

03/18/2014

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

103666643

RECORDATION FORM
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Anterior Therapeutics, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) February 10, 2014

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

2. Name and address of receiving party(ies)

Name: Chris Winton Henderson

Internal Address: _____

Street Address: 99 Bay View Avenue

City: Salem

State: MA

Country: USA Zip: 01970

Additional name(s) & address(es) attached? ☐ Yes ☐ No**4. Application or patent number(s):**☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

5,688,519; 5,449,679

Additional numbers attached? ☐ Yes ☐ No**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Chris Winton Henderson

Internal Address: _____

Street Address: 99 Bay View Avenue

City: Salem

State: MA Zip: 01970

Phone Number: 978.741.4646

Docket Number: MICV2013-01318

Email Address: chriswintonesq@aol.com

6. Total number of applications and patents involved: 2**7. Total fee (37 CFR 1.21(h) & 3.41) \$80.00**

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name: MICV2013-01318

9. Signature:

Signature

03.14.2014
Date

Chris Winton Henderson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450**PATENT**
REEL: 032500 FRAME: 0140

Commonwealth of Massachusetts

County of Middlesex

The Superior Court

EXECUTION

CIVIL DOCKET# MICV2013-01318

Chris Winton Henderson v Anterion Therapeutics, Inc.

To the Sheriffs of our Several Counties or their Deputies, GREETING:

WHEREAS Chris Winton Henderson, is an attorney with a usual place of business in Salem, in the County of Essex, in the Commonwealth of Massachusetts of by the consideration of our Justices of our Superior Court at Middlesex, aforesaid, on the thirtieth day of December 2013 recovered Judgment against Anterion Therapeutics, Inc. is a corporation with an address in Watertown, in the County of Middlesex, in the said Commonwealth of Massachusetts for the sum of One Hundred Three Thousand Seven Hundred Thirty Six Dollars and Seventeen Cents debt or damages, Three Hundred Eighty Three Dollars and Ten Cents costs of suit, as to us appears of record, where execution remains to be done:

DAMAGES: \$103,736.17
COSTS: \$383.10
POST JUD/INT. \$1,437.71

TOTAL: \$105,556.98

We command you therefore, that of the goods, chattels or land of the said judgment debtor(s) within your precinct, you cause to be paid and satisfied unto the said judgment creditor(s), at the value thereof in money, with interest thereon in the sum of One Thousand Four Hundred Thirty Seven Dollars and Seventy One Cents from day of the rendition of said Judgment to date of execution the aforesaid sums, being \$105,556.98 in the whole, and thereof also to satisfy yourself for your own fees.

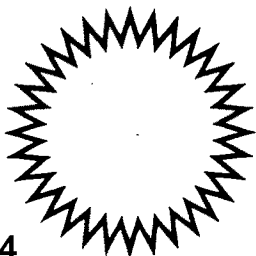
Hereof fail not, and make return of this writ with your doing thereon into the Clerk's office of said Court at Woburn, within our County of Middlesex, and to make return of this writ within twenty years after the date of the said judgment, or within ten days after this writ has been satisfied or discharged.

Witness, Barbara J. Rouse, Esquire, Chief Justice of the Superior Court, at Woburn, Massachusetts this 10th day of February, 2014.

Mary A. Stewart
Deputy Assistant Clerk

RETURN TO:
Valeriano Diviacchi
Diviacchi Law Office
111 Beach Street
#1A
Boston, MA 02111-2532

MIDDLESEX, SS. **Commonwealth of Massachusetts**
SUPERIOR COURT DEPARTMENT OF THE TRIAL COURT



In testimony that the foregoing is a true copy on file and of record made by photographic process, I hereunto set my hand and affix the seal of said Superior Court this 3rd day of MARCH, 2014.

Mary A. Stewart
Deputy Assistant Clerk

PATENT

RECORDED: 03/18/2014

REEL: 032500 FRAME: 0141