#### 502673615 02/10/2014

#### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2720222

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ANDREW T. SCHIEBER	12/09/2009
JOHN WARDLE	12/09/2009
EDWARD MATTHEES	01/11/2010
CHARLES L. EUTENEUER	01/07/2010

#### **RECEIVING PARTY DATA**

Name:	IVANTIS, INC.
Street Address:	38 DISCOVERY, SUITE 150
City:	IRVINE
State/Country:	CALIFORNIA
Postal Code:	92618

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	13973864

#### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	10502-701.300
NAME OF SUBMITTER:	JUSTIN P. THOMAS, REG. 63301

PATENT REEL: 032183 FRAME: 0684

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Signature:	/Justin P. Thomas/
Date:	02/10/2014
Total Attachments: 4 source=10502-701-300-Assignment#page1.tif source=10502-701-300-Assignment#page2.tif source=10502-701-300-Assignment#page3.tif source=10502-701-300-Assignment#page4.tif	

PATENT REEL: 032183 FRAME: 0685

U.S. DEPARTMENT OF COMMERCE

### RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

## PATENTS ONLY

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TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATEN ORIGINAL DOCUMENTS OR COPY THEREOF.	T AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED	
Name of conveying party(ies):	Name and address of receiving party(ies):	
(1) Andrew T. SCHIEBER (12/09/2009) (2) John WARDLE (12/09/2009) (3) Edward MATTHEES (01/11/2010 (4) Charles L. EUTENEUER (01/07/2010)	Name: IVANTIS, INC. 38 Discovery, Suite 150 Irvine, CA 92618	
Additional name(s) of conveying party(ies) attached?  Yes No		
3. Nature of Conveyance:	Name and address of receiving party(ies):	
Assignment Merger	Name:	
	Street Address:	
Security Agreement Change of Name	City: State: Zip:	
Other	Country: Additional name(s) & address(es) attached?  Yes  No	
Application number(s) or patent number(s):		
If this document is being filed together with a new application,	the execution date of the application is:	
A. Patent Application No.(s) – <b>13/973,864</b>	B. Patent No.(s)	
Title: Methods and Apparatus for Delivering Ocular Implants Into the Eye		
Additional nu	mbers attached? Yes No	
Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and patents involved:	
Justin P. Thomas Shay Glenn LLP		
2755 Campus Drive, Suite 210 San Mateo, CA 94403	7. Total fee (37 CFR 3.41): \$0.00	
	7. Total ree (37 GTN 3.41). \$\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	
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8 Statement and signature		
8. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the		
original document.	$\mathcal{I}$	
Justin P. Thomas, # 63,301	Signature February O , 2014 Date	
Name of Person Signing	I number of pages including cover sheet, attachments, and documents: 4	

**PATENT REEL: 032183 FRAME: 0686** 

#### ASSIGNMENT OF PATENT APPLICATION

Docket Number:

10502-701.500

This Assignment of Patent Application is between: Andrew T. SCHIEBER of Irvine, CA; John WARDLE of San Clemente, CA; Edward MATTHEES of Minneapolis, MN; and Charles L. EUTENEUER of St. Michael, MN; (hereinafter referred to as "Inventors") and IVANTIS, INC., a corporation of the State of <u>Delaware</u>, having a place of business at 13766 Alton Parkway, Suite 150, Irvine, CA 92618 (hereinafter termed "Assignee").

WHEREAS, Inventors have invented certain new and useful improvements in:

# "METHODS AND APPARATUS FOR DELIVERING OCULAR IMPLANTS INTO THE EYE"

for which an application for a United States Patent was filed on December 7, 2009 as Application No. 12/632,738.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

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Docket Number:

10502-701.500

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
- 4. Said Inventors hereby warrant and represent that he/they has/have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee:

Dated: $12 - 99 - 99$	Chahn 5 km
	Andrew T. SCHIEBER
Dated: 12-69-2009	John Wordle
	John WARDLE
Dated:	
	Edward MATTHEES
Dated:	
Datou.	Charles L. EUTENEUER

Docket Number:

10502-701.500

- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
- 4. Said Inventors hereby warrant and represent that he/they has/have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventors have executed and delivered this instrument to said Assignee:

Andrew T. SCHIEBER

John WARDLE

Dated:

Dated: ////D

Charles L. EUTENEUER

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