

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"> <thead> <tr> <th>Name</th> <th>Execution Date</th> </tr> </thead> <tbody> <tr> <td>ALFRED GRILL</td> <td>11/22/2013</td> </tr> <tr> <td>JOSHUA L. HERMAN</td> <td>11/25/2013</td> </tr> <tr> <td>SON NGUYEN</td> <td>11/22/2013</td> </tr> <tr> <td>HOSADURGA K. SHOBHA</td> <td>11/22/2013</td> </tr> </tbody> </table>		Name	Execution Date	ALFRED GRILL	11/22/2013	JOSHUA L. HERMAN	11/25/2013	SON NGUYEN	11/22/2013	HOSADURGA K. SHOBHA	11/22/2013
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RECEIVING PARTY DATA											
Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION										
Street Address:	NEW ORCHARD ROAD										
City:	ARMONK										
State/Country:	NEW YORK										
Postal Code:	10504										
PROPERTY NUMBERS Total: 1											
<table border="1"> <thead> <tr> <th>Property Type</th> <th>Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>14194036</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	14194036						
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Application Number:	14194036										
CORRESPONDENCE DATA											
Fax Number:	(516)742-4366										
Phone:	516-742-4343										
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<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>											
Correspondent Name:	SCULLY, SCOTT, MURPHY & PRESSER, P.C.										
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Address Line 2:	SUITE 300										
Address Line 4:	GARDEN CITY, NEW YORK 11530										
ATTORNEY DOCKET NUMBER:	YOR920070553US4(21712AZ)										
NAME OF SUBMITTER:	LESLIE S. SZIVOS										

Signature:	/Leslie S. Szivos/
Date:	02/28/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 4 source=YOR920070553US4_IBMAssignmentDeclaration#page1.tif source=YOR920070553US4_IBMAssignmentDeclaration#page2.tif source=YOR920070553US4_IBMAssignmentDeclaration#page3.tif source=YOR920070553US4_IBMAssignmentDeclaration#page4.tif	

DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

Title of Invention: **ADVANCED LOW k CAP FILM FORMATION PROCESS FOR NANO ELECTRONIC DEVICES**

As a below named and undersigned inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

United States application or PCT international application number _____
filed on _____.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

Whereas, I have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and I desire to grant to IBM, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I ("ASSIGNOR") hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to IBM and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Alfred Grill**

Signature: Alfred Grill Date: 11.22.2013

(2) Legal Name of Inventor: **Joshua L. Herman**

Signature: _____ Date: _____

(3) Legal Name of Inventor: **Son Nguyen**

Signature: _____ Date: _____

(4) Legal Name of Inventor: **Hosadurga K. Shobha**

Signature: _____ Date: _____

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Whereas, International Business Machines Corporation, a corporation of New York having a place of business at Armonk, New York (herein referred to as "IBM"), desires to acquire, and I desire to grant to IBM, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I ("ASSIGNOR") hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to IBM (the "ASSIGNEE"), its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to IBM, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by IBM, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in IBM, its successors, legal representatives, and assigns, whenever requested by IBM, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to IBM and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant IBM, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

(1) Legal Name of Inventor: **Alfred Grill**

Signature: _____ Date: _____

(2) Legal Name of Inventor: **Joshua L. Herman**

Signature: Joshua Herman Date: 11/25/13

(3) Legal Name of Inventor: **Son Nguyen**

Signature: Son Nguyen Date: 11/22/2013

(4) Legal Name of Inventor: **Hosadurga K. Shobha**

Signature: Hk Shobha Date: 11/29/2013