

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PUI-KWONG CHAN	05/15/2013
RECEIVING PARTY DATA	
Name:	BAYLOR COLLEGE OF MEDICINE
Street Address:	TEXAS MEDICAL CENTER
Internal Address:	ONE BAYLOR PLAZA
City:	HOUSTON
State/Country:	TEXAS
Postal Code:	77030
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14020099
CORRESPONDENCE DATA	
Fax Number:	(718)357-8615
Phone:	(718) 799-1000
Email:	CHANK@KITCHANLAW.COM
<i>Correspondence will be sent via US Mail when the email attempt is unsuccessful.</i>	
Correspondent Name:	LAW OFFICES OF ALBERT WAI-KIT CHAN, PLLC
Address Line 1:	141-07 20TH AVENUE
Address Line 2:	WORLD PLAZA, SUITE 604
Address Line 4:	WHITESTONE, NEW YORK 11357
ATTORNEY DOCKET NUMBER:	1488-B1-PCT-US
NAME OF SUBMITTER:	ALBERT WAI-KIT CHAN
Signature:	/Albert Wai-Kit Chan/
Date:	03/05/2014
Total Attachments: 3 source=Chan to Baylor 2013 Assignment#page1.tif source=Chan to Baylor 2013 Assignment#page2.tif source=Chan to Baylor 2013 Assignment#page3.tif	

PATENT

ASSIGNMENT AGREEMENT

WHEREAS, Pui-Kwong Chan, Ph.D., a citizen of the United States, residing at 6122 Walkers Park Drive, Sugar Land, Texas 77479 (herein called "Assignor") is a co-inventor of certain new and useful Inventions attached hereto as **Schedule A** (herein called the "Inventions"); and

WHEREAS, Assignor is an employee (herein called "College Personnel") of BAYLOR COLLEGE OF MEDICINE, a non-profit corporation duly organized and existing under and by virtue of the laws of the State of Texas, and having a principal place of business at Texas Medical Center, One Baylor Plaza, Houston, Texas 77030 (herein called "Assignee");

WHEREAS, Assignor represents that he is a co-inventor of the Inventions and that the Inventions were made, conceived and/or reduced to practice while Assignor was serving in his position as College Personnel of Assignee;

WHEREAS, Assignee is desirous of acquiring and Assignor is desirous of assigning to Assignee all rights to said Inventions under the terms and provisions of the policy on Inventions and Patents adopted by the Board of Trustees of Baylor College of Medicine ("Baylor's Patent Policy");

WHEREAS, this assignment is preparatory to a contemplated further licensing or assignment by Assignee of the Inventions to a corporation or other entity for commercialization of the Inventions;

WHEREAS, Assignor acknowledges that he is assigning his right as part of his obligations as College Personnel, his use of the facilities of Assignee to make the Inventions and his desire to receive consideration under the Baylor Patent Policy;

NOW, THEREFORE, Assignor, for and in consideration of the benefits to be accorded including payments to be made to, or equity to be received by, Assignor under Baylor's Patent Policy and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, does hereby SELL, ASSIGN and CONVEY unto Assignee, under the terms and conditions of Baylor's Patent Policy, all of Assignor's rights throughout the world in and to:

1. Said Inventions;
2. United States of America patent applications on said Inventions filed concurrently;
3. All applications for patent or like protection on said Inventions that have now been or may in the future be filed by Assignor or his legal representatives, whether in the United States of America or any other country or place anywhere in the world;
4. All patents and like protection that have now been or may be in the future granted on said Inventions to Assignor or his legal representatives, whether in the United States of America or in any other country or place anywhere in the world;
5. All substitutions for and divisions, continuations, renewals, reissues, extension, and the like of said applications and patents and like grants, including without limitation, those obtained or permissible under past, present and future law or statutes;

6. All rights of action on account of past, present and future unauthorized use of said Inventions and for infringement of said patents and like protection,
7. The right to Assignee to file in its name applications for patents and like protection for said Inventions in any country or countries foreign to the United States; and
8. All international rights or priority associated with said Inventions, applications, patents and like protection.

Assignor covenants that Assignor, his heirs, legal representatives, assigns, administrators and executors will execute all papers and perform such other acts as may be reasonably necessary to give Assignee, its successors and assigns, the full benefit of this Assignment.

This assignment of the Inventions is intended to be part of an integrated plan to transfer by means of license, assignment or otherwise the Inventions to a corporation or other entity for commercialization of the Inventions pursuant to the terms of the Baylor Patent Policy or testing agreement with a corporation. All payments made to, or equity received by, Assignor from any corporation or other entity commercializing the Inventions shall be in exchange for Assignor's assignment of the Inventions hereunder and pursuant to Assignor's rights under the Baylor Patent Policy.

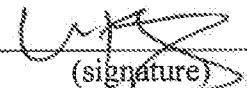
The U.S. Commissioner of Patents and Trademarks is hereby requested to issue U.S. Letters Patent in accordance with this assignment.

The Patent Offices throughout the world are requested to issue Letters Patent in accordance with this Assignment.

EXECUTED on the date indicated below opposite my signature.

Date: May 15th, 2013

Inventor: 
Pui-Kwong Chan

Witness: 
(signature)

Veronica Hinojosa
(printed name of witness)

One Baylor Plaza, BCMO 600 D
(address of witness)
Houston, TX 77030

Schedule A

Application No.	Title	Filing Date	Status	Dkt. #
13/841,053	Methods and Compounds for Modulating the Secretion or Expression of Adhesion Proteins or Angiopoietins of Cells	March 15, 2013	Pending	804-HB-US
PCT/US2007/077273	Anti-Tumor Compounds for Inhibiting Cancer Growth	August 30, 2007	Expired	804-M-PCT
PCT/US2008/002086	Blocking the Migration or Metastasis of Cancer Cells by Affecting Adhesion Proteins and the Uses of New Compounds Thereof	February 15, 2008	Expired	1188-ALA-PCT
12/856,322	Novel Triterpenes for Modulating Gene Expression and Cell Membrane, and as Antiprotozoal Agents	August 13, 2010	Pending	1188-DA-PCT-US
PCT/US2011/044233	New Compounds for Treating Cancer and Other Diseases	July 15, 2011	Expired	1488-B-PCT
PCT/US2012/046716	New Natural and Synthetic Compounds for Treating Cancer and Other Diseases	July 13, 2012	Pending	1488-C-PCT