

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2718237

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>CLAIRE LOUISE AMBERY</td> <td>09/25/2012</td> </tr> <tr> <td>CHRISTOPHER DAVID EDWARDS</td> <td>10/12/2012</td> </tr> </tbody> </table>		Name	Execution Date	CLAIRE LOUISE AMBERY	09/25/2012	CHRISTOPHER DAVID EDWARDS	10/12/2012						
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CLAIRE LOUISE AMBERY	09/25/2012												
CHRISTOPHER DAVID EDWARDS	10/12/2012												
RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>GLAXOSMITHKLINE LLC</td> </tr> <tr> <td>Street Address:</td> <td>ONE FRANKLIN PLAZA</td> </tr> <tr> <td>Internal Address:</td> <td>200 NORTH 16TH STREET</td> </tr> <tr> <td>City:</td> <td>PHILADELPHIA</td> </tr> <tr> <td>State/Country:</td> <td>PENNSYLVANIA</td> </tr> <tr> <td>Postal Code:</td> <td>19102</td> </tr> </table>		Name:	GLAXOSMITHKLINE LLC	Street Address:	ONE FRANKLIN PLAZA	Internal Address:	200 NORTH 16TH STREET	City:	PHILADELPHIA	State/Country:	PENNSYLVANIA	Postal Code:	19102
Name:	GLAXOSMITHKLINE LLC												
Street Address:	ONE FRANKLIN PLAZA												
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PROPERTY NUMBERS Total: 1													
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14234070</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14234070								
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Application Number:	14234070												
CORRESPONDENCE DATA													
Fax Number:	(919)483-7977												
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<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>													
Correspondent Name:	GLAXOSMITHKLINE												
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Address Line 2:	GLOBAL PATENTS - C.2111.2F												
Address Line 4:	RESEARCHTRIANGLEPARK, NORTH CAROLINA 27709-3398												
ATTORNEY DOCKET NUMBER:	PB64690USW												
NAME OF SUBMITTER:	KATHRYN L. COULTER												
Signature:	/Kathryn L. Coulter/												

Date:

02/07/2014

Total Attachments: 4

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RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies) Claire Louise AMBERY (September 25, 2012) Christopher David EDWARDS (October 12, 2012) Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No		2. Name and address of receiving party(ies) Name: <u>GlaxoSmithKline LLC</u> Internal Address: _____ Street Address: <u>One Franklin Plaza</u> <u>200 North 16th Street</u> City: <u>Philadelphia</u> State: <u>PA</u> Country: <u>US</u> Zip: <u>19102</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No	
3. Nature of conveyance/Execution Date(s): Execution Date(s) (see above) ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Joint Research Agreement ☐ Government Interest Assignment ☐ Executive Order 9424, Confirmatory License ☐ Other _____		4. Application or patent number(s): ☐ This document serves as an Oath/Declaration (37 CFR 1.63). A. Patent Application No.(s) <u>14/234,070</u> B. Patent No.(s) Additional numbers attached? ☐ Yes ☒ No	
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>GlaxoSmithKline</u> Internal Address: <u>Global Patents</u> Street Address: <u>Five Moore Drive</u> <u>P.O. Box 13398</u> City: <u>Research Triangle Park</u> State: <u>NC</u> Zip: <u>27709-3398</u> Phone Number: <u>(919) 483-2370</u> Docket Number: <u>PB64690USw</u> Email Address: <u>USCIPRTP@GSK.COM</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> ☒ Authorized to be charged to deposit account ☐ Enclosed ☐ None required (government interest not affecting title) 8. Payment Information Deposit Account Number <u>07-1392</u> Authorized User Name <u>Kathryn L. Coulter</u>	
9. Signature: <u>Kathryn L. Coulter</u> Signature <u>Kathryn L. Coulter (Reg. No. 45,889)</u> Name of Person Signing		<u>2/7/2014</u> Date Total number of pages including cover sheet, attachments, and documents: <u>4</u>	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0148, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

WHEREAS I/WE, Claire Louise AMBERY a citizen of United Kingdom of Stockley Park, Middlesex, United Kingdom and Christopher David EDWARDS a citizen of United Kingdom of Stevenage, Hertfordshire, United Kingdom, (hereinafter called "the inventor(s)") have invented or discovered certain improvements in "COMPOSITION" (hereinafter referred to as "the invention and improvements") for which priority application 61/510624 was filed on 22 Jul 2011 in the , and for which a PCT international application PCT/EP2012/064139 was filed on 19 Jul 2012, designating the United States of America and naming assignor as inventor, and in the United States only applicant/inventor.

WHEREAS the invention, being made in the course of my employment, belongs to my/our employer at that time, namely GLAXOSMITHKLINE SERVICES UNLIMITED a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, and

WHEREAS GLAXOSMITHKLINE SERVICES UNLIMITED has authorised and requested our making the application, and

WHEREAS GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England whose registered address is 980 Great West Road, Brentford, Middlesex TW8 9GS, England, is desirous of acquiring from GLAXOSMITHKLINE SERVICES UNLIMITED the whole right, title and interest in and to the invention and in and to the application, and

WHEREAS GLAXO GROUP LIMITED a company incorporated in England whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex, UB6 0NN, England, is desirous of acquiring from GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED the whole right, title and interest in and to the invention and in and to any applications for the invention and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

WHEREAS GLAXOSMITHKLINE LLC a company incorporated in United States of America, whose trading address is One Franklin Plaza, 200 North 16th Street, Philadelphia,

Pennsylvania 19102, United States of America, is desirous of acquiring from **GLAXO GROUP LIMITED** the whole right, title and interest in and to the invention and in and to any applications for the invention and any Letters Patent to be obtained therefor, in all countries, including the United States of America, its territories and possessions;

NOW, THEREFORE, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by **GLAXOSMITHKLINE SERVICES UNLIMITED** of the invention and the application by operation of law and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in and to the invention and the application, (which I/we do not believe to be the case and claim no ownership right, title or interest in and to the invention and to the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and to the application, including the right to claim priority from the application in respect of any subsequent applications, to **GLAXOSMITHKLINE SERVICES UNLIMITED**. **GLAXOSMITHKLINE SERVICES UNLIMITED** in turn hereby assigns and transfers to **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** its whole right, title and interest in and to the invention and in and to the application.

GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED in turn hereby assigns and transfers to **GLAXO GROUP LIMITED** and **GLAXO GROUP LIMITED** hereby assigns and transfers to **GLAXOSMITHKLINE LLC** its whole right, title and interest in all countries, including the United States of America, its territories and possessions in and to the invention and in and to the application including the right to claim priority from the application in respect of any subsequent applications, and in and to any divisional application, continuation or continuation in part application thereof, and in and to any Supplementary Protection

Certificate, extension or re-issue thereof, and I/we the inventor(s) and **GLAXOSMITHKLINE SERVICES UNLIMITED**, **GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED** and **GLAXO GROUP LIMITED** hereby authorise and request any patent arising therefrom, in all countries, including the United States of America, its territories and possessions, be issued to **GLAXOSMITHKLINE LLC**;

AND GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED and GLAXO GROUP LIMITED hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree at the request and cost of GLAXOSMITHKLINE LLC to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in GLAXOSMITHKLINE LLC, its successors and assigns, the whole right, title and interest in and to the invention and in and to the application hereby assigned and transferred in all countries, including the United States of America, its territories and possessions.

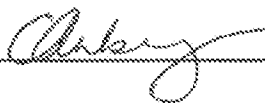
IN WITNESS whereof and with effect from the 22 Jul 2011, the inventor(s) and the Attorney & Authorised Official of GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, GLAXO GROUP LIMITED and GLAXOSMITHKLINE LLC have hereunto set their respective hands.

Inventor Name

Signature

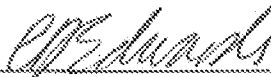
Date

Claire Louise Ambery:



25 September 2012

Christopher David Edwards:

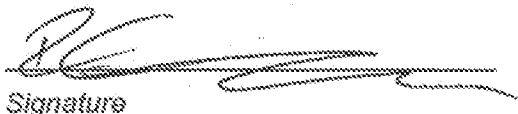


12 OCTOBER 2012

SIGNED by

RICHARD LEWIS EASEMAN

As the Attorney & Authorised Official of GLAXOSMITHKLINE SERVICES UNLIMITED, GLAXOSMITHKLINE RESEARCH AND DEVELOPMENT LIMITED, GLAXO GROUP LIMITED and GLAXOSMITHKLINE LLC:


Signature

Date:

23 OCT 2012