

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT2802107

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ZINE-EDDINE BOUTAGHOU	06/22/2011
RECEIVING PARTY DATA	
Name:	KARL SCHWAPPACH
Street Address:	17 SOUTH LONG LAKE TRAIL
City:	NORTH OAKS
State/Country:	MINNESOTA
Postal Code:	55127
PROPERTY NUMBERS Total: 5	
Property Type	Number
Application Number:	13275948
Application Number:	13284631
Application Number:	13289797
Application Number:	13423396
Application Number:	13430297
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
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Correspondent Name:	KARL SCHWAPPACH
Address Line 1:	17 SOUTH LONG LAKE TRAIL
Address Line 4:	NORTH OAKS, MINNESOTA 55127
NAME OF SUBMITTER:	KARL G SCHWAPPACH
SIGNATURE:	/Karl G. Schwappach/
DATE SIGNED:	04/06/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 6	
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ASSIGNMENT

WHEREAS, FIRST PRINCIPALS, LLC, a limited liability company organized and existing under and by virtue of the laws of the State of Minnesota and having an office and place of business at 4 Shadow Lane, North Oaks, MN 55127 (hereinafter "Assignor"), is the owner by assignment of certain new and useful inventions and improvements for which Applications in exhibit A were filed for Letters Patent in the United States.

AND WHEREAS, Karl Schwappach, is an individual residing in the State of Minnesota and having a place of residence at 17 South Long Lake Trail, North Oaks, MN 55127 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and applications and in and to Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereon is hereby acknowledged, Assignor has sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid applications, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns. Assignor further authorizes the Assignee or their legal representative to insert the serial number and filing date into this instrument once the U.S. Patent and Trademark Office has assigned this information to the application.

AND, for the consideration aforesaid, the undersigned do hereby agree that Assignor and its successors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts and proceedings and generally do all things which may be necessary or desirable more effectually to secure and to vest in said Assignee, its successors or assigns, the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, title, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore, the undersigned covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement

affecting the right and property herein conveyed has been made to others by Assignor and that full right to convey the same as herein expressed is possessed by Assignor.

IN TESTIMONY WHEREOF, Assignor has hereunder set my hand and seal this 22nd day of June, 2011.



Zine-Eddine Boutaghou individually
and on behalf of First Principals, LLC

EXHIBIT A

No.	Country	Title	Filing Date	Application No./Patent No.
2	U.S.	Carabiner with Anti-Cross Loading Feature	1/27/09	12/321,929
24	U.S.	Array of Abrasive Members with Resilient Support	5/21/10	12/784,908

STATE OF MINNESOTA

DISTRICT COURT

COUNTY OF RAMSEY

SECOND JUDICIAL DISTRICT

Karl Schwappach, an individual,

Case Type: Other Civil

Court File No. 62-CV-10-1765

Plaintiff,

Judge Dale B. Lindman

v.

Zine-Eddine Boutaghou, an individual, and
Joseph O'Brien, an individual, and First
Principals, LLC, d/b/a First Principals
Technology,

Defendants.

**STIPULATION TO THE MEDIATED SETTLEMENT AGREEMENT
DATED APRIL 8, 2011**

This Stipulation to the Mediated Settlement Agreement Dated April 8, 2011 is entered into by Karl Schwappach ("Schwappach"), Zine-Eddine Boutaghou ("Boutaghou"), Joseph O'Brien ("O'Brien"), and First Principals, LLC (d/b/a/ First Principles Technology) ("FPT") (each of Schwappach, Boutaghou, O'Brien, and FPT are hereinafter referred to as "Party" and, collectively, the "Parties").

1. During the fall of 2008, Boutaghou and Schwappach, through their mutual acquaintance agreed to form a joint venture to develop and license certain patents.

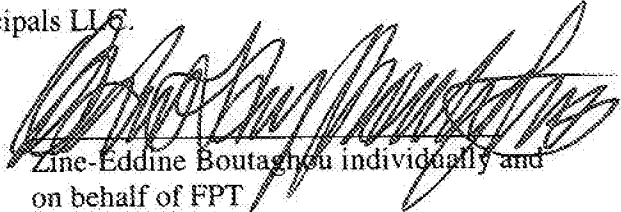
2. Schwappach and Boutaghou retained separate counsel to represent them jointly only as to the formation of a Minnesota limited liability company ("First Principals LLC"), to provide legal advice thereafter to the company (not the individuals), and to prepare documents.

3. Schwappach, Boutaghou, and corporate counsel for First Principals LLC, collectively agreed that, to the extent Schwappach was acting in his legal capacity, Schwappach's

client for purposes of his representation was First Principals LLC and not its members or shareholders.

4. Based on the prior agreement of the Parties, the patent applications generated by the joint venture were to be assigned to First Principals LLC.

Karl Schwappach individually and
on behalf of FPT



Zine-Eddine Boutaghoul individually and
on behalf of FPT

Joseph O'Brien (pro se)

Dated: April 8, 2011

client for purposes of his representation was First Principals LLC and not its members or shareholders.

4. Based on the prior agreement of the Parties, the patent applications generated by the joint venture were to be assigned to First Principals LLC.



Karl Schwappach individually and
on behalf of FPT

Zine-Eddine Boutaghou individually and
on behalf of FPT

Joseph O'Brien (pro se)

Dated: April 8, 2011