONTH

YEAR

NOTARY PUBLIC NAME

a notary public, personally appeared

Robert Klotz

NAME OF DOCUMENT SIGNER

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/~~she~~ executed the same. Witness my hand and official seal.

Christine A. Krolicki

NOTARY PUBLIC

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal

Christine A. Krolicki, Notary Public

Cranberry Twp., Butler County

My Commission Expires June 26, 2015

MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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☒ Checked Box indicates 1 additional page(s) for inventor signatures.

DOMESTIC  
Application No. 14/138,860

Attorney Docket No. 2934-133382

2. FULL NAME OF ASSIGNOR:

Kevin R. Ketterer

RESIDENCE:

Portersville, PA

EXECUTED this 19<sup>th</sup> day of Dec, 2013

Kevin R. Ketterer

SIGNATURE

STATE of Pennsylvania )

COUNTY of Butler )

On this 19<sup>th</sup> day of December, in the year 2013, before me Christine A. Krolicki  
DAY MONTH YEAR NOTARY PUBLIC NAME

a notary public, personally appeared

Kevin R. Ketterer

NAME OF DOCUMENT SIGNER

proved on the basis of satisfactory evidence to be the person whose name is subscribed to this instrument, and acknowledged he/she executed the same. Witness my hand and official seal.

Christine A. Krolicki

COMMONWEALTH OF PENNSYLVANIA

NOTARY PUBLIC

Notarial Seal  
Christine A. Krolicki, Notary Public  
Cranberry Twp., Butler County  
My Commission Expires June 26, 2015  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

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