

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT2815561

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE NAME previously recorded on Reel 010410 Frame 0175. Assignor(s) hereby confirms the ASSIGNMENT.
CONVEYING PARTY DATA	
Name	Execution Date
BRUCE ENGLE	11/16/1999
KEVIN PARKER	11/16/1999
RECEIVING PARTY DATA	
Name:	SERENA SOFTWARE, INC.
Street Address:	1850 GATEWAY DRIVE
Internal Address:	4TH FLOOR
City:	SAN MATEO
State/Country:	CALIFORNIA
Postal Code:	94404
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	09441764
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NAME OF SUBMITTER:	SUSAN ZABLOCKI
SIGNATURE:	/susan zablocki/
DATE SIGNED:	04/15/2014
Total Attachments: 3	
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12-06-1999

Form PTO-1595 (modified)
(Rev. 6-93)

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

OMB No. 0891-0111 (exp. 4/94)

101214998

11/17/99

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)
Bruce Engle and Kevin Parker

2. Name and address of receiving party(ies):

SERENA Software International, Inc.
500 Airport Blvd., 2nd Floor
Burlingame, CA 94010

Additional name(s) of conveying party(ies) attached?

3. Nature of conveyance **Assignment**

Execution Date:

Bruce Engle and Kevin Parker: 11/16/98

Additional name(s) and address(es) attached? Yes No

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of the application is: 11/17/99

A. Patent Application No.(s)

(09/441764)

B. Patent No. (s)

Additional numbers attached

5. Name and address of party to whom correspondence concerning document should be mailed:

Charles E. Gottlieb
Law Offices of Charles E. Gottlieb
540 University Avenue
Suite 300
Palo Alto CA 94301

6. Total number of applications and patents involved

7. Total fee (37 CFR 3.41).....\$40

- Enclosed
- Authorize to charge deposit account for any deficiencies
- Authorized to be charged to deposit account

8. Deposit account number:

07-1738

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles E. Gottlieb

Name of Person Signing

Charles E. Gottlieb

Signature

11/17/99

Date

Total number of pages including cover sheet, attachments, and document.

3688 U.S. PTO
09/441764



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12/02/1999

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Docket 1125

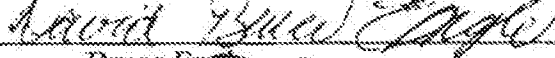
ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we, Bruce Engle and Kevin Parker do hereby sell, assign and transfer unto SERENA Software International, Inc., a California corporation having its principal place of business at 500 Airport Blvd., 2nd Floor, Burlingame, CA 94010 (the "Assignee"), and its successors and assigns, the entire title, interest and right, including the right of priority, in, to and under an application for Letters Patent of the United States, attorney docket number 1125, entitled, "METHOD AND APPARATUS FOR SERVING FILES FROM A MAINFRAME TO ONE OR MORE CLIENTS" filed by us with the United States Patent and Trademark Office on 11/17/99, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor or corresponding thereto;

And for the above consideration, upon request of the Assignee, its successors or assigns, we will promptly communicate any facts known to us respecting said application and the invention set forth therein, and will promptly execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to the Assignee, its heirs, successors or assigns, the inventions and any of them described in said application and all patent rights therein, in the United States and in any country foreign thereto, and will promptly cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication and re-examination of said Letters Patent, provided the expenses which may be incurred by us in lending such cooperation and assistance be paid by the Assignee, its successors or assigns.

This Assignment may be executed in one or more counterparts, each of which will be deemed an original and all of which taken together shall constitute one assignment.

IN WITNESS WHEREOF, we hereunto set our hand and seal on the date set forth below.

Dated: 11/17/1116 
Bruce Engle

Dated: 1999/11/16 
Kevin Parker

NOTARY ACKNOWLEDGEMENT

Title of Document: Assignment re: METHOD AND APPARATUS FOR SERVING FILES FROM A MAINFRAME TO ONE OR MORE CLIENTS

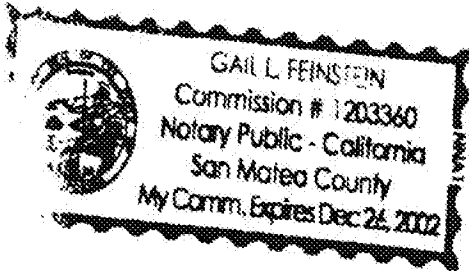
State of CALIFORNIA
County of SAN MATEO

On November 16, 1989, before me, Gail L. Feinstein, Notary Public personally appeared Bruce Engle and Kevin Parker, ~~personally known to me~~ or proved to me on the basis of satisfactory evidence to be the ~~person~~ or persons whose name(s) ~~is~~ are subscribed to the within instrument and each acknowledged to me that he/~~she~~ executed the same in his/~~her~~ ^{own} authorized capacity, and that by his/~~her~~ ^{signature} on the instrument the person, or the entity upon behalf of which person acted, executed the instrument.

Witness my hand and official seal.

Gail L. Feinstein
Notary Public

Notary's Seal



Case 1125