#### 502689430 02/21/2014

# PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2736035

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	

Name	Execution Date
CARGILL FRANCE	10/25/2012

# **RECEIVING PARTY DATA**

Name:	DSM IP ASSETS B.V.
Street Address:	HET OVERLOON 1
City:	TE HEERLEN
State/Country:	NETHERLANDS
Postal Code:	6411

# PROPERTY NUMBERS Total: 2

Property Type	Number	
Patent Number:	5712150	
Patent Number:	5658770	

# **CORRESPONDENCE DATA**

Fax Number:

ipdocketing@milesstockbridge.com, rbergendahl@milesstockbridge.com Email:

Correspondence will be sent via US Mail when the email attempt is unsuccessful.

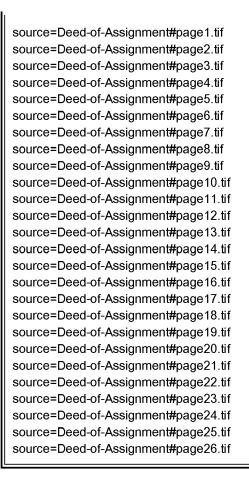
Correspondent Name: MILES AND STOCKBRIDGE, PC

Address Line 1: 1751 PINNACLE DRIVE

Address Line 4: TYSONS CORNER, VIRGINIA 22102

ATTORNEY DOCKET NUMBER:	2919208-000022
NAME OF SUBMITTER:	RACHEL A BERGENDAHL
Signature:	/Rachel A. Bergendahl/
Date:	02/21/2014

Total Attachments: 26



### **DEED OF ASSIGNMENT**

THIS DEED OF ASSIGNMENT is made the 6<sup>th</sup> day of December 2012 BETWEEN

Cargill France SAS, a French société par actions simplifiée with a share capital of € 103,422,065, having its registered office at 18/20 rue des Gaudines 78100 Saint Germain en Laye, France, registered with the Trade and Companies Register under number 572 099 695 RCS Versailles, represented by Mr. Stefan HORN (the Assignor); and

**DSM IP ASSETS B.V.** whose registered office is at Het Overloon 1, 6411 TE, Heerlen, the Netherlands (the **Assignee**).

WHEREAS the Assignor is the proprietor of the trade mark registrations detailed in the Schedule to this Deed (the Trade Marks).

WHEREAS pursuant to the Master Sale of Business Agreement relating to the sale of the Cargill cultures, culture media and enzymes business between CARGILL, INCORPORATED, CARGILL FRANCE SAS and DSM FOOD SPECIALTIES CULTURES USA, INC., DSM FOOD SPECIALTIES CULTURES SAS, DSM IP ASSETS B.V., DSM FOOD SPECIALTIES B.V. dated October 25<sup>th</sup>, 2012 (the MSPA) the Assignor has agreed to assign, and the Assignee has agreed to accept the assignment of, the Trade Marks on the terms of this Deed.

NOW THEREFORE, the parties hereby agree as follows:

### ASSIGNMENT OF TRADE MARKS

The Assignor hereby assigns to the Assignee and the Assignee hereby accepts the assignment of all rights, title and interest in and to the Trade Marks and the goodwill attached thereto.

# 2. COUNTERPARTS

This Deed may be executed in any number of counterparts, which shall together constitute one Deed.

## 3. LAW & JURISDICTION

This Deed shall be governed by and construed in accordance with English law and any disputes arising out of this Deed shall be settled by arbitration in accordance with section 42 of the MSBA.

IN WITNESS WHEREOF the parties have executed this assignment the day and year first above written.

EXECUTED as a DEED by Assignor	EXECUTED as a DEED by Assignee \
Acting by: Stefan HORN	Acting by: 12 fix all home Ashan Position: 12 Ashan food
Acting by, Sterail HONN	Acting by.
Position: Senior Lawyer	Position:
Signature: Standard Signature:	Acting by:     Signature:
Name of witness: 2 ( ) ( ) Assume that the state of witness: 2 with the state of th	Name of witness:
Address of witness: A Wi The Management & College	Address of witness:
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Signature of witness:	Signature of witness:
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Page 1 of 3

Page 2 of 3

Page 3 of 3

TITLE	APPL.	REG.	COUNTRY	OWNER	STATUS
bactimedia	88240	88240	Tunisia	Degussa Ferments D'Aromatisation France S.A.S.	Registered
bactimedia	272388	128417	Ireland	Cargill France S.A.S.	Registered
bactimedia	272488	128418	Ireland	Cargill France S.A.S.	Registered
bactimedia	272588	128419	Ireland	Cargill France S.A.S.	Registered
bactimedia	527829	527829	International Bureau (WIPO)	Cargili France S.A.S.	Registered
bactimedia	527829	527829	Algeria	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Austria	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Beneļux	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Hungary	Cargill France S.A.S.	Registered
bactimedia	527829	527829	italy	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Liechtenstein	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Monaco	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Могассо	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Portugal	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Russian Federation	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Switzerland	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Germany	Cargill France S.A.S.	Registered
bactimedia	527829	527829	Spain	Cargill France S.A.S.	Registered
bactimedia	897746	1449089	France	Cargill France S.A.S.	Registered
bactimedia	1350126	13501268	United Kingdom	Cargill France S.A.S.	Registered
bactimedia	1350127	1350127B	United Kingdom	Cargili France S.A.S.	Registered
bactimedia	1350128	1350128A	United Kingdom	Cargill France S.A.S.	Registered
bactimedia	1520683		Canada	Cargili France S.A.S.	Pending
bactistart	5471992	125174	Finland	Degussa Ferments D'Aromatisation France S.A.S.	Registered
bactistart	920991	257357	Sweden	Degussa Ferments D'Aromatisation France S.A.S.	Registered
bactistart	920137	920137	Tunisia	Cargili France S.A.S.	Registered
bactistart	7771992	30041993	Denmark	Degussa Ferments D'Aromatisation France	Registered
bactisystem	596158	596158	International Bureau (WIPO)	S.A.S.  Cargill France S.A.S.	Registered
bactisystem	596158	596158	Algeria	Cargill France S.A.S.	Registered
bactisystem	596158	596158	Austria	7 Cargill France S.A.S.	
bactisystem	596158	596158	Benelux	Cargill France S.A.S.	Registered
bactisystem	596158	596158	Italy	Cargill France S.A.S.	Registered
bactisystem	596158	596158	Morocco	Cargill France S.A.S.	Registered
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04/12/2012

bactisystem	596158	596158	Portugal	Cargill France S.A.S.	Registered
bactisystem	596158	596158	Switzerland	Cargill France S.A.S.	Registered
bactisystem	596158	596158	Germany	Cargill France S.A.S.	Registered
bactisystem	596158	596158	Spain	Cargill France S.A.S.	Registered
bactisystem	930040	930040	Tunisia	Cargill France S.A.S.	Registered
bactisystem	20293	20581993	Denmark	Cargill France S.A.S.	Registered
bactitest	54982	125175	Finland	Degussa Ferments D'Aromatisation France S.A.S.	Registered
bactitest	920481	167973	Norway	Degussa Ferments D'Aromatisation France S.A.S.	Registered
bactitest	920992	249800	Sweden	Degussa Ferments D'Aromatisation France S.A.S.	Registered
bactitest	9201366	9201.36	Tunisia	Cargill France S.A.S.	Registered
ecostart	3036542	3036542	France	Cargill France S.A.S.	Registered

0086744-0000014 AMCO:5348842.1 2 04/12/2012

### **DEED OF ASSIGNMENT**

THIS DEED OF ASSIGNMENT is made the 6th day of December 2012 BETWEEN

**CARGILL, INCORPORATED**, a private limited liability company incorporated under the laws of Delaware, United States, with its offices at 15407 McGinty Road West, Wayzata, Minnesota 55391, United States (the **Assignor**); and

**DSM IP ASSETS B.V.** whose registered office is at Het Overloon 1, 6411 TE, Heerlen, the Netherlands (the **Assignee**).

WHEREAS the Assignor is proprietor of or applicant for the patents and patent applications detailed in the Schedule to this Deed (the **Patents**).

WHEREAS pursuant to the Master Sale of Business Agreement relating to the sale of the Cargill cultures, culture media and enzymes business between CARGILL, INCORPORATED, CARGILL FRANCE SAS and DSM FOOD SPECIALTIES CULTURES USA, INC., DSM FOOD SPECIALTIES CULTURES SAS, DSM IP ASSETS B.V., DSM FOOD SPECIALTIES B.V. dated 25 October 2012 (the MSPA) the Assignor has agreed to assign, and the Assignee has agreed to accept the assignment of, the Patents on the terms of this Deed.

NOW THEREFORE, the parties hereby agree as follows:

### 1. ASSIGNMENT OF PATENTS

Against consideration of €1 (one Euro) which has been paid by Assignee and received by Assignor, the Assignor hereby assigns to the Assignee and the Assignee hereby accepts the assignment of the legal title in and to the Patents.

# 2. COUNTERPARTS

This Deed may be executed in any number of counterparts, which shall together constitute one Deed.

### 3. LAW & JURISDICTION

This Deed shall be governed by and construed in accordance with English law and any disputes arising out of this Deed shall be settled by arbitration in accordance with section 42 of the MSBA.

IN WITNESS WHEREOF the parties have executed this assignment the day and year first above written.

EXECUTED as a DEED by Assignor	EXECUTED as a DEED by Assignee
Acting by: Stefan Horas  Position: Senger Lower of Signature:  Name of witness: Stefan Address of witness: Ad. Verney for formal occupation of witness: Legacy formal formal formal occupation of witness:	Acting by: Position: Signature:  Name of witness: Address of witness: Occupation of witness: Signature of witness:
	Page 1 of 2

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# SCHEDULE

# **PATENTS**

Title	Matter type	Country	Status	Patent/ Applicati on num ber
STRAINS OF SPONTANEOUS MUTANTS OF BREVIBACTERIUM LINENS	Utility	France	Issued	2,731,012 9502139
 NUCLEIC ACID SEQUENCE AND PLASMIDS COMPRISING AT LEAST ONE PHAGE RESISTANCE MECHANISM, BACTERIA CONTAINING THEM AND THEIR USE	Utility	U.S.A.	Issued	5,712,150 0 <u>8/</u> 689,916
NUCLEIC ACID SEQUENCE AND PLASMIDS COMPRISING AT LEAST ONE PHAGE RESISTANCE MECHANISM, BACTERIA IN WHICH THEY ARE PRESENT, AND THEIR USE	Utility	U.S.A.	Issued	5,658,770 <u>08/</u> 286,325



# United States Patent [19]

Prevots et al.

Patent Number:

5,658,770

Date of Patent:

Aug. 19, 1997

[54]	NUCLEIC ACID SEQUENCE AND PLASMIDS
	COMPRISING AT LEAST ONE PHAGE
	RESISTANCE MECHANISM, BACTERIA IN
	WHICH THEY ARE PRESENT, AND THEIR
	USE

[75] Inventors: Fabien Prevots; Elisabeth Remy, both of Toulouse; Paul Ritzenthaler, Castanet, all of France

[73] Assignees: Sanofi, Paris; Elf Aquitaine. Courbevoie, both of France

[21] Appl. No.: 286,325

[22] Filed: Aug. 4, 1994

[30] Foreign Application Priority Data

C12N 15/00

[52] U.S. Cl. ...... 435/172.2; 435/69.1; 435/172.3; 435/320.1; 536/23.7

435/172.1; 536/23.7

#### [56] References Cited

### U.S. PATENT DOCUMENTS

4,883,756 11/1989 Klaenhammer et al. ........... 435/252.3

# FOREIGN PATENT DOCUMENTS

A-0 208 468 1/1987 European Pat. Off. . 0208468A2 1/1987 European Pat. Off. A-0 246 909 11/1987 European Pat. Off. . 0355036 European Pat. Off. . 2/1990 0452224A1 10/1991 European Pat. Off. . WOA9205260 WIPO 4/1992

# OTHER PUBLICATIONS

Van Belkum et al., Applied & Environmental Microbiology, 55(5): 1187-1191 (May 1989).

Sing et al., Applied & Environmental Microbiology, 51(6): 1264-1271 (Jun. 1986).

Sanders et al., Applied and Environmental Microbiology, vol. 46, No. 5, 1983, 1125-1133.

Steenson et al., Applied and Environmental Microbiology, vol. 50, No. 4, Oct. 1985, 851-858.

Jarvis et al., Applied and Environmental Microbiology, vol. 55, No. 6, Jun. 1989, 1537-1543.

Hill et al., Applied and Environmental Microbiology, vol. 55, No. 7, Jul. 1989, 1684-1689.

Hill et al., Applied and Environmental Microbiology, vol. 56, No. 7, Jul. 1990, 2255-2258.

Prevots et al., Applied and Environmental Microbiology, vol. 56, No. 7, Jul. 1990, 2180-2185.

Klaenhammer, Journal of Dairy Science, vol. 72, No. 12. 1989, 3429-3443.

Vlegels et al., Netherlands Milk and Dairy Journal, vol. 43. 1989, 245-259.

Jarvis, Applied and Environmental Microbiology, Mar. 1988, 777-783.

Lerayer et al., Revista De Microbiologia, vol. 20, No. 2, Apr.-Jun. 1989, 197-209.

Sanders et al., Applied and Environmental Microbiology, vol. 47. No. 5, May 1984, 979-985.

van Belkum et al., Applied and Environmental Microbiology, vol. 55, No. 5, May 1989, 1187-1191.

Sanders, Biochemie, vol. 70, 1988, 411-421.

Coffey et al., Netherlands Milk and Dairy Journal, vol. 43, 1989, 229-244.

Froseth et al., Journal of Dairy Science, vol. 71, 1988, 275-284.

Laible et al., Journal of Dairy Science, vol. 70, 1987, 2211-2219.

Steele et al., Plasmid, vol. 22, No. 1, 1989, 32-43.

Josephson et al., Plasmid, vol. 23, No. 1, Jan. 1990, 71-75. Klaenhammer et al., Journal of General Microbiology, vol. 131, Jun. 1985, 1531-1541.

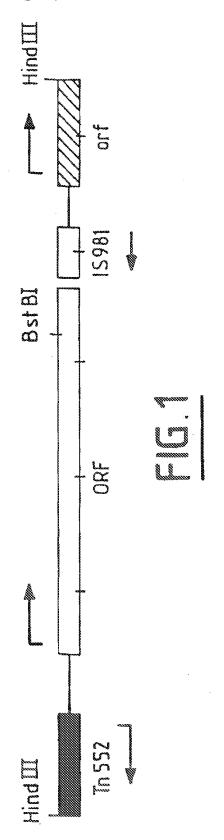
Gautier et al., Applied and Environmental Microbiology, vol. 53, No. 5, May 1987, 923-927.

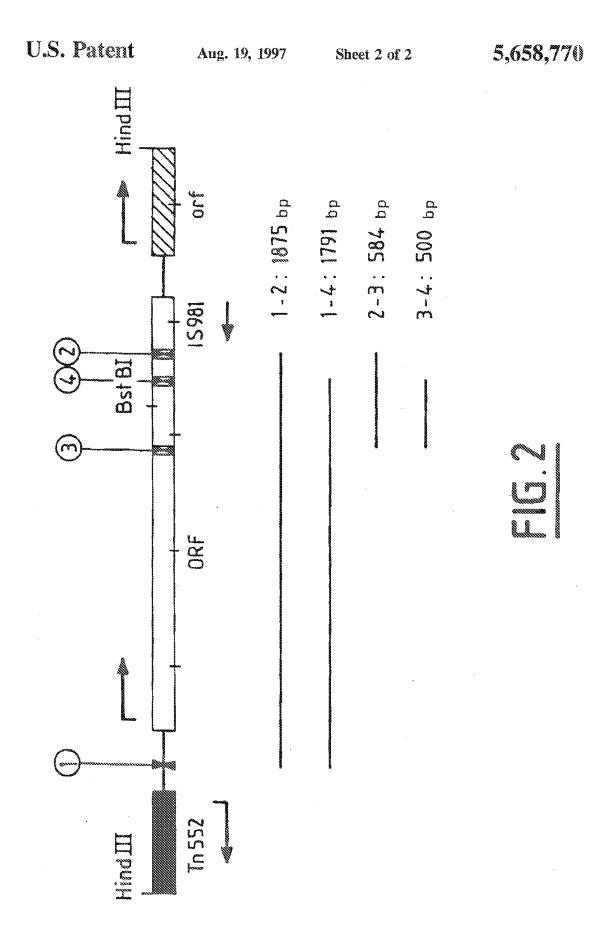
Primary Examiner-James Ketter Assistant Examiner—John S. Brusca Attorney, Agent, or Firm-Foley & Lardner

#### [57] **ABSTRACT**

The invention relates to a DNA sequence of about 1.9 kb comprising at least one phage resistance mechanism, said sequence being obtained from the HindIII-HindIII DNA sequence of 3.3 kb contained in the strain Lactococcus lactis ssp lactis, deposited in the CNCM under no. I-945, by the PCR method.

5 Claims, 2 Drawing Sheets





### NUCLEIC ACID SEQUENCE AND PLASMIDS COMPRISING AT LEAST ONE PHAGE RESISTANCE MECHANISM, BACTERIA IN WHICH THEY ARE PRESENT, AND THEIR USE

The present invention relates to a novel nucleic acid sequence and plasmids capable of hybridizing therewith which carry at least one phage resistance mechanism, to the lactic acid bacteria in which this sequence or these plasmids arc present, in particular the lactococci belonging to the species Lactococcus lactis, to the use of certain strains of these lactococci for the transfer, especially by conjugation, of a phage resistance mechanism to strains of industrial interest, in particular in the dairy industry, and to the use of certain strains of Lactococcus lactis for the preparation of 15 these plasmids.

Lactic acid bacteria are involved in the production and storage of a large number of food products such as cheese. butter, yogurt, sausage or pickled cabbage. Dairy products are of particular importance among these foods. The indus-20 trial processing of milk is carried out in ever larger fermentation vats, in which the appearance of phages of lactic acid bacteria can have serious or even catastrophic consequences, namely a variation in the characteristics, especially organoleptic characteristics, of the final product, the loss of the 25 product present in the vat, and the need to decontaminate the latter as well as the surrounding installations. The dairy industry therefore has a pressing need for new means and new methods by which lactic acid bacteria can be rendered more resistant to phages.

The phages of lactic acid bacteria belong to three major homology groups, (I), (II) and (III), defined by DNA/DNA hybridization studies according to RELANO P. et al., (1987), J. Gen. Microbiol. 133, 3053-3063. Groups (I) and (III) comprise only virulent phages. Group (II) comprises 35 virulent phages and temperate phages. The homologies are strong within one and the same group and very weak between groups. Group (I) phages have an oblong nucleocapsid, whereas group (I) and (III) phages have an isometric nucleocapsid.

Several phage resistance mechanisms are known to exist, the three main ones being:

the inhibition of phage adsorption; in this mechanism, the adsorption of the phage by the bacterium is inhibited or

the restriction/modification system; this system involves a restriction enzyme which degrades the phage DNA as soon as it enters the bacterium.

abortive infection; according to this third mechanism, the phages are adsorbed normally but do not multiply.

These mechanisms are described in detail by SANDERS M. in Biochimie 70, (1988), 411-421.

Numerous studies have already been carried out with the aim of developing phage-resistant lactic acid bacteria.

In this connection, reference may be made in particular to 55 the following articles:

VLEGELS et al., Neth. Milk and Dairy J. 43, (1989), 245-259:

SANDERS and KLAENHAMMER, Applied and Environ. Microbiol. (1983), vol. 46, 1125-1133, relating to plasmids which inhibit phage adsorption;

Audrey W. JARVIS, Applied and Environ. Microbiol. March 1988, p.777-783;

EP-A3-0 208 468;

COFFEY et al., Neth. Milk and Dairy J. 43, (1989), 229-244;

2

KLAENHAMMER and SANOZKY, Journal of General Microbiology (1985), 131, 1531-1541, describing plasmids which confer phage resistance by the abortive infection mechanism;

JOSEPHSEN and KLAENHAMMER, Plasmid 23, 71-75, (1990);

U.S. Pat. No. 4,883,756;

GAUTIER and CHOPIN, Applied and Environ. Microbiology (1987), 53, p. 923-927,

the two latter articles especially describing plasmids which confer phage resistance by the restriction/modification mechanism.

The Applicants have also worked in this field and described in EP-A1-452 224 as well as in U.S. patent application Ser. No.08/144,611 filed on Nov. 1st, 1993 as a continuation of U.S. Pat. No. 07/778,097 of Dec. 13, 1991, both incorporated herein by way of reference, a DNA molecule comprising at least one phage resistance mechanism, said molecule containing a functional part of the HindIII—HindIII fragment of about 3.3 kb of plasmid pPF144-1 present in the strain of Escherichia coli deposited in the National Collection of Cultures of Microorganisms (CNCM) of the Pasteur Institute, Paris under no. I-1070 on 9th Apr. 1991.

This HindIII-HindIII fragment of about 3.3 kb was isolated from plasmid pPF144 contained in the strain Lactococcus lactis ssp lactis, deposited in the CNCM under no. I-945, which is a transconjugant derived from the crossing of the donor strain Lactococcus lactis ssp lactis S91, deposited in the CNCM under no. I-940 on 12th Apr. 1990, with the recipient strain Lactococcus lactis ssp lactis S45, derived from the strain Lactococcus lactis ssp lactis C2-L. L. McKay et al., 1977, J. Bacteriol. 257-265. This fragment carries one or more phage resistance mechanisms.

Continuing their work, the Applicants isolated, from this HindIII—HindIII DNA sequence of 3.3 kb, a DNA sequence of 1.9 kb which on its own confers phage resistance.

The present invention therefore relates to a novel nucleic acid sequence comprising at least one phage resistance mechanism, said sequence having about 1.9 kb and consisting of:

- a) the DNA sequence having the nucleic acid series of SEQ ID no. 1;
- b) the DNA sequences hybridizing with the above sequence or a fragment thereof; and
- c) the corresponding mRNA and cDNA sequences.

The sequence [SEQ ID no. 2] is the amino acid sequence deduced from sequence SEQ ID no. 1.

The DNA sequence [SEQ ID no. 1] can be obtained from the HindIII—HindIII DNA sequence of 3.3 kb contained in the strain Lactococcus lactis ssp lactis, deposited in the CNCM under no. I-945, by the PCR method using the following two oligonucleotides:

~	mmm	///	***************************************
	Olig	onucleotide no. 1 [SEQ ID no. 3]:	
	5'	GGGAATICGAACATAGAATAGATTACGG Ecori	3'
	Olig	onucleotide no. 2 [SEQ ID no. 4]:	
	5°	GGGGATCCAAACTGTTCTGTTGCGAGTG	3'
		BamHI	

The invention further relates to the DNA sequences which have a high degree of homology with the above DNA 65 sequence [SEQ ID no. 1]. Here a high degree of homology means a homology (ratio of the identical nucleotides to the total number of nucleotides) of at least 70%, preferably at

least 80%, of the nucleotide sequences when they are aligned according to maximum homology, using the optimal sequence alignment method of Needleman and Wunsch, 1970, J. Mol. Biol. 48, 443–453. This method is used especially in the UWGCG software of the University of 5 Wisconsin: Devereux et al., 1984, Nucl. Ac. Res. 12, 8711–8721-option GAP.

The present invention particularly relates to the DNA sequences which hybridize with the DNA sequence [SEQ ID no. 1] or a fragment thereof. In the present specification the term "hybridization" designated the conventional hybridization conditions and more particularly the stringent hybridization conditions.

The invention further relates to the plasmids transformed with one of the nucleic acid sequences according to the invention. These plasmids can be for example plasmid 15 pPF144-12 into which the DNA sequence according to the invention has been cloned by the usual techniques well known to those skilled in the art.

The invention further relates to the phage-resistant lactic acid bacteria, preferably belonging to the species *Lactococcus lactis*, which contain at least one nucleic acid sequence or one plasmid as defined above.

This nucleic acid sequence or this plasmid may have been introduced into the lactic acid bacteria by conjugation, transformation, protoplast fusion or another gene transfer 25 method.

Examples of the lactic acid bacteria which can advantageously be transformed with the nucleic acid sequence according to the invention or a plasmid containing said sequence are the strains Lactococcus lactis ssp cremoris, 30 Lactococcus lactis ssp lactis and Lactococcus lactis ssp lactis var. diacetylous.

These strains, transformed in this way, can be used for the transfer, by conjugation, transformation, transduction, protoplast fusion or another gene transfer method, of a phage 35 resistance mechanism to a strain of industrial interest. This mechanism can be carried by a plasmid or by another part of the genome of the bacterium. If said mechanism is carried by a plasmid, it is advantageously transferred by conjugation.

The invention further relates to the resulting phage- 40 resistant strains of industrial interest.

The invention will be understood more clearly with the aid of the following Examples, which include experimental results and a discussion thereof. Some of these Examples relate to experiments performed in order to carry out the 45 invention; other Examples of how to carry out the invention are of course given purely by way of illustration.

A large part of all the techniques described in these Examples, which are well known to those skilled in the art, is described in detail in the work by Sambrook, Fritsch and 50 Maniatis: "Molecular cloning; a Laboratory Manual" published in 1989 by Cold Spring Harbor Press in New York (2nd edition).

The following description will be understood more clearly with the aid of FIGS. 1 and 2 below, in which:

FIG. 1 shows the restriction map of the 3.3 kb fragment of pPF144-1. Tn552 is a region having a high degree of Homology with part of transposon Tn552. The term "ORF" signifies an open reading frame of 1620 bp. IS981 is a region having a high degree of homology with part of insertion 60 sequence IS981. The term "orf" signifies the beginning of an open reading frame.

FIG. 2 shows the amplification by the PCR method of internal fragments of the 3.3 kb fragment of pPF144-1.

Fragment 1-2 confers phage resistance.

Fragments 1-4, 2-3 and 3-4 do not confer phage resistance

4

### EXAMPLE 1

Sequence of the HindIII—HindIII fragment of 3.3 kb

The strain Lactococcus lactis S45-91-1, deposited in the CNCM under no. I-945 on 12th Apr. 1990, contains a plasmid with a size of 144 kb, called pPF144, which confers phage resistance. This strain is totally resistant to phage Ø59 (group III). On the other hand, it has a partial resistance to phage Ø53 of group I, which develops but forms abnormally small lysis plates of the size of a pinhead. The HindIII-HindIII restriction fragment of 3.3 kb, conferring phage resistance, was cloned from plasmid pPF144 in vector pVA838 disclosed by MACRINA F. L. et al (1982), Gène, 19, 345-353, according to the procedure disclosed in Example 7 of EP-A1-452 224 and of U.S. Ser. No.08/144, 611, incorporated herein by way of reference. This recombinant plasmid, pPF144-2, confers on the strain Lactococcus lactis ssp lactis S56 the same level of phage resistance as plasmid pPF144 in its entirety.

The nucleic acid sequence of this 3.3 kb fragment, determined by the method of Sanger et al. (PNAS-USA, 14, 5463, 1977), is the sequence [SEQ ID no. 7] below.

Enzymic restriction analyses of this 3.3 kb fragment showed the presence in this fragment of a single site for recognition of the enzyme BstBI. Subcloning of the two HindIII-BstBI restriction fragments and their introduction into the strain L. lactis S56 made it possible to show that neither of them confers phage resistance. From this it was deduced that the BstBI site is within the assumed resistance gene. This hypothesis was strengthened by determination of the nucleotide sequence of the two fragments, showing that the BstBI site is within an open reading frame (ORF) of 1.62 kb, which would correspond to the resistance gene. FIG. 1 shows the restriction map of the HindIII—HindIII fragment of 3.3 kb.

Other analyses also showed that the HindIII---HindIII fragment of 3.3 kb possesses:

- a region Tn552 having a high degree of homology with part of transposon Tn552 (ref.: Tn552, a novel transposable element from Staphylococcus aureus (1990), S. J. ROWLAND, K. G. H. DYKE, Molecular Microbiology 4, 961-975);
- an ORF of 1620 bp which would correspond to the resistant gene;
- a region IS981 having a high degree of homology with part of insertion sequence IS981. (ref.: Identification, DNA sequence and distribution of IS98, a new high-copy-number insertion sequence in Lactococci (1991), K. M. POLZIN, L. L. McKAY, Applied and Environ. Microbiol. 57, 734-743;

the beginning of an open reading frame (orf).

# EXAMPLE 2

Amplification by the PCR method of internal fragments of the HindIII—HindIII fragment of 3.3 kb

The "PCR" (Polymerase Chain Reaction) technique, described for example in the work by Maniatis cited above, makes it possible to amplify a DNA fragment located between two oligonucleotides. This amplified DNA can easily be cloned if restriction sites are provided by the oligonucleotides. In fact, the sequences of these oligonucleotides can contain, at their 5' cud, a heterologous part of the DNA to be amplified, consisting for example of 8 base pairs.

65 6 of which constitute a restriction site.

This technique was applied in order to determine whether the ORF revealed in the nucleotide sequence of the 3.3 kb

fragment did indeed correspond to the phage resistance gene, but also in order to form a specific probe for this ORF.

4 oligonucleotides of 28 bases (6 of which constitute a restriction site) were synthesized.

These 4 oligonucleotides have the following sequences:

~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	~~~~~~~
Oligonucleotide no. 1 (SEQ no. 3):	
5' GGGAATTCGAACATAGAATAGATTACGG	3'
EcoRI	
Oligonucleotide no. 2 [SEQ no. 4]:	
5' GGGGATCCAAACTGTTCTGTTGCGAGTG	3'
BamHi	
Oligonucleotide no. 3 [SEQ no. 5]:	
5' GGGAATTCAAGGAGTAACTTTTAGTCTT	3"
EcoRI	
Oligonucleotide no. 4 [SEQ no. 6]:	
5' GGGAATICTAAAAATTGAACGATTTCCA	3°
EcoRI	

Their locations on the 3.3 kb fragment are indicated in FIG. 2.

Oligonucleotides no. 1 and 2 made it possible to amplify a DNA fragment of 1875 bp containing the entire ORF plus 201 bp directly upstream of the latter, a region capable of containing gene expression signals. This DNA was amplified in the form of an EcoRI-BamHI fragment by virtue of the restriction sites provided by the oligonucleotides, allowing a directional cloning in shuttle vector pVA838.

In the same way, oligonucleotides no. 3 and 4 made it possible to amplify a region of 500 bp, overlapping the 30 BstBI site, in the form of an EcoRi-EcoRi fragment. This region was chosen for forming a specific probe since it was shown that the two HindIII-BstBI subfragments of the 3.3 kb fragment did not on their own confer phage resistance, and hence that the region of the BstBI site was essential for the 35 activity of the gene.

Two other fragments within the ORF could be amplified by the "PCR" method by virtue of the oligonucleotide pairs no. 1 and 4 and no. 2 and 3.

Starting from plasmid pPF144-2 purified on CsCI, the 4 40 DNA fragments were amplified by the "PCR" method with Vent polymerase (Biolabs), which possesses an exonuclease activity increasing its fidelity by a factor of 15 compared with the conventional Taq polymerase. The PCR products were purified by extraction with phenol/chloroform, precipitated with ethanol, digested with EcoRI or BamHI and EcoRI, depending on the fragment, and cloned in vector pVA838.

Cloning of the fragments in vector pVA838 made it possible to introduce them into a strain of *L. lactis*, after <sup>50</sup> amplification of the recombinant plasmids in the strain *E. coli* TG1, and to determine whether they confer phage resistance.

A synopsis of the results relating to the cloning of the different amplified DNA fragments is presented in Table I  $^{55}$  below:

TABLE I

Oligonucléotide pair	Fragment size	Added sites	Cloned in pVA838
encolococcolococco	E-1000000000000000000000000000000000000	economical designation of the control of the contro	CONTRACTOR
1-2	1875 pb	EccRl-BamHl	pPF144-12
1-4	1791 pb	EcoRI-EcoRI	pPF144-14
2-3	584 pb	BamHI-EcoRI	pPF144-23
4-3	500 pb	EcoRI-EcoRI	pPF144-43

# **EXAMPLE 3**

Phage resistance conferred by plasmid pPF144-12

Plasmids pPF144-12, pPF144-14, pPF144-23 and pPF144-43 were introduced into the strain *L. lactis* S56. The phage resistance of the clones obtained was tested by performing a titration (PFU/ml) with phages Ø53 and Ø59.

The results are given below:

10	***************************************	**************************************						
		phag	ge Ø53 (I)	phage Ø59 (III)				
	Strain	Titer (PFU/ml)	Plate size (mm)	Titer (PFU/ml)	Plate size (mm)			
15	856	10 <sup>10</sup>	3	3.10 <sup>9</sup>	2			
	S56(vPF144-1)	$2.10^{7}$	<0,25	0	0			
	S56(pPF144-12)	$4.10^{7}$	<0,25	0	.0			
	\$56(pPF144-14)	8.10°	3	6.10 <sup>9</sup>	2			
	S56(pPF144-23)	6.10°	3	6.10 <sup>9</sup>	2			
	S56(pPF144-43)	10 <sup>10</sup>	3	2.10°	2.			

20 PFU/ml = plate forming units per ml

Plasmid pFF144-12, containing the 1875 bp fragment amplified by the PCR method, confers the same phage resistance as plasmid pPF144-1. The other plasmids, namely pPF144-14, pPF144-23 and pPF144-43, comprising only part of the ORF of 1.62 kb, do not confer phage resistance.

### **EXAMPLE 4**

30 Test on the replication of phage DNA in the presence of plasmid pPF144-12

Phages Ø53 and Ø59 belong to genetic groups I and III respectively. A genetic map of these phages was constructed and it was demonstrated in particular that the genome of these phages, consisting of double-stranded DNA, possesses sticky ends. This result implies that the replication of the DNA of these phages takes place according to a model identical to that of E. coli phage lambda: formation of concatemers during the lytic cycle and cleavage of these concatemers with a specific enzyme at the moment of encapsidation in the nucleocapsid of the phage.

The method of Hill et al. (Hill, C., Massey, I. J., Klaenhammer, T. R. (1991), Rapid method to characterize lactococcal bacteriophage genomes, Appl. Environ. Microbiol. 57, 283–288) was used to follow the fate of the phage DNA after injection into the bacterium. The strain L. lactis S56, containing the vector pVA838 or the plasmid pPF144-12, was infected with Ø53 and Ø59 with a multiplicity of infection of 2. Aliquots of the infected cultures are taken at regular intervals of time. The total DNA, i.e. cell and phage DNA, of each aliquot is extracted and digested with a restriction enzyme and the fragments obtained are separated electrophoretically by migration on agarose gel. The DNA is then transferred to a nylou membrane and hybridized with the DNA of the phage used as the probe (ECL kit, Amersham).

This method makes it possible to follow the appearance of, and change in, the phage DNA within the infected cell as a function of time.

The results obtained with the enzymes EcoRI, HindHI and EcoRV showed that the phage DNA replicates in the S56 strains with the vector pVA838 or the plasmid pPF144-12. An accumulation of the phage DNA in the form of concatemers is observed with the plasmid pPF144-12, whereas in the strain containing the vector pVA838, these concatemers start to disappear twenty minutes after infection.

### **EXAMPLE 5**

Test on the production of phage proteins in the presence of plasmid pPF144-12

Phage Ø53 and Ø59 preparations purified on cesium 5 chloride were used to prepare polyclonal antibodies in rabbits. The 56 strain, containing the vector pVA838 (control) or the plasmid pPF144-12, was infected with one of these phages with a multiplicity of 1. Every five minutes after infection, a fraction of the cells is taken and heated for 10 three minutes at 100° C. in the presence of 2.3% of SDS and 5% of β-mercaptoethanol and the proteins are fractionated on a 12.5% SDS-polyacrylamide gel [Laemmli, U. K. 1970, Cleavage of structural proteins during the assembly of the

8

head of bacteriophage T4, NATURE (London) 227, 680-685] and then transferred to nitrocellulose filters.

Immunological detection of the phage proteins on the nitrocellulose was effected with anti-Ø53 or anti-Ø59 rabbit antibodies and the immune complex was then localized with anti-rabbit mouse antibodies (ECL kit, Amersham) using streptavidin/alkaline phosphatase.

These results show that the proteins of phage Ø53 or Ø59 are found with and without the plasmid pPF144-12, but that, in the presence of this plasmid, the amount of proteins produced is small and the rate of appearance of these proteins is slowed down compared with a strain containing the vector pVA838. This phenomenon is more pronounced for Ø59 than for Ø53.

SEQUENCE LISTING

- ( 1 ) GENERAL INFORMATION:
  - ( i i i ) NUMBER OF SEQUENCES: 7
- ( 2 ) INFORMATION FOR SEQ ID NO: 1:
  - ( i ) SEQUENCE CHARACTERISTICS:
    - ( A ) LENGTH: 1875 base pairs
    - (B) TYPE: nucleic acid
    - ( C ) STRANDEDNESS: single
    - ( D ) TOPOLOGY: linear
  - ( i i ) MOLECULE TYPE: DNA (genomic)
  - ( i i i ) HYPOTHETICAL: NO
  - ( i i i ) ANTI-SENSE: NO
  - ( v i ) ORIGINAL SOURCE:
    - ( A ) ORGANISM: Lactoseccus lactis
  - ( i x ) FEATURE:
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Lou Ser Tyr Leu Val Ser Gla Asp Asp Glu Ile Ser Leu Arg Asa Asp
15 20 25

ATT AAA CAT GAA GAA GTA TAT AAA ATT TTA GAG AAT AAG TTT GCT TCT 327 Ile Lys His Glu Glu Val Tyr Lys Ile Leu Glu Asn Lys Phe Ala Ser

ATA ATG CCG AAG TTT AAA ACA AAA GGT TAT AAG TTT AAA GAT ACT ACT 11e Met Pro Lys Phe Lys Thr Lys Gly Tyr Lys Phe Lys Asp Thr Thr 45 50 55

GAA GTT TTG ACA TTC GCT AAA TTT GTA TTT TTG CTA CAA GAG TGG GGG 423
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60 65 70

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Leu Lys Asp Ile Gin Pho Tyr Lys Asn Thr Asn Ser Pho Leu Pho Gly

TAT ATT ATA CCG CAA ATT AAT AAA GAA TIT GAT TTA TIG AGA TIT GGG

PATENT REEL: 032261 FRAME: 0870

5 1 9

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					CTT Len											615
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					A G T S c r 1 6 0											711
					ATT											759
					TTT											807
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					66A 61y 240											951
					AAA Lys										ATA	999
					CTA Leu											1047
					CCT Pro											1095
					TAC Tyr											1143
					C A A G 1 n 3 2 0											1191
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					GAA Glu											1 2 8 7
					TTT Phe											1335
					ATC											1383
					T C A S o r 4 0 0											1 4 3 1
TTT	GAT	AAC	AGA	GAA	TCG	GGA	AAT	GAA	TAT	ATT	TCC	GAT	ATG	GAT	TCA	1 4 7 9

	-continued															
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					ATG Met											1575
					GAT Asp											1623
T T T P h e 4 7 5					A A A L y s 4 8 0											1671
GTT Val					GAA Glu											1719
					CTT Leu											1767
					T C A S e I											1815
AGT Ser		TAA	AGTT	cre '	rerea	AAAG	FT A	AAAA.	AAGT	3 AA.	ATCA	CTCG	CAA	CAGA.	ACA	1871
GTT	r															1875

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   Asp 5
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   Ser 15

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   11e 20
   11e Ser Leu Arg Asp 25
   Asp 11e Lys Lys Lys Giu 30
   Glu Val 30
   Val 30
   Val 30
   Val 45

   Tyr Lys 10
   11e 20
   11e 20
   11e 25
   Asp 11e 25</

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									ntinue	d					
<del>lennemenne</del>		00007077		165	***************************************	**********	***********	**************************************	170		***************************************	***************************************	***********	175	***************************************
I 1 e	Lys	Gin	G l u 180	Pho	Leu	Glu	Туг	A s n 1 8 5	Thr	Lуs	Glu	Val	Asp 190	Ser	Phe
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11 c 305	Val	Val	Азр	Glu	A1 a 3 1 0	Gin	Arg	Leu	Атд	G 1 n 3 1 5	Asp	Leu	Gly	0 1 u	G 1 n 3 2 0
Тух	Thr	Lys	Leu	V a 1 3 2 5	Asp	Thr	Ile	Glu	As n 330	Ser	Gin	Thr	Lys	Phe 335	Ile
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Asn		3 5 5					360	Ile	·		·	3 6 5			
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Lys 465					470			Asn		475					480
				485				Sor	490					495	
Thr			500					G1 u 5 0 5					510		
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  - ( D ) TOPOLOGY: linear

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(iii) ANTI-SENSE: NO	
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( i x ) FEATURE:  ( A ) NAME/KEY: misc_structure  ( B ) LOCATION: 928  ( D ) OTHER INFORMATION: /function="seq, hemologous to nucleotides 1292-1311 of SEQ ID NO:1"	
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    ( i i i ) ANTI-SENSE: NO
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             (B) LOCATION: 3.8
             ( D ) OTHER INFORMATION: /function="EcoRI restriction site"
     ( i x ) FEATURE:
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             ( C ) STRANDEDNESS: single
             (D) TOPOLOGY: linear
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    ( i i i ) HYPOTHETICAL: NO
    ( i i i ) ANTI-SENSE: NO
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             ( A ) ORGANISM: Lactococcus lactis
     ( \times i ) SEQUENCE DESCRIPTION: SEQ ID NO: 7:
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TTATTGAGTC	AGTCAATGAT	TTTAACTTAT	GATAAAGAAA	AACTTAAAAA	GCTT	3 2 3 4
CVERTITION CONTRACTOR OF VESTION	**************************************	in decision of the contraction o				****************

What is claimed is:

- 1. A polynucleotide conferring at least one phage resis-
- tance mechanism, wherein said polynucleotide encodes a polypeptide according to SEQ ID NO:2.

  2. A plasmid encoding at least one phage resistance 5 mechanism, said plasmid containing a polynucleotide encoding a polypeptide according to SEQ ID NO:2.
- 3. A method of conferring phage resistance to a bacterium, comprising the step of contacting said bacterium with a

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polynucleotide encoding a polypeptide according to SEQ ID NO:2.

- 4. A method according to claim 3, wherein said contacting occurs through conjugation or fusion.
- 5. A method according to claim 3, wherein said contacting occurs through transformation.

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 5,658,770

DATED : August 19, 1997 INVENTOR(S) : PREVOTS et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page,

item [73] Assignses: Please delete "SANOFI, Paris, France", and insert

-SYSTEMS BIO-INDUSTRIES, Boulogne-

Billancourt Cedex, France--.

Signed and Sealed this

Sixteenth Day of December, 1997

Attest:

BRUCE LEHMAN

Attesting Officer

Commissioner of Patents and Trademurks

**RECORDED: 02/21/2014**