

03/24/2014

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



103666753



Re 103665735

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Carl L. Goldberg, and DBA Ruff Rider, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) August 12, 2013

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other Corrective Document: See attached additional sheet.

**2. Name and address of receiving party(ies)**

Name: Ruff Rider Products, Inc.

Internal Address: \_\_\_\_\_

Street Address: 2980 Sugarloaf Road

City: Boulder

State: CO

Country: US Zip: 80302

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

7,311,063

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Harold A. Burdick

Internal Address: \_\_\_\_\_

Street Address: 6676 Gunpark Drive, Suite E

City: Boulder

State: CO Zip: 80301

Phone Number: 303 530 1355

Docket Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

March 19, 2014

Date

Harold A. Burdick

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 1

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**  
**REEL: 032525 FRAME: 0416**

Continuation Sheet for Recordation Form Cover Sheet

**Box 3. Nature of Conveyance:**

**Other:** The error is in the recorded Assignment of U.S. Patent No. 7,311,063 from Carl L. Goldberg to Ruff Rider, Inc., recorded May 13, 2005 at Reel/Frame 016543/0638 (4 pages), wherein the name of the Assignee was incomplete (Assignee name should have been "Ruff Rider Products, Inc.").

A handwritten signature in black ink, appearing to be "HAB" with a stylized flourish at the end.

01/30/2014



U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

JAN 30 2014  
5th Floor  
RECEIVED

103665735  
**PATENTS ONLY**

To The Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Carl Goldberg, and DBA Ruff Rider, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) August 12, 2013

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☒ Other Corrective Document

**2. Name and address of receiving party(ies)**

Name: Ruff Rider Products, Inc.

Internal Address:

Street Address: 2980 Sugarloaf Road

City: Boulder

State: CO

Country: US Zip: 80302

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

7,311,063 (Reel/Frame: 016543/0638, 4 pages)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Harold A. Burdick

Internal Address:

Street Address: 6676 Gunpark Drive, Suite E

City: Boulder

State: CO Zip: 80301

Phone Number: 303 530 1355

Docket Number:

Email Address:

**6. Total number of applications and patents involved: 1**

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

01/30/2014 KNGUYEN1 00000021 7311063  
Deposit Account Number

01 FC:8021

40.00 OP

Authorized User Name

**9. Signature:**

Signature

January 23, 2014

Date

Harold A. Burdick

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 1

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**

REEL: 032525 FRAME: 0418

05-13-2005

FEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings ⇨ ⇨ ⇨ ▼



To the Honorable Commissioner of Pa

103002506

Attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Carl L. Goldberg

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 11, 2005

## 2. Name and address of receiving party(ies):

Name: Products, Ruff Ridery, Inc.

Internal Address: \_\_\_\_\_

Street Address: 2980 Sugarloaf RoadCity: Boulder State: CO ZIP: 80302Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 11, 2005

A. Patent Application No.(s)

B. Patent No.(s)

05/11/2005 TBESHMM 00000026 11124576

04 FC:6021

40.00 GP

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Harold A. Burdick

Internal Address: \_\_\_\_\_

Street Address: 6676 Gunpark Drive

Suite E.

City: Boulder State: CO ZIP: 803016. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):..... \$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Harold A. Burdick

Name of Person Signing

Signature

April 11, 2005

Date

Total number of pages comprising cover sheet: 1

PATENT

05-13-2005

IEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings ⇄ ⇄ ⇄ ▼

To the Honorable Commissioner of Pa

103002506

Attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Carl L. Goldberg

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: April 11, 2005

## 2. Name and address of receiving party(ies):

Name: Ruff Rider, Inc.

Internal Address:

Street Address: 2980 Sugarloaf Road

City: Boulder State: CO ZIP: 80302

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 11, 2005

A. Patent Application No.(s)

B. Patent No.(s)

03/11/2005 TBESHMMH 00000026 111E4576

04 FC:8021

40.00 OP

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Harold A. Burdick

Internal Address:

Street Address: 6676 Gunpark Drive  
Suite E.

City: Boulder State: CO ZIP: 80301

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Harold A. Burdick

Name of Person Signing

Signature

April 11, 2005

Date

Total number of pages comprising cover sheet: 1

REEL: 032525 FRAME: 0420

ASSIGNMENT

WHEREAS, I, Carl L Goldberg (hereinafter referred to as "ASSIGNOR"), a citizen of the United States of America, and a resident of the County of Boulder and State of Colorado, whose post office and residence address is 2980 Sugarloaf Road, Boulder, Colorado, 80301, have invented certain new and useful improvements in AUTOMOTIVE SAFETY HARNESS FOR PETS for which I am making application for Letters Patent of the United States;

Products

AND WHEREAS, Ruff Rider<sup>✓</sup> Inc., (hereinafter referred to as "ASSIGNEE"), a corporation duly organized, incorporated, and existing under the laws of the State of Colorado, and having an office and place of business at 2980 Sugarloaf Road, Boulder, Colorado, 80301, is desirous of acquiring the whole right, title, and interest in and to said improvements or invention, and in and to said application, and in and to any and all Letters Patent, both in the United States and all foreign countries, that may be obtained therefor;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the said ASSIGNOR, for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States to me in hand paid by said ASSIGNEE and for other good and valuable considerations unto me moving from said ASSIGNEE at or before the ensealing and delivery of these presents, and receipt whereof is hereby acknowledged, have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over unto said ASSIGNEE, its successors and assigns, the whole right, title, and interest, in the United States and all foreign countries, in and to the aforesaid improvements or invention as fully set forth and described in the application for Letters Patent of the United States thereof, prepared and executed by me on even date herewith, and in and to said application and in and to any and all Letters Patent, in the United States and all foreign countries, that may be obtained therefore, TO HAVE AND TO HOLD the same to the full end of the term or

C19  
8/12/13

PATENT

REEL: 032525 FRAME: 0421

terms for which any and all Letters Patent may be granted on said improvements and invention.

AND, I, the said ASSIGNOR, do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said ASSIGNEE as the assignee of the whole right, title, and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns.

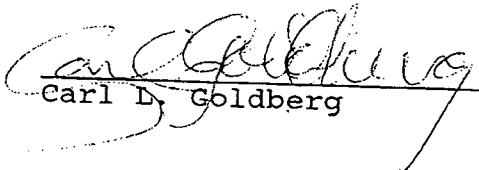
AND, I, the said ASSIGNOR, hereby authorize and empower said ASSIGNEE, its successors, assigns, and nominees to invoke and claim for any application for Letters Patent for any improvements or invention filed by said ASSIGNEE, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may hereafter be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

AND, I, the said ASSIGNOR, hereby consent that a copy of this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in any country for any purpose and more particularly is proof of the right of ASSIGNEE or its nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may hereafter be substituted for it.

AND, I, the said ASSIGNOR, for the considerations aforesaid, do hereby covenant and agree to and with the said ASSIGNEE, its successors and assigns, that I, my executors and administrators shall and will do all lawful acts and things, and make, execute, and deliver any and all papers, affidavits, assignments, and other documents, in the United States and all foreign countries, which, in the opinion of counsel for said ASSIGNEE, its successors and assigns, may be required or necessary more effectively to secure to and vest in said ASSIGNEE, its successors, or assigns, the whole right, title, and interest, in the United States and all foreign countries, in and to said improvements and


invention, application, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, transferred, and conveyed or intended so to be.

IN WITNESS WHEREOF, I, the said ASSIGNOR, have hereunto set my hand this 11th day of April, 2005.

 (L.S.)  
Carl L. Goldberg

STATE OF COLORADO     )  
                                  )   SS  
COUNTY OF BOULDER    )

BE IT KNOWN, that on this 11th day of April, 2005, before me personally appeared Carl L. Goldberg to me known and known to me to be the person mentioned in and who executed the foregoing instrument, and he acknowledged to me that he executed the same as his free act and deed for the uses and purposes therein mentioned.

  
Notary Public  
My Commission Expires: 2/18/07  
Address: 2100 W. 10th Ave  
Longmont, Colorado 80501