Form PTO-1595 (Rev. 07/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET CAR S-134,121 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. Name of conveying party(ies) 2. Name and address of receiving party(ies) Name: United States Department of Energy The Regents of the University of California Internal Address: Additional name(s) of conveying party(ies) attached? Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave., S.W Execution Date(s) January 7, 2014 Assignment Merger City: Washington Security Agreement Change of Name \_\_ Joint Research Agreement State: D.C. Government Interest Assignment Country: USA Zip: 20585 Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? Lagrange Yes 🗹 No This document is being filed together with a new application. 4. Application or patent number(s): A. Patent Application No.(s) B. Patent No.(s) Serial No. 14/148,508 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Michael J. Dobbs 7. Total fee (37 CFR 1.21(h) & 3.41) \$\_\_\_\_\_ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account **Enclosed** Street Address: 9800 S. Cass Ave None required (government interest not affecting title) 8. Payment Information City: <u>Araonne</u> a. Credit Card Last 4 Numbers \_\_\_\_\_\_ State: [L\_\_\_\_\_Zip:60439 Expiration Date \_\_\_\_\_ Phone Number: 630-252-2164 b. Deposit Account Number \_\_\_\_\_ Fax Number: 630-252-2779 Authorized User Name \_ \_\_\_\_\_ Email Address: Confirmatory License@ch.doe.gov 9. Signature: 7 / 4/2014 Date Signature

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2

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**REEL: 032167 FRAME: 0102** 

## CONFIRMATORY LICENSE

Title:

BORON NITRIDE CONVERTED CARBON FIBER

Contractor:

The Regents of the University of California

Contract No:

DE-AC02-05CH11231

LBNL Docket No:

2013-03202

DOE Docket No.: S-134,121

Inventor(s): Rousseas, Michael; Mickelson,

William E.; Zettl, Alexander K.

US Patent App No.: 14/148,508

06-Jan-2014

Filing Date: U.S. Patent No.:

**Issue Date:** 

Foreign patent applications filed or intended to be filed at contractor's expense in (countries): (NONE)

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated October 29, 1996.

WHEREAS, the above-identified contract provides the Contractor with the right to elect to retain title in certain inventions and the Contractor has reported the above-identified invention as a subject invention under the contract, has elected to retain title therein, and has agreed to file a domestic patent application thereon, if not previously filed.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed on behalf of: The Regents of the University of California, Lawrence Berkeley National Laboratory

(Contractor)

Mark D. Menge., Admin. Asst.

(Name and Title)

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**PATENT** 

**REEL: 032167 FRAME: 0103** 

RECORDED: 02/05/2014