

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2758776

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT OF SECURITY AGREEMENT (REEL 031869, FRAME 0790)
CONVEYING PARTY DATA	
Name	Execution Date
TRIPLEPOINT CAPITAL LLC	03/05/2014
RECEIVING PARTY DATA	
Name:	TRIPLEPOINT VENTURE GROWTH BDC CORP.
Street Address:	2755 SAND HILL ROAD, SUITE 150
Internal Address:	ATTN: LEGAL DEPT.
City:	MENLO PARK
State/Country:	CALIFORNIA
Postal Code:	94025
PROPERTY NUMBERS Total: 14	
Property Type	Number
Patent Number:	8225013
Patent Number:	8499094
Application Number:	61310688
Application Number:	61310689
PCT Number:	US2012023537
Application Number:	13028075
PCT Number:	US2011024937
PCT Number:	US2011024939
Application Number:	13794266
Application Number:	13153462
Application Number:	61351701
Application Number:	13926286
Application Number:	13950188
Application Number:	13957272

CORRESPONDENCE DATA

Fax Number: (415)591-1400
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Correspondent Name: TED SIEN
Address Line 1: 101 CALIFORNIA STREET
Address Line 2: WINSTON & STRAWN LLP
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ATTORNEY DOCKET NUMBER:	250121.1 (COROID)
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NAME OF SUBMITTER:	LOREN KESSLER HIGGINS, ESQ.
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Signature:	/LOREN KESSLER HIGGINS, ESQ./
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Date:	03/07/2014
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Total Attachments: 6

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**ASSIGNMENT AND ASSUMPTION OF
PLAIN ENGLISH INTELLECTUAL PROPERTY AND SECURITY
AGREEMENT**

This Assignment and Assumption Agreement of the Plain English Intellectual Property and Security Agreement ("**Assignment**"), effective as of March 5, 2014 (the "**Effective Date**"), is entered into by and between TriplePoint Capital LLC, a Delaware limited liability company ("**Seller**"), and TriplePoint Venture Growth BDC Corp., a Maryland corporation ("**Buyer**").

RECITALS

A. Seller entered to a Plain English Growth Capital Loan and Security Agreement dated as of December 24, 2013 (the "**Loan Agreement**") with Coraid, Inc. ("**Borrower**"). Pursuant to the Loan Agreement Borrower granted a lien upon and continuing security interest in all of Borrower's Intellectual Property (as defined in the Loan Agreement) as further evidenced by the Plain English Intellectual Property Security Agreement dated as of December 24, 2013 (the "**IP Security Agreement**"). Seller has agreed to assign all of its right, title and interest in, and Buyer has agreed to assume all of, Seller's duties and obligations under, the Loan Agreement and IP Security Agreement pursuant to arrangements entered into by Seller and Buyer on or about the date of this Assignment.

B. The IP Security Agreement was recorded in the United States Patent and Trademark Office as set forth in Reel 031869, Frame 0790 and Reel 5182, Frame 0449.

NOW, THEREFORE, in consideration of the mutual covenants, terms and conditions set forth herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

1. Definitions. All capitalized terms used in this Assignment but not otherwise defined herein are given the meanings set forth in the Loan Agreement or IP Security Agreement.
2. Assignment and Assumption. Seller hereby sells, assigns, grants, conveys and transfers to Buyer all of Seller's right, title and interest in the IP Security Agreement, including without limitation Seller's liens and continuing security interest in the Intellectual Property set forth in Schedule A (Patents & Patent Applications), Schedule B (Trademarks & Trademark Applications) and Schedule C (Copyrights & Copyright Applications). Buyer hereby accepts such assignment and assumes all of Seller's duties and obligations under the IP Security Agreement.

3. Governing Law. This Assignment shall be governed by and construed in accordance with the internal laws of the State of California without giving effect to the conflicts of law provisions thereof.

4. Counterparts. This Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall be deemed to be one and the same agreement. A signed copy of this Assignment delivered by facsimile, email or other means of electronic transmission shall be deemed to have the same legal effect as delivery of an original signed copy of this Assignment.

IN WITNESS WHEREOF, the Parties hereto have caused this Assignment to be executed the day and year first above written.

BUYER:

TRIPLEPOINT VENTURE GROWTH
BDC CORP.

By: _____

Name: Sajal Srivastava
Title: President

Address: 2755 Sand Hill Road, Suite 150
Menlo Park, CA 94025
Attn: Legal Dept.

SELLER:

TRIPLEPOINT CAPITAL LLC

By: _____

Name: Sajal Srivastava
Title: Chief Operating Officer

Address: 2755 Sand Hill Road, Suite 150
Menlo Park, CA 94025
Attn: Legal Dept.

SCHEDULE A

To Plain English Intellectual Property Security Agreement Between Coraid, Inc., as You (Grantor) and TriplePoint Capital LLC, as Us (Grantee)

PATENTS AND PATENT APPLICATIONS

PATENTS

Patent Name	Status and Date Issued	Patent Number
Generation Of A Formatted Unique Device Identifier From An AT Attachment Serial Number	Active, July 17, 2012	8225013
Modification Of Small Computer System Interface Commands To Exchange Data With A Networked Storage Device Using AT Attachment Over Ethernet	Active, July 30, 2013	8499094

PATENT APPLICATIONS

Name	Status & Date Filed	Application Number
Generation Of A Formatted Unique Device Identifier From An AT Attachment Serial Number	Expired, March 4, 2010	61310688
Modification Of Small Computer System Interface (SCSI) Commands To Exchange Data With A Networked Storage Device Using At Attachment Over Ethernet (AoE)	Expired, March 4, 2010	61310689
Power Failure Management in Components of Storage Area Network	Closed, February 1, 2012	PCTUS2012023537

Power Failure Management in Components of Storage Area Network	Pending, February 9, 2012	101104236
Power Failure Management in Components of Storage Area Network	Allowed, February 15, 2011	13028075
Generation Of A Formatted Unique Device Identifier From An AT Attachment Serial Number	Abandoned, February 15, 2011	PCTUS2011024937
Modification Of Small Computer System Interface (SCSI) Commands To Exchange Data With A Networked Storage Device Using At Attachment Over Ethernet (AoE)	Abandoned, February 15, 2011	PCTUS2011024939
CD-0005; Storage Management of a Storage System	Pending, March 11, 2013	13794266
Method and System of Scaling a Cloud Computing Network	Pending, June 5, 2011	13153462
Technique for Scalability in Cloud Networks	Expired, June 4, 2010	61351701
Modification of Small Computer System Interface Commands to Exchange Data with a Networked Storage Device Using AT Attachment Over Ethernet	Pending, June 25, 2013	13926286
CD-0013: Management of Multiple Network Routes	Pending, July 24, 2013	13950188
Management of a Networked Storage System Through a Storage Area Network	Pending, August 1, 2013	13957272

SCHEDULE B

**To Plain English Intellectual Property Security Agreement
Between Coraid, Inc., as You (Grantor)
and TriplePoint Capital LLC, as Us (Grantee)**

TRADEMARKS AND TRADEMARK APPLICATIONS

TRADEMARKS

Name	Date Filed or Issued	Serial Number	Status
"Coraid"	10/25/2005	3009081	Granted
"Etherdrive"	11/16/2004	2903626	Granted

TRADEMARK APPLICATIONS

Name	Date Filed	Serial Number	Status
"Ethercloud"	04/11/2012	85594861	Pending

SCHEDULE C
TO INTELLECTUAL PROPERTY SECURITY AGREEMENT
Between Coraid, Inc. as You (Grantor)
And TriplePoint Capital LLC, as Us (Grantee)

COPYRIGHT REGISTRATIONS

Registration Number	Title	Registration Date	V&A No.
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APPLICATIONS FOR COPYRIGHT REGISTRATIONS

Title	Date Filed	V&A No.
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