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S-135,252

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

California Institute of Technology

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) September 24, 2013

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

2. Name and address of receiving party(ies)

Name: United States Department of Energy

Internal Address: _____

Street Address: 1000 Independence Ave. S.W

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 13/866,985

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Dobbs

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6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

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Signature

2/4/2014

Date

John D. Cravero #71,245

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

EIR: 1073501-05-0079

License to the United States Government

Invention Title: A Battery State of Health Assessment System

Inventors: Yvan Reynier; Rachid Yazami; Brent T. Fultz; Joe McMenamin

Patent Application Serial No: 13/866,985

US Filing/Issue Date: 4/19/2013

Grant/Contract Identification No: DE-FG03-00ER15035 & DE-FG02-03ER15425 (T-103380)

Foreign Applications filed/intended in (countries):

The invention identified above is a Subject Invention under 35 U.S.C. 200, et seq., and the Standard Patent Rights clause at 37 CFR 401.14 or FAR 52.227-11, which are included among the terms of the above-identified grant/contract award from the Department of Energy. This document is confirmatory of:

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The Government is here by granted an irrevocable power to inspect and make copies of the above-identified patent application.

California Institute of Technology
1200 E. California Boulevard
Pasadena, California 91125

Dated: September 24, 2013


Fred Panna, Chief Innovation Officer

CIT File No: 4437-CIP-D