# PATENT ASSIGNMENT COVER SHEET

# Electronic Version v1.1 Stylesheet Version v1.2

# EPAS ID: PAT2758335

SUBMISSION TYPE:						
NATURE OF CONVEYANCE:		ASSIGNMEN	ASSIGNMENT			
CONVEYING PARTY DATA						
		Name		Execution Date		
PETR ALEXANDROVIO	CH EGOYANT	3		01/28/2014		
DMITRY MIKHAILOVICH VOLOBUEV				01/28/2014		
PAVEL NIKOLAEVICH FIMIN				01/29/2014		
OLEG JURIEVICH ABRAMOV				01/28/2014		
FOZIA SALEEM				01/29/2014		
THOMAS WOODMAN			01/28/2014			
Г <u> </u>						
	BRITISH AMERICAN TOBACCO (INVESTMENTS) LIMITED					
<u></u> _						
Postal Code: WC2R 3LA						
PROPERTY NUMBERS Total: 2						
Property Type		Number				
Application Number: 1		14127148				
PCT Number: Ef		EP2012066486				
CORRESPONDENCE DATA						
Fax Number: (212)479						
Phone:         212 479 60           Email:         batin@aaal						
Email:       batip@cooley.com         Correspondence will be sent via US Mail when the email attempt is unsuccessful.						
-		EY LLP				
		AVENUE OF THE AMERICAS				
Address Line 4: NEW YORK, NEW YORK 10036-7798						

ATTORNEY DOCKET NUMBER:	BTMK-221/00US 318520-2388			
NAME OF SUBMITTER:	NATHAN W. POULSEN			
Signature:	/NATHAN W. POULSEN/			
Date:	03/07/2014			
Total Attachments: 12 source=BTMK_221_00US_Assignment#page1.tif source=BTMK_221_00US_Assignment#page2.tif source=BTMK_221_00US_Assignment#page3.tif source=BTMK_221_00US_Assignment#page5.tif source=BTMK_221_00US_Assignment#page6.tif source=BTMK_221_00US_Assignment#page7.tif source=BTMK_221_00US_Assignment#page8.tif source=BTMK_221_00US_Assignment#page9.tif source=BTMK_221_00US_Assignment#page10.tif source=BTMK_221_00US_Assignment#page11.tif source=BTMK_221_00US_Assignment#page12.tif				

Cooley Ref.: BTMK-221/00US 318520-2388 BAT Ref.: P10011927US01 EIP Ref. E1538.400(T).USW1

#### ASSIGNMENT

## WHEREAS:

Petr Alexandrovich EGOYANTS GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

**Dmitry Mikhailovich VOLOBUEV** GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

Pavel Nikolaevich FIMIN GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

Oleg Jurievich ABRAMOV GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

Fozia SALEEM British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

Citizenship: GB

Thomas WOODMAN British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

Citizenship: GB

(hereafter referred to as ASSIGNOR(S)),

have made a discovery or invention(s) entitled:

#### "HEATING SMOKABLE MATERIAL"

for which application for Letters Patent is attached hereto,

for which application for Letters Patent of the United States has been executed on even date herewith,

for which application for Letters Patent of the United States has been filed on , under Serial No.

for which a PCT application was filed on <u>August 24, 2012</u> and accorded Application No. PCT/EP2012/066486,

WHEREAS:

 $\boxtimes$ 

British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

(hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest in, to and under said invention(s) and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all other countries

#### NOW THEREFORE, TO ALL IT MAY CONCERN:

Be it known that for valuable consideration from ASSIGNEE to ASSIGNOR(S) the receipt of which is hereby acknowledged I, said ASSIGNOR(S) hereby sell, assign and transfer to ASSIGNEE and its successors, other legal representatives and assigns the entire right, title and interest to said discovery, invention and/or industrial design in the United States and its territorial possessions and in all other countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all other countries to be obtained for said invention by said application or any continuation, continuation-in-part, division, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in any other country for the full term or terms for which the same may be granted.

2

I, said ASSIGNOR(S), hereby covenant that I (we) have the full right to convey the entire interest herein assigned and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

I, said ASSIGNOR(S), hereby agree to execute all papers necessary in connection with the application and any continuation, continuation in part, divisional, reissue, reexamination or corresponding applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient;

I, said ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the empowered officials of all other governments to issue all said Letters Patent for said discovery or invention to the ASSIGNEE as assignee of the entire right, title and interest into and under the same, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns;

I, said ASSIGNOR(S), hereby authorize the ASSIGNEE to apply for and obtain the recordation of this Assignment. I, said ASSIGNOR(S), also hereby agree that I (we) shall, without further consideration, promptly and duly cause to be performed such lawful acts and execution of any other documents as the ASSIGNEE may reasonably request in order for the ASSIGNEE to obtain the full benefit of this Assignment and to permit the Assignment to be duly recorded in each office, bureau and tribunal in the appropriate jurisdiction as the registered owner or proprietor of each of the rights hereby assigned. Such instruments and documents shall include, without limitation, affidavits, including affidavits of use, and other documents for filing in such jurisdictions as the ASSIGNEE may from time to time reasonably request.

I, said ASSIGNOR(S), hereby declare that: The above-identified application was made or authorized to be made by me (us); I (we) believe that I (we) am (are) the original inventor(s) or original joint inventor(s) of a claimed invention in the application for which Letters Patent or similar legal protection is sought; I (we) have reviewed and understand the contents of the above-identified application, including the claims; I (we) hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C 1001 by fine or imprisonment of not more than five (5) years, or both; and I (we) acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me (us) to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

AND it is further covenanted and agreed that I, said ASSIGNOR(S), will, at any time upon request by the ASSIGNEE, its successors, legal representatives or assigns, communicate to said ASSIGNEE, its successors, assigns or other legal representatives, any facts relating to said invention(s) and the history thereof known to said ASSIGNOR(S) and testify as to same in any interference or any other legal proceeding when requested to do so, sign any and all lawful papers, execute any and all necessary assignment papers to cause any Letters Patent to be issued to ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain, perfect title in and enforce proper protection for said inventions in the United States and in any and all other countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

3

SIGNED:

1.

2.

3.

4.

5.

6. .

Petr Afexandrovich EGOYANTS

All

**Dmitry Mikhailovich VOLOBUEV** 

**Pavel Nikolaevich FIMIN** 

**Oleg Jurievich ABRAMOV** 

Jan 28 2014

Date

Jan 28 2014 Date

Jan 29, 2014 Date <u>Lan 28, 2014</u> Date

Date

**Fozia SALEEM** 

**Thomas WOODMAN** 

Date

4

Cooley Ref.: BTMK-221/00US 318520-2388 BAT Ref.: P10011927US01 EIP Ref. E1538.400(T).USW1

#### **ASSIGNMENT**

#### WHEREAS:

## Petr Alexandrovich EGOYANTS

GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

# Dmitry Mikhailovich VOLOBUEV GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

Pavel Nikolaevich FIMIN GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

## Oleg Jurievich ABRAMOV GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

Fozia SALEEM British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

Citizenship: GB

Thomas WOODMAN British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

Citizenship: GB

(hereafter referred to as ASSIGNOR(S)),

have made a discovery or invention(s) entitled:

#### "HEATING SMOKABLE MATERIAL"

for which application for Letters Patent is attached hereto,

for which application for Letters Patent of the United States has been executed on even date herewith,

for which application for Letters Patent of the United States has been filed on , under Serial No.

for which a PCT application was filed on <u>August 24, 2012</u> and accorded Application No. PCT/EP2012/066486,

WHEREAS:

 $\square$ 

 $\square$ 

British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

(hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest in, to and under said invention(s) and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all other countries

#### NOW THEREFORE, TO ALL IT MAY CONCERN:

Be it known that for valuable consideration from ASSIGNEE to ASSIGNOR(S) the receipt of which is hereby acknowledged I, said ASSIGNOR(S) hereby sell, assign and transfer to ASSIGNEE and its successors, other legal representatives and assigns the entire right, title and interest to said discovery, invention and/or industrial design in the United States and its territorial possessions and in all other countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all other countries to be obtained for said invention by said application or any continuation, continuation-in-part, division, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in any other country for the full term or terms for which the same may be granted.

I, said ASSIGNOR(S), hereby covenant that I (we) have the full right to convey the entire interest herein assigned and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

I, said ASSIGNOR(S), hereby agree to execute all papers necessary in connection with the application and any continuation, continuation in part, divisional, reissue, reexamination or corresponding applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient;

I, said ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the empowered officials of all other governments to issue all said Letters Patent for said discovery or invention to the ASSIGNEE as assignee of the entire right, title and interest into and under the same, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns;

I, said ASSIGNOR(S), hereby authorize the ASSIGNEE to apply for and obtain the recordation of this Assignment. I, said ASSIGNOR(S), also hereby agree that I (we) shall, without further consideration, promptly and duly cause to be performed such lawful acts and execution of any other documents as the ASSIGNEE may reasonably request in order for the ASSIGNEE to obtain the full benefit of this Assignment and to permit the Assignment to be duly recorded in each office, bureau and tribunal in the appropriate jurisdiction as the registered owner or proprietor of each of the rights hereby assigned. Such instruments and documents shall include, without limitation, affidavits, including affidavits of use, and other documents for filing in such jurisdictions as the ASSIGNEE may from time to time reasonably request.

I, said ASSIGNOR(S), hereby declare that: The above-identified application was made or authorized to be made by me (us); I (we) believe that I (we) am (are) the original inventor(s) or original joint inventor(s) of a claimed invention in the application for which Letters Patent or similar legal protection is sought; I (we) have reviewed and understand the contents of the above-identified application, including the claims; I (we) hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C 1001 by fine or imprisonment of not more than five (5) years, or both; and I (we) acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me (us) to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

AND it is further covenanted and agreed that I, said ASSIGNOR(S), will, at any time upon request by the ASSIGNEE, its successors, legal representatives or assigns, communicate to said ASSIGNEE, its successors, assigns or other legal representatives, any facts relating to said invention(s) and the history thereof known to said ASSIGNOR(S) and testify as to same in any interference or any other legal proceeding when requested to do so, sign any and all lawful papers, execute any and all necessary assignment papers to cause any Letters Patent to be issued to ASSIGNEE, its successors and assigns, to obtain, perfect title in and enforce proper protection for said inventions in the United States and in any and all other countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

3

# SIGNED:

5.

6.

Petr Alexandrovich EGOYANTS
 Dmitry Mikhailovich VOLOBUEV
 Pavel Nikolaevich FIMIN
 Oleg Jurievich ABRAMOV

Date
Date
Date
Date
Date
Date

Date

4

Thomas WOODMAN

Fozia SALEEM

Cooley Ref.: BTMK-221/00US 318520-2388 BAT Ref.: P10011927US01 EIP Ref. E1538.400(T). USW1

#### ASSIGNMENT

#### WHEREAS:

# Petr Alexandrovich EGOYANTS

GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

# **Dmitry Mikhailovich VOLOBUEV** GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

Pavel Nikolaevich FIMIN GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

## **Oleg Jurievich ABRAMOV** GEN3 (Algorithm) 16A Ruzovskaya Street St. Petersburg 190013 Russian Federation

Citizenship: RU

Fozia SALEEM British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

Citizenship: GB

PATENT REEL: 032378 FRAME: 0837

. .

n sola ing. Na kaodisa

والموجد وترتبه المواجر والمراجر المساعر براي

Thomas WOODMAN British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

Citizenship: GB

(hereafter referred to as ASSIGNOR(S)),

have made a discovery or invention(s) entitled:

#### "HEATING SMOKABLE MATERIAL"

for which application for Letters Patent is attached hereto,

for which application for Letters Patent of the United States has been executed on even date herewith,

for which application for Letters Patent of the United States has been filed on , under Serial No.

for which a PCT application was filed on <u>August 24, 2012</u> and accorded Application No. <u>PCT/EP2012/066486</u>,

WHEREAS:

British American Tobacco (Investments) Limited Globe House 1 Water Street London WC2R 3LA United Kingdom

(hereinafter referred to as "ASSIGNEE") is desirous of acquiring the entire interest in, to and under said invention(s) and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all other countries

NOW THEREFORE, TO ALL IT MAY CONCERN:

Be it known that for valuable consideration from ASSIGNEE to ASSIGNOR(S) the receipt of which is hereby acknowledged I, said ASSIGNOR(S) hereby sell, assign and transfer to ASSIGNEE and its successors, other legal representatives and assigns the entire right, title and interest to said discovery, invention and/or industrial design in the United States and its territorial possessions and in all other countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all other countries to be obtained for said invention by said application or any continuation, continuation-in-part, division, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in any other country for the full term or terms for which the same may be granted.

2

I, said ASSIGNOR(S), hereby covenant that I (we) have the full right to convey the entire interest herein assigned and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

I, said ASSIGNOR(S), hereby agree to execute all papers necessary in connection with the application and any continuation, continuation in part, divisional, reissue, reexamination or corresponding applications thereof and also to execute separate assignments in connection with such applications as the ASSIGNEE may deem necessary or expedient;

I, said ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States and the empowered officials of all other governments to issue all said Letters Patent for said discovery or invention to the ASSIGNEE as assignee of the entire right, title and interest into and under the same, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns;

I, said ASSIGNOR(S), hereby authorize the ASSIGNEE to apply for and obtain the recordation of this Assignment. I, said ASSIGNOR(S), also hereby agree that I (we) shall, without further consideration, promptly and duly cause to be performed such lawful acts and execution of any other documents as the ASSIGNEE may reasonably request in order for the ASSIGNEE to obtain the full benefit of this Assignment and to permit the Assignment to be duly recorded in each office, bureau and tribunal in the appropriate jurisdiction as the registered owner or proprietor of each of the rights hereby assigned. Such instruments and documents shall include, without limitation, affidavits, including affidavits of use, and other documents for filing in such jurisdictions as the ASSIGNEE may from time to time reasonably request.

I, said ASSIGNOR(S), hereby declare that: The above-identified application was made or authorized to be made by me (us); I (we) believe that I (we) am (are) the original inventor(s) or original joint inventor(s) of a claimed invention in the application for which Letters Patent or similar legal protection is sought; I (we) have reviewed and understand the contents of the above-identified application, including the claims; I (we) hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C 1001 by fine or imprisonment of not more than five (5) years, or both; and I (we) acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me (us) to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

AND it is further covenanted and agreed that I, said ASSIGNOR(S), will, at any time upon request by the ASSIGNEE, its successors, legal representatives or assigns, communicate to said ASSIGNEE, its successors, assigns or other legal representatives, any facts relating to said invention(s) and the history thereof known to said ASSIGNOR(S) and testify as to same in any interference or any other legal proceeding when requested to do so, sign any and all lawful papers, execute any and all necessary assignment papers to cause any Letters Patent to be issued to ASSIGNEE, its successors and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain, perfect title in and enforce proper protection for said inventions in the United States and in any and all other countries, all without further compensation but at the expense of the ASSIGNEE, its successors, legal representatives and assigns.

3

# SIGNED:

1.

2.

3.

4.

5.

6.

# Petr Alexandrovich EGOYANTS

e har for station and station 유민하는 것 가지 말 수 있다.

## Dmitry Mikhailovich VOLOBUEV

出口的 有对

## **Pavel Nikolaevich FIMIN**

19<sup>1</sup>1 - 19 na an an an a' 

# **Oleg Jurievich ABRAMOV**

the stand prolan de megel (de jedje ete

i de la construcción de la constru La construcción de la construcción d

<u>na</u> produceju da Elec

den Algebra and search a den e

li de glassia de ga sa sa sa de se s de partir la constat de cara ·"我们是要找希望的。"自己的

the state of the s

al thirth

# Fozia SALEEM

الحاض

Date

# Date

i de la compañía de l

# Date

# Date

. 7

# Date

# 28 Date

Thomas WOODMAN (1,2,2,2)legen gebre 

. t. . . . .

4

n y ser yr A and the second of the

> PATENT REEL: 032378 FRAME: 0840

**RECORDED: 03/07/2014**