

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2743554

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>SCOTT A JONES</td> <td>02/11/2014</td> </tr> <tr> <td>MICHAEL BARRUS</td> <td>02/11/2014</td> </tr> <tr> <td>ANDY ROCK</td> <td>02/23/2014</td> </tr> </tbody> </table>		Name	Execution Date	SCOTT A JONES	02/11/2014	MICHAEL BARRUS	02/11/2014	ANDY ROCK	02/23/2014				
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RECEIVING PARTY DATA													
<table border="1"> <tr> <td>Name:</td> <td>K2M, INC.</td> </tr> <tr> <td>Street Address:</td> <td>751 MILLER DRIVE SE</td> </tr> <tr> <td>Internal Address:</td> <td>SUITE F-1</td> </tr> <tr> <td>City:</td> <td>LEESBURG</td> </tr> <tr> <td>State/Country:</td> <td>VIRGINIA</td> </tr> <tr> <td>Postal Code:</td> <td>20175</td> </tr> </table>		Name:	K2M, INC.	Street Address:	751 MILLER DRIVE SE	Internal Address:	SUITE F-1	City:	LEESBURG	State/Country:	VIRGINIA	Postal Code:	20175
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<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Patent Number:</td> <td>8377104</td> </tr> </tbody> </table>		Property Type	Number	Patent Number:	8377104								
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CORRESPONDENCE DATA													
<p>Fax Number: (631)501-3526</p> <p>Phone: 631-501-5700</p> <p>Email: docket@cdfslaw.com</p> <p><i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i></p> <p>Correspondent Name: CARTER, DELUCA, FARRELL &amp; SCHMIDT, LLP</p> <p>Address Line 1: 445 BROAD HOLLOW ROAD</p> <p>Address Line 2: SUITE 420</p> <p>Address Line 4: MELVILLE, NEW YORK 11747</p>													
ATTORNEY DOCKET NUMBER:	1449-192DIV MB												
NAME OF SUBMITTER:	DANA A. BRUSSEL												

Signature:	/dana a brussel/
Date:	02/26/2014
<b>Total Attachments: 6</b> source=00553997#page1.tif source=00553997#page2.tif source=00553997#page3.tif source=00553997#page4.tif source=00553997#page5.tif source=00553997#page6.tif	

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☐ U.S. Application or ☒ U.S. Patent  
By : ☒ Inventor(s) or ☐ Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:                      Scott A. JONES  
   Michael BARRUS  
(Inventor(s) or                      Andy ROCK  
person(s) or entity(es)  
who own the invention)

(If assignment is by person or entity to whom invention was previously assigned and this  
was recorded in PTO add the following)

Recorded on

Reel:

Frame:

(Assignment of Invention [16-3]-- page 1 of 4)

hereby sells, assigns and transfers to

ASSIGNEE:

K2M, Inc.

(Type or print name of ASSIGNEE)

751 Miller Drive SE, Suite F-1

Address

Leesburg, VA 20175

United States

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

DUAL ACTION ROD REDUCING AND LOCKING DEVICE AND METHOD

(Title of invention)

(Assignment of Invention [16-3]-- page 2 of 4)

**PATENT**  
**REEL: 032301 FRAME: 0988**

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on \_\_\_\_\_.
- (c) ☐ U.S. application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_.
- (d) ☐ U.S. provisional application No. 60/ \_\_\_\_\_  
filed on \_\_\_\_\_.
- (e) ☒ U.S. Patent No. 8,377,104 B2 issued February 19, 2013.
- (f) ☐ PCT application No. PCT/US \_\_\_\_\_  
filed on \_\_\_\_\_.
- ☐ A change of address to which correspondence is to be sent  
regarding patent maintenance fees is being sent  
separately.
- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority  
and, in and to, all Letters Patent to be obtained for said invention by the above  
application or any continuation, continuation-in-part, divisional, renewal, or substitute  
thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

(Assignment of Invention [16-3]-- page 3 of 4)

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ (Date of signing).

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

\_\_\_\_\_  
Scott A. Jones

\_\_\_\_\_  
Dated

  
Michael Barrus

2/11/2014

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Andy Rock

\_\_\_\_\_  
Dated

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR

\_\_\_\_\_  
Title

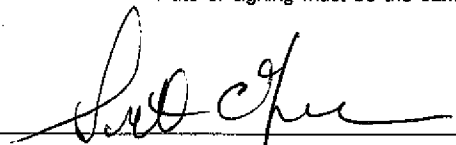
NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.


[ ] Notarization or Legalization Page Added.

(Assignment of Invention [16-3]-- page 4 of 4)

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\_\_\_\_\_  
Scott A. Jones

  
\_\_\_\_\_  
Dated

\_\_\_\_\_  
Michael Barrus

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Andy Rock

\_\_\_\_\_  
Dated

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Scott A. Jones

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Michael Barrus

\_\_\_\_\_  
Dated

\_\_\_\_\_  
Andy Rock

\_\_\_\_\_  
23 Feb 2014

\_\_\_\_\_  
Dated

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