## 502677589 02/12/2014

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2724196

NATURE OF CONVEYANCE:  ASSIGNMENT  CONVEYING PARTY DATA  Name  Execution Date  GRAHAM PAUL MATTHEWS  BARBARA HAEBERLIN  I1/16/2001  RECEIVING PARTY DATA  Name:  NOVARTIS AG  Street Address:  Lichtstresse 35  City:  Basel  State/Country:  SWITZERLAND  Postal Code:  4056  PROPERTY NUMBERS Total: 1  Property Type  Number  Application Number:  14053661  CORRESPONDENCE DATA  Fax Number:  (973)781-8064 Phone:  8627788462 Phone:  8627788462 Email:  pip, inbox, phothobs@novartis.com  Correspondence will be sent via US Mail when the email attempt is unsuccessful.  Correspondent Name:  LINDA ADAMS  Address Line 1:  NOVARTIS PHARMACEUTICALS CORPORATION  Address Line 2:  ONE HEALTH PLAZA  Address Line 4:  EAST HANOVER, NEW JERSEY 07936  ATTORNEY DOCKET NUMBER:  PAT030811-US-PCTD  NAME OF SUBMITTER:  LINDA ADAMS	Stylesheet Version	v1.2			LI AO ID. I AIZIZAR	
Name Execution Date GRAHAM PAUL MATTHEWS 11/24/2001 BARBARA HAEBERLIN 11/16/2001  RECEIVING PARTY DATA    Name:   NOVARTIS AG     Street Address:   Lichtstrasse 35     City:   Basel     State/Country:   SWITZERLAND     Postal Code:   4056    PROPERTY NUMBERS Total: 1    Property Type   Number     Application Number:   14053661    CORRESPONDENCE DATA  Fax Number: (973)781-8064   Phone: 8627788462   Phone: 8627788462   Pip: pibox.photbs@novartis.com     Correspondence will be sent via US Mail when the email attempt is unsuccessful.     Correspondence will be sent via US Mail when the email attempt is unsuccessful.     Address Line 1: NOVARTIS PHARMACEUTICALS CORPORATION     Address Line 2: ONE HEALTH PLAZA     Address Line 4: EAST HANOVER, NEW JERSEY 07936     ATTORNEY DOCKET NUMBER:   PAT030811-US-PCTD     NAME OF SUBMITTER:   LINDA ADAMS     Signature:   /Linda Adams/	SUBMISSION TYPE:		NEW ASSIGNMEN	NEW ASSIGNMENT		
Name Execution Date GRAHAM PAUL MATTHEWS   11/24/2001 BARBARA HAEBERLIN   11/16/2001  RECEMING PARTY DATA    Name:	NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT		
STANLAM PAUL MATTHEWS	CONVEYING PARTY	/ DATA	,,			
BARBARA HAEBERLIN 11/16/2001  RECEIVING PARTY DATA    Name:			Name		Execution Date	
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Name:   NOVARTIS AG	BARBARA HAEBEF	RLIN			11/16/2001	
Street Address: Lichtstrasse 35  City: Basel  State/Country: SWITZERLAND  Postal Code: 4056  PROPERTY NUMBERS Total: 1  Property Type Number  Application Number: 14053661  CORRESPONDENCE DATA  Fax Number: (973)781-8064 Phone: 8627788462 Email: pip_inbox.phchbs@novartis.com  Correspondence will be sent via US Mail when the email attempt is unsuccessful.  Correspondent Name: LINDA ADAMS  Address Line 1: NOVARTIS PHARMACEUTICALS CORPORATION  Address Line 2: ONE HEALTH PLAZA  Address Line 4: EAST HANOVER, NEW JERSEY 07936  ATTORNEY DOCKET NUMBER: PAT030811-US-PCTD  NAME OF SUBMITTER: LINDA ADAMS  Signature: /Linda Adams/	RECEIVING PARTY	DATA				
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Signature: /Linda Adams/	ATTORNEY DOCKE	T NUMBER:	PAT030811-US-PC	:TD		
	NAME OF SUBMITTER:		LINDA ADAMS	LINDA ADAMS		
Date: 02/12/2014	Signature:		/Linda Adams/	/Linda Adams/		
	Date:		02/12/2014			

PATENT REEL: 032203 FRAME: 0067

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Total Attachments: 2

#### ASSIGNMENT

- 1 / We 1. Graham Paul MATTHEWS, 101 Trafalgar Rd., Horsham, West Sussex RH12 2QL, Great Britain, citizen of Great Britain
  - 2. Barbara HAEBERLIN, Binningerstrasse 5, 4142 Münchenstein, Switzerland, citizen of Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to *Novartis AG, a Company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland*, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

### SPONTANEOUSLY DISPERSIBLE N-BENZOYL STAUROSPORINE COMPOSITIONS

invented by me/us and described in the application for United States Letters Patent therefor,

Serial No. 09/930335 filed 15/08/2001

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said *Novartis AG*, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

	Signed on
Graham Daul MATTUEWS	24 - Nove O 1
Grahám Paul MATTHEWS	·
Rarhara HAFRERI IN	<u> </u>

PATENT REEL: 032203 FRAME: 0068

# **ASSIGNMENT**

- 1 / We 1. Graham Paul MATTHEWS, 101 Trafalgar Rd., Horsham, West Sussex RH12 2QL, Great Britain, citizen of Great Britain
  - 2. Barbara HAEBERLIN, Binningerstrasse 5, 4142 Münchenstein, Switzerland, citizen of Switzerland

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And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said *Novartis AG*.

gned on
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PATENT REEL: 032203 FRAME: 0069

**RECORDED: 02/12/2014**