

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT2759988

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SCOTT RAYMOND FRAZIER	03/06/2014
KEVIN PYKKONEN	03/06/2014
KARL GINTER	03/07/2014
JEFFREY ORION PRITCHARD	03/07/2014
RECEIVING PARTY DATA	
Name:	BRIGHT ENERGY STORAGE TECHNOLOGIES, LLP
Street Address:	5525 W. 56TH AVE., SUITE 200
City:	ARVADA
State/Country:	COLORADO
Postal Code:	80002
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14202146
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ATTORNEY DOCKET NUMBER:	BRE0815.008
NAME OF SUBMITTER:	ROBYN L. TEMPLIN
SIGNATURE:	/Robyn L. Templin/
DATE SIGNED:	03/10/2014
Total Attachments: 6	
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Patent

Attorney Docket No. BRE0815.008

For: ☒ U.S. and/or ☒ Foreign Rights

For: ☒ U.S. Application or ☐ U.S. Patent

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration.

ASSIGNORS (inventors):

<u>Scott Raymond Frazier</u> Name	<u>8837 S. Fairall Rd.</u> <u>Morrison, CO 80465</u> Address
<u>Kevin Pykkonen</u> Name	<u>5655 Aurora Place</u> <u>Boulder, CO 80303</u> Address
<u>Karl Ginter</u> Name	<u>10404 43rd Avenue</u> <u>Beltsville, MD 20705</u> Address
<u>Jeffrey Orion Pritchard</u> Name	<u>5775 Broadway</u> <u>Oakland, CA 94618</u> Address

hereby sell, assign, and transfer to

ASSIGNEE:

<u>Bright Energy Storage Technologies, LLP</u> <u>a Delaware Limited Liability Partnership</u> (Type name of ASSIGNEE)	of <u>5525 W. 56th Ave., Suite 200</u> <u>Arvada, CO 80002</u> Address
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and the successors, assigns, and legal representatives of the ASSIGNEE

- ☒ the entire right, title and interest
☐ an undivided _____ percent _____ (%) interest

for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority in and to any and all improvements which are disclosed in the invention entitled:

APPARATUS AND METHOD FOR CONTROLLING A LOCOMOTIVE CONSIST

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith; or
- (b) ☐ U.S. patent application executed on _____; or
- (c) ☒ U.S. application serial no. 14/202,146 filed on March 10, 2014,
and provisional U.S. application serial no. _____ filed on
_____ (if applicable).

☒ To comply with 37 CFR 3.21 for recording of this assignment, I as an ASSIGNOR signing below hereby authorize and request the Assignee or its delegated attorneys or agents to insert above the filing date and application number when it becomes known.

- (d) ☐ International application no. PCT/_____.
- (e) ☐ U.S. patent no. _____ issued _____.
- ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
- (f) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or reexamination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement, or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof, which may be necessary or desirable to carry out the purposes thereof.

Signature: [Signature]
Scott Raymond Frazier

Date: 3-6-2014

Witnessed by: [Signature]
Signature

Date: 3-6-2014

William Becker
Printed name of witness

Witnessed by: [Signature]
Signature

Date: 3/6/2014

JENNIFER TUEY
Printed name of witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Signature: _____
Scott Raymond Frazier

Date: _____

Subscribed and sworn to before me
This _____ day of _____, 20____.

Notary Public
State of: _____
Commission Expires: _____

Signature: [Signature]
Kevin Pykkonen

Date: 3/6/2014

Witnessed by: [Signature]

Signature

Date: 3/6/2014

JENNIFER TUEY

Printed name of witness

Witnessed by: [Signature]

Signature

Date: 3/6/2014

William Becker
Printed name of witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Signature: _____
Kevin Pykkonen

Date: _____

Subscribed and sworn to before me

This _____ day of _____, 20____.

Notary Public

State of: _____

Commission Expires: _____

DOCKET NUMBER: BRE0815.008

Signature: [Signature]
Karl Ginter

Date: 3/7/2004

Witnessed by: _____

Signature

Date: _____

Printed name of witness _____

Witnessed by: _____

Signature

Date: _____

Printed name of witness _____

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Signature: _____
Karl Ginter

Date: _____

Subscribed and sworn to before me

This _____ day of _____, 20____.

Notary Public

State of: _____

Commission Expires: _____

Signature: [Signature] Date: 3/7/2014
Jeffrey Orion Pritchard

Witnessed by: [Signature] Date: 3/7/2014
Signature

Printed name of witness

Witnessed by: _____ Date: _____
Signature

Printed name of witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

Signature: _____ Date: _____
Jeffrey Orion Pritchard

Subscribed and sworn to before me
This _____ day of _____, 20____.

Notary Public
State of: _____
Commission Expires: _____