

Form PTO-1595 (Rev. 06-12)  
OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Todd W. Seeley and Mark D. Sternlicht

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) January 22, 2014 and January 27, 2014

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: FibroGen, Inc.

Internal Address: \_\_\_\_\_

Street Address: 409 Illinois Street

City: San Francisco

State: California

Country: United States Zip: 94158

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

13/546,799 filed on 11 July 2012

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Paul Borchardt, Ph.D.

Internal Address: c/o FibroGen, Inc.

Street Address: 409 Illinois Street

City: San Francisco

State: CA Zip: 94158

Phone Number: 415-978-1748

Docket Number: FP0843 US

Email Address: pborchardt@fibrogen.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 50-0811

Authorized User Name Paul Borchardt, Ph.D.

**9. Signature:**

Paul Borchardt  
Signature

2/10/2014  
Date

Paul Borchardt, Ph.D. - Reg. No. 53,999

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: **3**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1460, Alexandria, V.A. 22313-1460

CH \$40.00 500811 13546799

**ASSIGNMENT OF PATENT APPLICATION**

FOR GOOD AND VALUABLE CONSIDERATION,

I, the undersigned, agree, for good and valuable consideration, receipt of which is hereby expressly acknowledged, to hereby sell, assign, and transfer unto

**FIBROGEN, INC.**  
409 Illinois Street  
San Francisco, CA 94158

as Assignee, and its successors, assigns, and legal representatives, or to such nominees as it may designate, the entire right, title, and interest, in all countries, to certain inventions relating to

**CONNECTIVE TISSUE GROWTH FACTOR ANTISENSE OLIGONUCLEOTIDES**

described in an application for Letters Patent of the United States, identified as Attorney Docket No. FP0843 US, filed on 11 July 2012 as U.S. Application No. 13/546,799, and the inventions and improvements set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for the application for Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefore in any country, and any reissues, or reexaminations, or extensions of the Letters Patent. I request that any and all Letters Patent for the inventions be issued to the Assignee, to its successors, assigns, and legal representatives, or to such nominees as it may designate.

I agree that, when requested, I will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title thereto in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate.

I authorize and empower the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in any country for any purpose, and, more particularly, is proof of the right of the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to claim the aforesaid benefit of the right of priority provided by the International Convention which may henceforth be substituted for it.

I covenant with the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, I have signed my name on the day and year set forth below.

*Todd W. Seeley*

*27 Jan 2014*

Inventor's Signature

Date

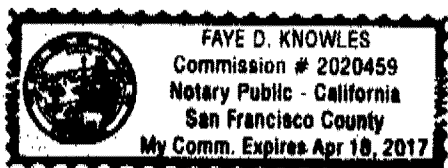
**Todd W. Seeley**  
Inventor's Printed Name

State of California }  
County of San Francisco }

On January 27, 2014, before me, Faye D. Knowles, Notary Public, personally appeared Todd W. Seeley, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



*Faye D. Knowles*  
Signature of Notary Public

**ASSIGNMENT OF PATENT APPLICATION**

FOR GOOD AND VALUABLE CONSIDERATION,

I, the undersigned, agree, for good and valuable consideration, receipt of which is hereby expressly acknowledged, to hereby sell, assign, and transfer unto

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409 Illinois Street  
San Francisco, CA 94158**

as Assignee, and its successors, assigns, and legal representatives, or to such nominees as it may designate, the entire right, title, and interest, in all countries, to certain inventions relating to

**CONNECTIVE TISSUE GROWTH FACTOR ANTISENSE OLIGONUCLEOTIDES**

described in an application for Letters Patent of the United States, identified as Attorney Docket No. FP0843 US, filed on 11 July 2012 as U.S. Application No. 13/546,799, and the inventions and improvements set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for the application for Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefore in any country, and any reissues, or reexaminations, or extensions of the Letters Patent. I request that any and all Letters Patent for the inventions be issued to the Assignee, to its successors, assigns, and legal representatives, or to such nominees as it may designate.

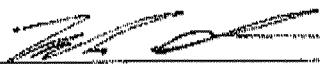
I agree that, when requested, I will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title thereto in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate.

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I covenant with the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, I have signed my name on the day and year set forth below.

  
\_\_\_\_\_  
Inventor's Signature

1/22/2014  
\_\_\_\_\_  
Date

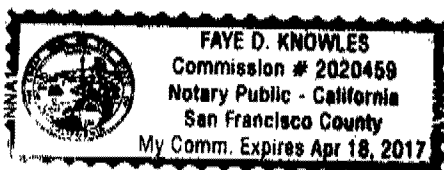
**Mark D. Sternlicht**  
Inventor's Printed Name

State of California }  
County of San Francisco }

On January 22, 2014, before me, Faye D. Knowles, Notary Public, personally appeared Mark D. Sternlicht, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



  
\_\_\_\_\_  
Signature of Notary Public

**PATENT**