Form **PTO-1595** (Rev. 06-12) OMB No. 0651-0027 (exp. 04/30/2015)

U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office

RECORDATION FORM COVER SHEET  PATENTS ONLY		
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.		
1. Name of conveying party(ies) Christian WOLFRUM, Erick CARREIRA and Bettina MEISSBURGER	2. Name and address of receiving party(ies)  Name: ETH Zürich  Internal Address:	
Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance/Execution Date(s):  Execution Date(s) 09 March 2014	Street Address: Rämistrasse 101 / ETH Transfer	
Assignment Merger  Security Agreement Change of Name  Joint Research Agreement  Government Interest Assignment	City: CH-8092 Zürich State:	
Executive Order 9424, Confirmatory License  Other	Country: Switzerland Zip:  Additional name(s) & address(es) attached? Yes No	
4. Application or patent number(s):  A. Patent Application No.(s)  14/345,597	document serves as an Oath/Declaration (37 CFR 1.63).  B. Patent No.(s)	
Additional numbers attached? Yes No		
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: One (1)	
Name: Kevin D. Erickson Internal Address: Pauley Petersen & Erickson	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00	
Street Address: 2800 West Higgins Road Suite 365	Authorized to be charged to deposit account Enclosed None required (government interest not affecting title)	
City: Hoffman Estates	8. Payment Information	
State: Illinois Zip: 60169		
Phone Number: (847) 490-1400  Docket Number: RP-200	Deposit Account Number 19-3550  Authorized User Name Pauley Petersen & Erickson	
Email Address: info@ppelaw.com		
9. Signature: /kevin d erickson/	20 March 2014	
Signature  Kevin D. Erickson  Name of Person Signing  Documents to be recorded (including cover shoe  Mall Stop Assignment Recordation Services, Director o	Total number of pages including cover sheet, attachments, and documents:  t) should be faxed to (571) 273-0140, or malled to: f the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450	

**PATENT REEL: 032485 FRAME: 0981** 

Customer No.: 42419

### ASSIGNMENT

## WHEREAS, We the following inventors

1. Name:

Christian WOLFRUM

Residence:

Weiningen ZH, Switzerland

Post Office Address: Rebbergstrasse 3

Reportsurasse 5

CH-8104 Weiningen ZH, Switzerland

Citizenship:

Residence:

SWITZERLAND

2. Name:

Erick CARREIRA
Zumikon, Switzerland

Post Office Address: Chapfstrasse 73

Chapistrasse /3

CH-8126 Zumikon, Switzerland

Citizenship:

**SWITZERLAND** 

3. Name:

Bettina MEISSBURGER

Residence:

Eppelheim, Germany

Post Office Address: Erzbergstrasse 7

D-69214 Eppelheim, Germany

Citizenship:

GERMANY

hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in an invention entitled:

## ROR GAMMA MODULATORS

which is described and claimed in PCT International Application No. PCT/EP2012/068332, filed 18 September 2012, and naming as inventors Christian WOLFRUM, Erick CARREIRA and Bettina MEISSBURGER; said improvements as described and claimed in said PCT International Application and in an application for Letters Patent of the United States, being hereinafter referred to as said "Invention"; and

WHEREAS, ETH Zurich, a Swiss entity duly organized and existing under and by virtue of the laws of the Country of Switzerland and having its principal office and place of business at Raemistrasse 101/ETH Transfer, CH-8092 Zurich, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under Letters Patent therefor in the United States;

P146\mem

RP-200

ASSIGNMENT

847 490 1403

NOW THEREFORE BE IT KNOWN THAT, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS have sold, assigned, transferred and set over and by these presents do hereby sell, assign, transfer and set over to and unto ASSIGNEE, its successors and assigns ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under the above-identified application and all other applications for Letters Patent of the United States, and in, to and under all divisions, reissues, continuations, extensions and reexaminations thereof, and covenant that ASSIGNORS have the full right so to do; and the Commissioner of Patents is hereby authorized and requested to issue all United States Letters Patent on said Invention included herein to ASSIGNEE, as assignee of ASSIGNORS' entire interest.

ASSIGNORS further agree to communicate to ASSIGNEE or its representatives or agents all facts and information known or available to ASSIGNORS regarding said Invention including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other proceedings; to execute and deliver, on request, all lawful papers including original, divisional, continuing and reissue applications, renewals, assignments, powers of attorney, declarations, oaths, affidavits, depositions, etc.; and to generally do everything possible to aid ASSIGNEE, its successors and assigns, but at the expense of ASSIGNEE, in obtaining and enforcing proper protection for said Invention in the United States.

24.2.2014 Date	Christian WOLFRUM
Date	Erick CARREIRA
Date	Bettina MEISSBURGER

P146\mem

Customer No.: 42419

#### ASSIGNMENT

WHEREAS, We the following inventors

1. Name: Christian WOLFRUM

Residence:

Weiningen ZH, Switzerland

Post Office Address: Robbergstrasse 3

CH-8104 Weiningen ZH, Switzerland

Citizenship:

**SWITZERLAND** 

2. Name: Residence: Erick CARREIRA Zumikon, Switzerland

Post Office Address: Chapfstrasse 73

CH-8126 Zumikon, Switzerland

Citizenship:

SHIPTEREARD UNITED

ENLE

3. Name: Bettina MEISSBURGER Eppelheim, Germany

Residence:

Post Office Address: Erzbergstrasse 7

D-69214 Eppelheim, Germany

Citizenship:

**GERMANY** 

hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in an invention entitled:

## ROR GAMMA MODULATORS

which is described and claimed in PCT International Application No. PCT/EP2012/068332, filed 18 September 2012, and naming as inventors Christian WOLFRUM, Erick CARREIRA and Bettina MEISSBURGER; said improvements as described and claimed in said PCT International Application and in an application for Letters Patent of the United States, being hereinafter referred to as said "Invention"; and

WHEREAS, ETH Zurich, a Swiss entity duly organized and existing under and by virtue of the laws of the Country of Switzerland and having its principal office and place of business at Raemistrasse 101/ETH Transfer, CH-8092 Zurich, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under Letters Patent therefor in the United States;

P146\mem

RP-200

1

ASSIGNMENT

NOW THEREFORE BEIT KNOWN THAT, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS have sold, assigned, transferred and set over and by these presents do hereby sell, assign, transfer and set over to and unto ASSIGNEE, its successors and assigns ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under the above-identified application and all other applications for Letters Patent of the United States, and in, to and under all divisions, reissues, continuations, extensions and reexaminations thereof, and covenant that ASSIGNORS have the full right so to do; and the Commissioner of Patents is hereby authorized and requested to issue all United States Letters Patent on said Invention included herein to ASSIGNEE, as assignee of ASSIGNORS' entire interest.

ASSIGNORS further agree to communicate to ASSIGNEE or its representatives or agents all facts and information known or available to ASSIGNORS regarding said Invention including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other proceedings; to execute and deliver, on request, all lawful papers including original, divisional, continuing and reissue applications, renewals, assignments, powers of attorney, declarations, oaths, affidavits, depositions, etc.; and to generally do everything possible to aid ASSIGNEE, its successors and assigns, but at the expense of ASSIGNEE, in obtaining and enforcing proper protection for said Invention in the United States.

Date	Christian WOLFRUM
30 Jan 2014 Date	Erick CARREIRA
Date	Bettina MEISSBURGER

P146\mem

Customer No.: 42419

### ASSIGNMENT

### WHEREAS. We the following inventors

1. Name: Christian WOLFRUM

Residence:

Weiningen ZH, Switzerland

Post Office Address: Rebbergstrasse 3

CH-8104 Weiningen ZH, Switzerland

Citizenship:

**SWITZERLAND** 

Name: 2.

Erick CARREIRA

Residence: Post Office Address: Chapfstrasse 73

Zumikon, Switzerland

CH-8126 Zumikon, Switzerland

Citizenship:

SWITZERLAND

Name: 3.

Bettina MEISSBURGER Eppelheim, Germany

Residence:

Post Office Address: Erzbergstrasse 7

D-69214 Eppelheim, Germany

Citizenship:

GERMANY

hereinafter referred to as ASSIGNORS, have invented certain new and useful improvements in an invention entitled:

### ROR GAMMA MODULATORS

which is described and claimed in PCT International Application No. PCT/EP2012/068332, filed 18 September 2012, and naming as inventors Christian WOLFRUM, Erick CARREIRA and Bettina MEISSBURGER; said improvements as described and claimed in said PCT International Application and in an application for Letters Patent of the United States, being hereinafter referred to as said "Invention"; and

WHEREAS, ETH Zurich, a Swiss entity duly organized and existing under and by virtue of the laws of the Country of Switzerland and having its principal office and place of business at Raemistrasse 101/ETH Transfer, CH-8092 Zurich, Switzerland, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under Letters Patent therefor in the United States;

PI46\mem

RP-200

1

MAR-20-2014

09:51

ASSIGNMENT

NOW THEREFORE BEIT KNOWN THAT, for and in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNORS have sold, assigned, transferred and set over and by these presents do hereby sell, assign, transfer and set over to and unto ASSIGNEE, its successors and assigns ASSIGNORS' entire rights, title and interest in and to said Invention, and in, to and under the above-identified application and all other applications for Letters Patent of the United States, and in, to and under all divisions, reissues, continuations, extensions and reexaminations thereof, and covenant that ASSIGNORS have the full right so to do; and the Commissioner of Patents is hereby authorized and requested to issue all United States Letters Patent on said Invention included herein to ASSIGNEE, as assignce of ASSIGNORS' entire interest.

ASSIGNORS further agree to communicate to ASSIGNEE or its representatives or agents all facts and information known or available to ASSIGNORS regarding said Invention including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other proceedings; to execute and deliver, on request, all lawful papers including original, divisional, continuing and reissue applications, renewals, assignments, powers of attorney, declarations, oaths, affidavits, depositions, etc.; and to generally do everything possible to aid ASSIGNEE, its successors and assigns, but at the expense of ASSIGNEE, in obtaining and enforcing proper protection for said Invention in the United States.

Christian WOLFRUM
Erick CARREIRA
Retlina Very V

P146\mcm 2 RP-200

LAW OFFICES

# PAULEY PETERSEN & ERICKSON

A PROFESSIONAL CORPORATION SUITE 365 2800 WEST HIGGINS ROAD HOFFMAN ESTATES, ILLINOIS 60169

DOUGLAS H. PAULEY MAXWELL J. PETERSEN KEVIN D. ERICKSON NICK C. KOTTIS MARK D. SWANSON WILLIAM R. SIFGEL

Date:

TELEPHONE (847) 490-1400 FACSIMILE (847) 490-1403

www.ppelaw.com

PATENT, TRADEMARK, COPVRIGHT AND RELATED MATTERS

## **FACSIMILE**

To: Fax No.: 571**-**273-0140 United States Patent and Trademark Office Company: Kevin D. Erickson From: Customer No.: 42419 Application Scrial No.: 14/345,597 Re: Attorney Docket No.: RP-200 Filing Date: 18 March 2014

Number of pages being transmitted, including cover sheet: 8 page(s)

20 March 2014

ASSIGNMENT RECORDATION SERVICES

- Facsimile Cover Page (1 page) 1.
- Recordation Form Cover Sheet (1 page) 2.
- Assignment document (6 pages) 3.

If you experience any difficulties receiving this transmission, please call our office at (847) 490-1400. Thank you.

#### CONFIDENTIALITY NOTICE

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copy of this facsimile is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the original message to us at the address above via the United States Postal Service. Thank you.

> **PATENT REEL: 032485 FRAME: 0988**

RECORDED: 03/20/2014