502719571 03/13/2014 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2766175

SUBMISSION TYPE:		NE	NEW ASSIGNMENT			
NATURE OF CONVEYANCE:		AS	ASSIGNMENT			
CONVEYING PARTY DA	ATA					
		Nai	me			Execution Date
THE PENN STATE RESEACH FOUND			DATION			03/05/2014
RECEIVING PARTY DA	ТА					
Name:	THERMOPLASTIC PRODUCTS CORPORATION					
Street Address:	7124 RE	D TOP	ROAD			
City:	HUMMEI	LSTOW	/N			
State/Country:	PENNSY	/LVANI	A			
Postal Code:	17036					
PROPERTY NUMBERS	Total: 1				l	
Property Type			Number			
Application Number:	1:	383571	9			
Fax Number:	(6	3101710	1 2 1 4 0			
	•	,	-5143 Sail address first, if t	hat ia una	waaaaful	it will be cont vi
Correspondence will be US Mail.	•	,		hat is uns	uccessful	it will be sent vi
Correspondence will be	e sent to t	,	ail address first; if t	hat is uns	auccessful	it will be sent vi
Correspondence will be US Mail.	e sent to t	t he e-m 10-719-	ail address first; if t		uccessful	it will be sent vi
<i>Correspondence will be US Mail.</i> Phone:	e sent to t 6 [.] Ji	t he e-m 10-719- NJUSP	a il address first; if t . 5231		successful	it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1:	e sent to t 6 JI J(O	t he e-m 10-719- NJUSP OHNSC DNE JOI	ail address first; if ta 5231 ATENT@CORUS.JN N & JOHNSON HNSON & JOHNSON	J.COM	successful	it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2:	e sent to t 6 JI J(O	t he e-m 10-719- NJUSP OHNSC DNE JOI	a il address first; if t 5231 ATENT@CORUS.JN ON & JOHNSON	J.COM	successful	it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1:	e sent to t 6 JI JC O P	t he e-m 10-719- NJUSP OHNSC ONE JOI HILIP S	ail address first; if ta 5231 ATENT@CORUS.JN N & JOHNSON HNSON & JOHNSON	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2:	e sent to t 6 Ji Ji O P N	the e-m 10-719- NJUSP OHNSC ONE JOI HILIP S IEW BR	ail address first; if ta 5231 ATENT@CORUS.JN DN & JOHNSON HNSON & JOHNSON 6. JOHNSON, ESQ.	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4:	e sent to t 6 Ji Ji O P N	the e-m 10-719- NJUSP OHNSC ONE JOI HILIP S IEW BR	ail address first; if ta 5231 ATENT@CORUS.JN ON & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JER	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4:	e sent to t 6 Ji Ji O P N	the e-m 10-719- NJUSP, OHNSC ONE JOI HILIP S IEW BR	ail address first; if ta 5231 ATENT@CORUS.JN N & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JEF	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET NUNAME OF SUBMITTER:	e sent to t 6 Ji Ji O P N	the e-m 10-719- NJUSP OHNSC DNE JOI HILIP S IEW BR DS SL	ail address first; if ta 5231 ATENT@CORUS.JN DN & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JEF BP5013USNP JZANNE GANNON	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET NUNAME OF SUBMITTER: SIGNATURE:	e sent to t 6 Ji Ji O P N	the e-m 10-719- NJUSP OHNSC DNE JOI HILIP S IEW BR DS SL	ail address first; if ta 5231 ATENT@CORUS.JN DN & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JER SP5013USNP JZANNE GANNON uzanne Gannon/	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 2: Address Line 4: ATTORNEY DOCKET NU NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 5 source=DSP5013USNP-U	e sent to t 6 JI JC 0 P N IMBER:	the e-m 10-719- NJUSP OHNSC ONE JOI HILIP S IEW BR DS SL (Su 03) TPC As	ail address first; if ta 5231 ATENT@CORUS.JN N & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JER DF5013USNP JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 2: Address Line 4: ATTORNEY DOCKET NU NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 5 source=DSP5013USNP-U source=DSP5013USNP-U	PENN to	the e-m 10-719- NJUSP OHNSC ONE JOI HILIP S IEW BR DS SL VSI 03. TPC As TPC As	ail address first; if ta 5231 ATENT@CORUS.JNA DN & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JER 5P5013USNP JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 2: Address Line 4: ATTORNEY DOCKET NU NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 5 source=DSP5013USNP-U source=DSP5013USNP-U	PENN to PENN to PENN to	the e-m 10-719- NJUSP OHNSC ONE JOI HILIP S IEW BR DS IEW BR 03 SL 03 TPC As TPC As TPC As	ail address first; if ta 5231 ATENT@CORUS.JNA DN & JOHNSON HNSON & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JER 5P5013USNP JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON JZANNE GANNON	J.COM PLAZA		it will be sent vi
Correspondence will be US Mail. Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 2: Address Line 4: ATTORNEY DOCKET NU NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 5 source=DSP5013USNP-U source=DSP5013USNP-U	PENN to PENN to PENN to PENN to PENN to	the e-m 10-719- NJUSP OHNSC ONE JOI HILIP S IEW BR DS IEW BR 03 7PC As TPC As TPC As TPC As	ail address first; if ta 5231 ATENT@CORUS.JNA DN & JOHNSON HNSON & JOHNSON S. JOHNSON, ESQ. UNSWICK, NEW JER 5P5013USNP JZANNE GANNON uzanne Gannon/ /13/2014 ssignment#page1.tif ssignment#page2.tif ssignment#page3.tif ssignment#page4.tif	J.COM PLAZA		it will be sent vi

INVENTION ASSIGNMENT AGREEMENT

This Invention Assignment Agreement ("Assignment Agreement"), effective upon February 28, 2014 ("Effective Date"), is by and among The Penn State Research Foundation, a non-profit corporation duly organized and existing under the laws of the Commonwealth of Pennsylvania and having an office at 304 Old Main, University Park, PA 16802 ("PSRF") and Barry M. Fell, a citizen of the United States of America, with an address of 7124 Red Top Road, Hummelstown, PA 17036 ("Fell") (hereinafter, PSRF and Fell shall each be referred to as an "Assignor" and shall together be referred to as the "Assignors"), and Thermoplastic Products Corporation a corporation organized under the laws of the State of Pennsylvania and having a principal place of business at 7124 Red Top Road, Hummelstown, Pennsylvania 17036 ("Assignee"), and for purposes of Section 1.2, 4, 5 and 6 of this Invention Assignment Agreement only, Synthes USA LLC, a Delaware limited liability company having its principal place of business at 1302 Wrights Laue east, West Chester, Pennsylvania 19380 ("Synthes") (hereinafter, Assignors, Assignee and Synthes shall be referred to as a "Party" and together shall be referred to as the "Party" and together shall be referred to as the "Parties").



WHEREAS, Dr. Peter W. Dillon, a citizen of the United States of America, Dr. Donald R. Macky, a citizen of the United States of America, and Dr. Randy S. Haluck, a citizen of the United States of America, each an employee of The Pennsylvania State University ("PSU"), an affiliated entity of PSRF (hereinafter, "PSU Inventors"), along with Fell, a citizen of the United States of America, an employee of TPC, and Christopher A. Campbell, Christoph A. Roth, Bryan Griffith, and Mark Grady, an employee of Synthes, are the inventors of the invention disclosed, described and/or claimed in the United States Patent Application No. 13/835,719, which was filed with the United States Patent and Trademark Office on March 15, 2013 entitled "BONE REPAIR SYSTEM, KIT AND METHOD", and all inventions that are described, disclosed and claimed therein (hereinafter, the "Invention");

WHEREAS, pursuant to the terms of PSU's Intellectual Property Agreement, the PSU Inventors have each assigned their entire right, title and interest in and to the Invention to PSRF, an Assignor hereunder;

WHEREAS, Assignee, is desirous of obtaining the Assignors' entire right, title and interest in, to and under the Invention as described, disclosed and/or claimed in the above-identified application;



NOW, THEREFORE, in consideration of the premises and the mutual promises and covenants set forth below, and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties hereby agree as follows:

1. <u>Assignment</u>.

In consideration of the mutual understandings set forth in the Recitals above, 1.1. Assignors have sold, assigned, transferred and set over, and hereby sell, assign, transfer and set over, unto said Assignee, its successors, legal representatives and assigns, their entire right, title and interest in, to and under the Invention, and all applications related thereto for industrial property protection, including, without limitation, all applications for patents, utility models and designs which may hereafter be filed for the Invention in any country, together with the right to file such application and the right to claim for the same the priority rights derived from the application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable, and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for the Invention in any country and all extensions, renewals and reissues thereof; including all applications for industrial property, all divisions, renewals, continuations-in-part and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof and all countries throughout the world.



2. <u>No Warranty</u>.

EXCEPT AS EXPRESSLY SET FORTH IN THIS ASSIGNMENT AGREEMENT, PSRF, PSU, THEIR TRUSTEES, DIRECTORS, OFFICERS, EMPLOYEES AND AFFILIATES, AND FELL, MAKE NO REPRESENTATIONS AND EXTEND NO WARRANTIES OF ANY KIND, EITHER EXPRESS OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, VALIDITY OF PATENT RIGHTS CLAIMS, ISSUED OR PENDING, AND THE ABSENCE OF LATENT OR OTHER DEFECTS, WHETHER OR NOT DISCOVERABLE. NOTHING IN THIS ASSIGNMENT AGREEMENT SHALL BE CONSTRUED AS A REPRESENTATION MADE OR WARRANTY.

GIVEN BY PSRF THAT THE PRACTICE BY ASSIGNEE OF THE INTELLECTUAL PROPERTY RIGHTS GRANTED HEREUNDER SHALL NOT INFRINGE THE PATENT RIGHTS OF ANY THIRD PARTY.

3. <u>Other Covenants.</u>

3.1 Each Assignor hereby authorizes Assignee, its successors, legal representatives or assigns to insert on <u>Schedule A</u> when known the application number and filing date of any international, regional or national application already filed or filed hereafter for the Invention.

3.2 Each Assignor hereby authorizes and requests the Commissioner of Patents and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

3.3 Each Assignor hereby further covenants and agrees that it will communicate to the said Assignee, its successors, legal representatives and assigns, any facts known to it representing the Invention, and that it will, at Assignee's expense, execute all papers, give any required testimony, and perform other lawful acts, as Assignee may require to enable Assignee to perfect Assignee's interest in any resulting patent of the United States and countries foreign thereto, and to acquire, hold, enforce, convey and uphold the validity of said patent and reissues and extensions thereof, and Assignee's interest therein.

4. <u>Governing Law</u>.

This Assignment Agreement shall be governed by and construed in accordance with the laws of the Commonwealth of Pennsylvania without giving effect to any choice of law or conflict of law provision or rule that would cause the application of the laws of any jurisdiction other than the Commonwealth of Pennsylvania, except that questions affecting the construction and effect of any patent shall be determined by the law of the country where the patent was granted.

5. <u>Counterparts</u>.

This Assignment Agreement may be executed simultaneously in one or more counterparts, including by facsimile, each of which shall be deemed an original but all of which together shall constitute one and the same agreement and shall become effective when one or more counterparts have been signed by each of the Parties hereto and delivered to the other.

6. <u>Recitals</u>.

The Parties agree that each of the Recitals are incorporated into the terms of this Assignment Agreement by this reference.

[Signatures on the following page]

3

IN TESTIMONY THEREOF, we have hereunto signed our names on the date indicated opposite our respective signatures.

ASSIGNORS:

THE PENN STATE RESEARCH FOUNDATION

BY: NAME: David E. Branigan

TITLE:___ Treasurer DATE:

BARRY M DATE:

ASSIGNEE:

THERMOPLASTIC PRODUCTS CORPORATION

BY:	Mr. Fel	
NAME	: Il PARKY IN FOR	-
TITLE	Prat	-
DATE	: 2/2/14	

FOR PURPOSES OF SECTIONS 1.2, 4, 5 AND 6 OF THE ASSIGNMENT AGREEMENT ONLY:

SYNTHES USA LLC

BY:	
NAME:	
TITLE:	
DATE:	· · · · · · · · · · · · · · · · · · ·

4

SCHEDULE A

DSP5013USNP

U.S. Application No. 13/835719

Filed 03/15/2013

A-1

PATENT REEL: 032425 FRAME: 0177

RECORDED: 03/13/2014