# 502679089 02/13/2014

#### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT2725696

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ADITYA KUMAR	02/10/2014
ANTHONY JOHN MURRAY	01/24/2014
RUJIE SHI	02/12/2014
ZHAOYANG WAN	02/10/2014
MUSTAFA TEKIN DOKUCU	01/24/2014
VIJAYSAI PRASAD	01/27/2014

## **RECEIVING PARTY DATA**

Name:	GENERAL ELECTRIC COMPANY
Street Address:	1 RIVER ROAD
City:	SCHENECTADY
State/Country:	NEW YORK
Postal Code:	12345

#### PROPERTY NUMBERS Total: 3

Property Type	Number	
Application Number:	61574017	
PCT Number:	US1248163	
Application Number:	14234955	

#### **CORRESPONDENCE DATA**

 Fax Number:
 (203)944-6712

 Phone:
 203-944-6716

 Email:
 amy.harvey@ge.com

Correspondence will be sent via US Mail when the email attempt is unsuccessful.

Correspondent Name: GENERAL ELECTRIC COMPANY

Address Line 1: 2 CORPORATE DRIVE, SUITE 648

Address Line 4: SHELTON, CONNECTICUT 06484

PATENT REEL: 032210 FRAME: 0324

502679089

ATTORNEY DOCKET NUMBER: 238713-5		
NAME OF SUBMITTER:	CATHERINE J. WINTER	
Signature:	/cjw38364/	
Date: 02/13/2014		
	This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 8 source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page1.tif source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page2.tif source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page3.tif source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page4.tif source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page5.tif source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page6.tif source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page7.tif source=238713_5_US_CombinedDeclarationAssignment_13FEB2014#page8.tif		

PATENT REEL: 032210 FRAME: 0325

# COMBINED DECLARATION AND GLOBAL ASSIGNMENT FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

### WASTEWATER TREATMENT PLANT KEY UNIT ONLINE MONITORING AND CONTROL

	the specification of which is attached hereto OR
$\boxtimes$	United States application or PCT international application number
	14/234955_ filed on <u>January 24, 2014</u> , as
	amended on [date] (if applicable).
	(We hereby authorize and request the Company or its delegated attorneys or agents to
	insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt. I/we.

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Aditya		KUMAR	of	Guilderland	NY	US
Anthony	John	MURRAY	of	Lebanon	NJ	US
Ruijie		SHI	of	Clifton Park	NY	US
Zhaoyang		WAN	of	Yardley	PA	US
Mustafa	Tekin	DOKUCU	of	Latham	NY	US
Vijaysai		PRASAD	of	Bangalore	Karnataka	IN

v9.18.12 hereby sell and assign to:

Name of Company: General Electric Company

Entity Type (optional): New York corporation

Address: 1 River Road, Schenectady, New York 12345 USA

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title
us	Provisional	61/574017	7/26/2011	WASTEWATER TREATMENT PLANT KEY UNIT ONLINE MONITORING AND CONTROL
wo	PCT	PCT/US12/048163	7/25/2012	WASTEWATER TREATMENT PLANT KEY UNIT ONLINE MONITORING AND CONTROL

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1	
Signature: Aditya KUMAR	Date: 2/10/2014
Witnessed by: Signature	Date: 2/10/2014
Printed Name of Witness  Witnessed by:   Signature	
H. Kirk Mathews Printed Name of Witness	
SECTION BELOW IS FOR USE ONLY	/ IF SIGNING IN PRESENCE OF A NOTARY
	Date:
Aditya KUMAR	
STATE OF	
COUNTY OF	
This day of,  Aditya KUMAR, to me personally kn assignment, who acknowledged to me th the purposes therein set forth.	, before me personally came the above-named nown as the individual who executed the foregoing at he/she executed the same of his/her own free will for
Seal	
	(Notary Public)

INVENTOR 2	
Signature:Anthony John MURRAY	Date: <u>01/24/2014</u>
Witnessed by:	Date: 590 24, 2014
John Nefon Printed Name of Witness	
Witnessed by: Gym A formula Signature	— Date: 01/24/2014
GREGORY A. GROSSMANN	
Printed Name of Witness	
SECTION BELOW IS FOR USE ONLY IF SIG	ONING IN PRESENCE OF A NOTARY
	Date:
Anthony John MURRAY	
STATE OF	
COUNTY OF	
This day of,  Anthony John MURRAY, to me personally kno assignment, who acknowledged to me that he/s the purposes therein set forth.	before me personally came the above-named bwn as the individual who executed the foregoing the executed the same of his/her own free will for
Seal	
	(Notary Public)

INVENTOR 3	
Signature: \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	$\frac{2}{2}$ Date: $\frac{2}{12}$ /2-14
Witnessed by: <u>//ii//</u> Signature	/ 2
Ameet Deshpene Printed Name of Witness	
Witnessed by: Signature	Date: 2/12/2014
Printed Name of Witness	
SECTION BELOW IS FOR U	JSE ONLY IF SIGNING IN PRESENCE OF A NOTARY
	Date:
Rujie SHI	
STATE OF	
COUNTY OF	58.
seeding reserving the transfer and with	before me personally came the above-named by assignment, who he executed the foregoing assignment, who he executed the will for the purposes
Seal	
	(Notary Public)

5

GE Docket No. 238713

INVENTOR 4	
Signature: Zhaoyang WAN	Date: <u>2/10/2014</u>
Witnessed by: <u>Julia Davis</u> Signature Julie A. Davis	Date: 2/15/2014
Printed Name of Witness	
Witnessed by: Yaver Walth	Date: <u>- ジーン   3   4   -  </u>
Printed Name of Witness	
SECTION BELOW IS FOR USE ONLY IF S	
71.	Date:
Zhaoyang WAN	
STATE OF	
COUNTY OF	
Thisday of, Zhaoyang WAN, to me personally known assignment, who acknowledged to me that he the purposes therein set forth.	before me personally came the above-named as the individual who executed the foregoing /she executed the same of his/her own free will for
Seal	
	(Notary Public)

GE Docket No. 238713 **INVENTOR 5**  $2 \cos ate: \frac{1/24/2014}{2014}$ Mustafa Tekin DO Printed Name of Witness Date: 1/24/2014 Printed Name of Witness SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY Date: \_ Mustafa Tekin DOKUCU STATE OF SS. COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_ before me personally came the above-named Mustafa Tekin DOKUCU, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal \_\_\_\_\_

(Notary Public)

INVENTOR 6	
Signature: Vijaysai PRASAD	Date: <u>27/5AN/2014</u>
Witnessed by:  Signature  NIMES HKUMAR K PATEL	Date: 27/JAN/2014
Printed Name of Witness	-
Witnessed by: 150//3 Signature Steve T. Bakar	Date: _27/5an/2014
Printed Name of Witness	
SECTION BELOW IS FOR USE ONLY IF SI	_
Vijaysai PRASAD	Date:
STATE OF ss.	
COUNTY OF	
This day of, Vijaysai PRASAD, to me personally known assignment, who acknowledged to me that he/sthe purposes therein set forth.	before me personally came the above-named as the individual who executed the foregoing she executed the same of his/her own free will fo
Seal	
	(Notary Public)

8