502734186 03/22/2014

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2780792

| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name           | Execution Date |
|----------------|----------------|
| TAKEHIKO KASAI | 01/31/2014     |

## **RECEIVING PARTY DATA**

| Name:           | L'OREAL        |
|-----------------|----------------|
| Street Address: | 14, RUE ROYALE |
| City:           | PARIS          |
| State/Country:  | FRANCE         |
| Postal Code:    | F-75008        |

## **PROPERTY NUMBERS Total: 1**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 13388526 |

## **CORRESPONDENCE DATA**

**Fax Number:** (703)391-2901

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via

US Mail.

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Correspondent Name: THE MARBURY LAW GROUP, PLLC
Address Line 1: 11800 SUNRISE VALLEY DRIVE

Address Line 2: 15TH FLOOR

Address Line 4: RESTON, VIRGINIA 20191

| ATTORNEY DOCKET NUMBER: | 4130-006US                             |  |
|-------------------------|--|--|
| NAME OF SUBMITTER:      | BETTY FINLEY                           |  |
| SIGNATURE:              | /Michelle E. O'Brien, Reg. No. 46,203/ |  |
| DATE SIGNED:            | 03/22/2014                             |  |

# **Total Attachments: 4**

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> PATENT REEL: 032502 FRAME: 0619

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| RECORDATION FORM COVER SHEET  PATENTS ONLY   |   |  |  |  |
|--|---|--|--|--|
| To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.  |   |  |  |  |
| 1. Name of conveying party(ies)  Takehiko Kasai  | 2. Name and address of receiving party(ies)  Name: L'OREAL  Internal Address: |  |  |  |
| Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance/Execution Date(s):  Execution Date(s) January 31, 2014  Assignment Merger  Security Agreement Change of Name  Joint Research Agreement  Government Interest Assignment  Executive Order 9424, Confirmatory License  Other  4. Application or patent number(s): This  A. Patent Application No.(s) |   |  |  |  |
| 13/388,526  Additional numbers att   | ached? Yes No   |  |  |  |
| 5. Name and address to whom correspondence concerning document should be mailed:   | 6. Total number of applications and patents involved: 1                       |  |  |  |
| Name: The Marbury Law Group, PLLC  | 7. Total fee (37 CFR 1.21(h) & 3.41) \$                                       |  |  |  |
| Internal Address: Street Address:11800 Sunrise Valley Drive, 15th Floor  | Authorized to be charged to deposit account  Enclosed                         |  |  |  |
|  | None required (government interest not affecting title)                       |  |  |  |
| City: Reston   | 8. Payment Information  |  |  |  |
| State: VAZip: 20191  |   |  |  |  |
| Phone Number: 703-391-2900   | Deposit Account Number  |  |  |  |
| Docket Number: 4130-006US  |   |  |  |  |
| Email Address:ptonotices@marburylaw.com  | Authorized User Name  |  |  |  |
| 9. Signature: /Michelle E. O'Brien, Reg. No. 46,   | 203/ 2014-03-22   |  |  |  |
| Signature  Michelle E. O'Brien  Name of Person Signing  Documents to be recorded (including cover sheet)   | Total number of pages including cover sheet, attachments, and documents:  4   |  |  |  |
| Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:<br>Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450   |   |  |  |  |

PATENT

**REEL: 032502 FRAME: 0620** 

# **Guidelines for Completing Patents Cover Sheets** (PTO-1595)

Cover Sheet information must be submitted with each document to be recorded. If the document to be recorded concerns both patents and trademarks separate patent and trademark cover sheets, including any attached pages for continuing information, must accompany the document. All pages of the cover sheet should be numbered consecutively, for example, if both a patent and trademark cover sheet is used, and information is continued on one additional page for both patents and trademarks, the pages of the cover sheet would be numbered from 1 to 4.

#### Item 1. Name of Conveying Party(ies).

Enter the full name of the party(ies) conveying the interest. If there is insufficient space, enter a check mark in the "Yes" box to indicate that additional information is attached. The name of the additional conveying party(ies) should be placed on an attached page clearly identified as a continuation of the information Item 1. Enter a check mark in the "No" box, if no information is contained on an attached page. If the document to be recorded is a joint research agreement, enter the name(s) of the party(ies) other than the owner of the patent or patent application as the conveying party(ies).

#### Item 2. Name and Address of Receiving Party(ies).

Enter the name and full address of the first party receiving the interest. If there is more than one party receiving the interest, enter a check mark in the "Yes" box to indicate that additional information is attached. Enter a check mark in the "No" box, if no information is contained on an attached page. If the document to be recorded is a joint research agreement, enter the name(s) of the patent or patent application owner(s) as the receiving party.

#### Item 3. Nature of Conveyance/Execution Date(s).

Enter the execution date(s) of the document. It is preferable to use the name of the month, or an abbreviation of that name, in order that confusion over dates is minimized. Place a check mark in the appropriate box describing the nature of the conveying document. If the "Other" box is checked, specify the nature of the conveyance.

#### Item 4. Application Number(s) or Patent Number(s).

Indicate the application number(s), and/or patent number(s) against which the document is to be recorded. National application numbers must include both the series code and a six-digit number (e.g., 07/123,456), and international application numbers must be complete (e.g., PCT/US91/12345).

Enter a check mark in the appropriate box: "Yes" or "No" if additional numbers appear on attached pages. Be sure to identify numbers included on attached pages as the continuation of Item 4. Also enter a check mark if this Assignment is being filed as an Oath/Declaration (37 CFR 1.63).

# Item 5. Name and Address of Party to whom correspondence concerning the document should be

mailed. Enter the name and full address of the party to whom correspondence is to be mailed.

#### Item 6. Total Applications and Patents involved.

Enter the total number of applications and patents identified for recordation. Be sure to include all applications and patents identified on the cover sheet and on additional pages.

#### Block 7. Total Fee Enclosed.

Enter the total fee enclosed or authorized to be charged. A fee is required for each application and patent against which the document is recorded.

#### Item 8. Payment Information.

Enter the deposit account number and authorized user name to authorize charges.

#### Item 9. Signature.

Enter the name of the person submitting the document. The submitter must sign and date the cover sheet. Enter the total number of pages including the cover sheet, attachments, and document.

This collection of information is required by 35 USC 261 and 262 and 15 USC 1057 and 1060. The information is used by the public to submit (and by the USPTO to process) patent and trademark assignment requests. After the USPTO records the information, the records for patent and trademarks, assignments, and other associated documents can be inspected by the public. To view documents recorded under secrecy orders or documents recorded due to the interest of the federal government, a written authorization must be submitted. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the form to the USPTO. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Manager of the Assignment Division, USPTO, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT REEL: 032502 FRAME: 0621

# Privacy Act Statement for Patent Assignment Recordation Form Cover Sheet

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with the above request for information. This collection of information is authorized by 35 U.S.C. 1, 2, 261 and E.O. 9424. This information will primarily be used by the USPTO for the recordation of assignments related to patents and patent applications. Submission of this information is voluntary but is required in order for the USPTO to record the requested assignment. If you do not provide the information required on the cover sheet, the assignment will not be recorded, and all documents will be returned to you.

After the information is recorded, the records and associated documents can be inspected by the public and are not confidential, except for documents that are sealed under secrecy orders or related to unpublished patent applications. Assignment records relating to unpublished patent applications are maintained in confidence in accordance with 35 U.S.C. 122. Records open to the public are searched by users for the purpose of determining ownership for other property rights with respect to patents and trademarks.

Routine uses of the information you provide may also include disclosure to appropriate Federal, state, local, or foreign agencies in support of their enforcement duties and statutory or regulatory missions, including investigating potential violations of law or contract and awarding contracts or other benefits; to a court, magistrate, or administrative tribunal in the course of presenting evidence; to members of Congress responding to requests for assistance from their constituents; to the Office of Management and Budget in connection with the review of private relief legislation; to the Department of Justice in connection with a Freedom of Information Act request; to a contractor in the performance of their duties; to the Office of Personnel Management for personnel studies; and to the General Services Administration (GSA) as part of their records management responsibilities under the authority of 44 U.S.C. 2904 and 2906. Such disclosure to GSA shall not be used to make determinations about individuals.

PATENT REEL: 032502 FRAME: 0622

SOLE/JOINT INVENTION (U.S. Rights Only) Attorney Docket No. 1130.0006-00000

## ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], have made an invention entitled:

| COMPOSITE PIGMENT AND METHOD FOR PREPARATION THEREOF   |                          |  |  |  |
|--|--------------------------|--|--|--|
| for which I/WE executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on June 14, 2012, (Application No. 13/388,526); and   |                          |  |  |  |
| WHEREAS, L'OREAL a corporation of France   |                          |  |  |  |
| whose post office address is   |                          |  |  |  |
| (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention, the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;   |                          |  |  |  |
| NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I/WE, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, provisional Application No, filed (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof; and I/WE hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;           |                          |  |  |  |
| AND, I/WE HEREBY further covenant and agree that I/We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors and assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns. |                          |  |  |  |
| AND, I/WE HEREBY authorize and request the attorneys I/we have empowered in the Declaration and Power of Attorney in this application, to insert here in parentheses (Application No, filed) the filing date and application number of said application when known.  |                          |  |  |  |
| IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).  |                          |  |  |  |
| 1. FULL NAME OF SOLE OR FIRST ASSIGNOR  Takahiro SUZUKI  ASSIGNOR'S SIGNATURE  | DATE                     |  |  |  |
| ADDRESS<br>c/o NIHON L'OREAL K.K., B1113 R&D KSP 3-2-1, Sakado, Takatsu-ku, Kawasaki-shi, Kanagawa<br>213-0012 Japan   | CITIZENSHIP<br>Japan     |  |  |  |
| 2. FULL NAME OF SECOND ASSIGNOR, IF ANY Takehiko KASAI  Takehik- KAJAI   | DATE<br>January 31, 2014 |  |  |  |
| ADDRESS<br>c/o NIHON L'OREAL K.K., B1113 R&D KSP 3-2-1, Sakado, Takatsu-ku, Kawasaki-shi, Kanagawa<br>213-0012 Japan   | CITIZENSHIP<br>Japan     |  |  |  |

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