### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2819440

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY AGREEMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
PINEBROOK IMAGING, INC.	04/02/2014

#### **RECEIVING PARTY DATA**

Name:	APPLIED VENTURES, LLC
Street Address:	3050 BOWERS AVENUE
Internal Address:	M/S 0105
City:	SANTA CLARA
State/Country:	CALIFORNIA
Postal Code:	95052-8039

#### **PROPERTY NUMBERS Total: 42**

Property Type	Number
Application Number:	61099495
Application Number:	12337504
PCT Number:	US2009056740
Patent Number:	8253923
Application Number:	13587773
Application Number:	61162286
Application Number:	12475114
PCT Number:	US2010027550
Patent Number:	8670106
Application Number:	14203168
Application Number:	14203371
Application Number:	61249936
Application Number:	12897726
PCT Number:	US2010051823
Patent Number:	8390786
Application Number:	61286342
Application Number:	12960533
PCT Number:	US2010059667
Patent Number:	8395752
Application Number:	61330244
FU. 1 / 1.00 / F	

**PATENT** 

REEL: 032712 FRAME: 0642

502772845

Property Type	Number
Application Number:	13098296
Application Number:	61346436
Application Number:	13109563
PCT Number:	US2011037026
Patent Number:	8669989
Application Number:	14203174
Application Number:	61379732
Application Number:	13225404
PCT Number:	US2011050459
Application Number:	61379734
Application Number:	13225405
Application Number:	61379735
Application Number:	13225406
Application Number:	12960535
PCT Number:	US2010059677
Patent Number:	8390781
Application Number:	61541484
Application Number:	13629382
PCT Number:	US2012057941
Application Number:	61655475
Application Number:	13909076
PCT Number:	US2013044145

#### **CORRESPONDENCE DATA**

**Fax Number:** (713)623-4846

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via

US Mail.

**Email:** psdocketing@pattersonsheridan.com,

jmatthews@pattersonsheridan.com

Correspondent Name: PATTERSON & SHERIDAN, LLP

Address Line 1: 24 GREENWAY PLAZA

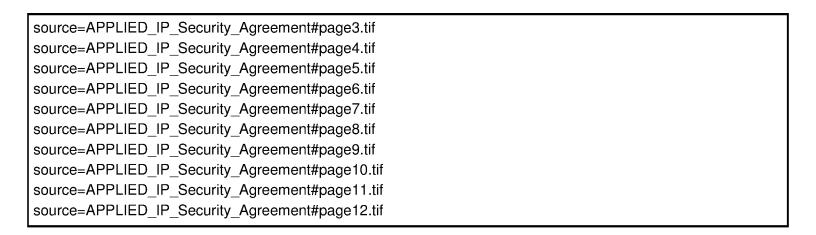
Address Line 2: SUITE 1600

Address Line 4: HOUSTON, TEXAS 77046

ATTORNEY DOCKET NUMBER:	APPM/PINEBROOK
NAME OF SUBMITTER:	JULIE MATTHEWS
SIGNATURE:	/Julie Matthews/
DATE SIGNED:	04/17/2014

**Total Attachments: 12** 

source=APPLIED\_IP\_Security\_Agreement#page1.tif source=APPLIED\_IP\_Security\_Agreement#page2.tif



#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This INTELLECTUAL PROPERTY SECURITY AGREEMENT (as amended, amended and restated, supplemented or otherwise modified from time to time, the "IP Security Agreement") dated April 2, 2014, is among PineBrook Imaging, Inc., a Delaware corporation (the "Grantor"), whose address is 3511 Thomas Rd., STE 1, Santa Clara, CA 95054, and Applied Ventures, LLC, as Holder (the "Secured Party"), whose address is 3050 Bowers Avenue, M/S 0105, PO Box 58039, Santa Clara, CA 95052-8039 for the Secured Party (as defined in the Senior Convertible Promissory Note referred to below). Capitalized terms used but not defined herein shall have the meanings set forth in the Note.

Reference is made to that certain Senior Convertible Promissory Note, dated as of the date hereof (as it may hereafter be amended, amended and restated, supplemented, replaced, refinanced or otherwise modified from time to time (including any increases of the principal amount outstanding thereunder), the "Note"), among the Grantor and the Secured Party.

WHEREAS, under the terms of the Note, the Grantor has granted to the Secured Party a security interest in, among other property, certain intellectual property of the Grantor, and has agreed thereunder to execute this IP Security Agreement for recording with the U.S. Patent and Trademark Office, the United States Copyright Office and any other appropriate domestic federal governmental authorities.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Grantor agrees as follows:

SECTION 1. <u>Grant of Security</u>. Grantor hereby grants to the Secured Party a security interest in all of such Grantor's right, title and interest in and to its Intellectual Property, including, without limitation, the following, whether now owned or hereafter acquired by the undersigned (the "<u>IP Collateral</u>"):

- (i) the patents and patent applications set forth in Schedule A hereto (the "Patents");
- (ii) the trademark and service mark registrations and applications set forth in Schedule B hereto (provided that no security interest shall be granted in United States intent-to-use trademark applications to the extent that, and so long as creation of a security interest therein or the assignment thereof would result in the loss of any material rights therein), together with the goodwill symbolized thereby (the "Trademarks");
- (iii) all copyrights, whether registered or unregistered, including, without limitation, the copyright registrations and applications and exclusive copyright licenses set forth in Schedule C hereto (the "Copyrights");
- (iv) all reissues, divisions, continuations, continuations-in-part, extensions, renewals and reexaminations of any of the foregoing, all rights in the foregoing provided by international treaties or conventions, all rights corresponding thereto throughout the world and all other rights of any kind whatsoever of such Grantor accruing thereunder or pertaining thereto;
- (v) any and all claims for damages and injunctive relief for past, present and future infringement, dilution, misappropriation, violation, misuse or breach with respect to any of the foregoing, with the right, but not the obligation, to sue for and collect, or otherwise recover, such damages; and

(vi) any and all proceeds of, collateral for, income, royalties and other payments now or hereafter due and payable with respect to, and supporting obligations relating to, any and all of the IP Collateral of or arising from any of the foregoing;

.

SECTION 2. Security for Obligations. The grant of a security interest in the IP Collateral by the Grantor under this IP Security Agreement secures the payment of all Obligations of the Grantor now or hereafter existing under or in respect of the Loan Documents (as such Loan Documents may be amended, amended and restated, supplemented, replaced, refinanced or otherwise modified from time to time), whether direct or indirect, absolute or contingent, and whether for principal, reimbursement obligations, interest, premiums, penalties, fees, indemnifications, contract causes of action, costs, expenses or otherwise. Without limiting the generality of the foregoing, this IP Security Agreement secures, as to the Grantor, the payment of all amounts that constitute part of the Obligations that would be owed by such Grantor to the Secured Party under the Loan Documents but for the fact that they are unenforceable or not allowable due to the existence of a bankruptcy, or reorganization or similar proceeding involving the Grantor.

SECTION 3. <u>Recordation</u>. Grantor authorizes and requests that the Register of Copyrights, the Commissioner for Patents and the Commissioner for Trademarks and any other applicable domestic federal government officer record this IP Security Agreement.

SECTION 4. Execution in Counterparts. This IP Security Agreement may be executed in any number of counterparts, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement.

SECTION 5. Grants, Rights and Remedies. This IP Security Agreement has been entered into in conjunction with the provisions of the Note. The Grantor does hereby acknowledge and confirm that the grant of the security interest hereunder to, and the rights and remedies of, the Secured Party with respect to the IP Collateral are more fully set forth in the Note, the terms and provisions of which are incorporated herein by reference as if fully set forth herein. In the event of any conflict between the terms of this IP Security Agreement and the terms of the Note, the terms of the Note shall govern.

SECTION 6. <u>Governing Law; Jurisdiction; Etc.</u> (a) THIS IP SECURITY AGREEMENT SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK.

(b) EACH PARTY HERETO IRREVOCABLY AND UNCONDITIONALLY SUBMITS, FOR ITSELF AND ITS PROPERTY, TO THE EXCLUSIVE JURISDICTION OF THE COURTS OF THE STATE OF NEW YORK SITTING IN THE STATE, COUNTY AND CITY OF NEW YORK AND OF THE UNITED STATES FOR THE SOUTHERN DISTRICT OF NEW YORK, AND ANY APPELLATE COURT FROM ANY THEREOF, IN ANY ACTION OR PROCEEDING ARISING OUT OF OR RELATING TO THIS IP SECURITY AGREEMENT, AND EACH OF THE PARTIES HERETO IRREVOCABLY AND UNCONDITIONALLY AGREES THAT ALL CLAIMS IN RESPECT OF ANY SUCH ACTION OR PROCEEDING MAY BE HEARD AND DETERMINED IN SUCH NEW YORK STATE COURT OR, TO THE FULLEST EXTENT PERMITTED BY APPLICABLE LAW, IN SUCH FEDERAL COURT. EACH OF THE PARTIES HERETO AGREES THAT A FINAL JUDGMENT IN ANY SUCH ACTION OR PROCEEDING SHALL BE CONCLUSIVE AND MAY BE ENFORCED IN OTHER JURISDICTIONS BY SUIT ON THE JUDGMENT OR IN ANY OTHER MANNER PROVIDED BY LAW. NOTHING IN THIS IP SECURITY AGREEMENT SHALL AFFECT ANY RIGHT THAT THE SECURED PARTY MAY

OTHERWISE HAVE TO BRING ANY ACTION OR PROCEEDING RELATING TO THIS IP SECURITY AGREEMENT AGAINST THE GRANTOR OR ITS PROPERTIES IN THE COURTS OF ANY JURISDICTION.

- (c) EACH PARTY HERETO IRREVOCABLY AND UNCONDITIONALLY WAIVES, TO THE FULLEST EXTENT PERMITTED BY APPLICABLE LAW, ANY OBJECTION THAT IT MAY NOW OR HEREAFTER HAVE TO THE LAYING OF VENUE OF ANY ACTION OR PROCEEDING ARISING OUT OF OR RELATING TO THIS IP SECURITY AGREEMENT IN ANY COURT REFERRED TO IN PARAGRAPH (b) OF THIS SECTION. EACH OF THE PARTIES HERETO HEREBY IRREVOCABLY WAIVES, TO THE FULLEST EXTENT PERMITTED BY APPLICABLE LAW, THE DEFENSE OF AN INCONVENIENT FORUM TO THE MAINTENANCE OF SUCH ACTION OR PROCEEDING IN ANY SUCH COURT.
- (d) EACH PARTY HERETO IRREVOCABLY CONSENTS TO SERVICE OF PROCESS IN THE MANNER PROVIDED FOR NOTICES IN SECTION 13.3 OF THE NOTE. NOTHING IN THIS IP SECURITY AGREEMENT WILL AFFECT THE RIGHT OF ANY PARTY HERETO TO SERVE PROCESS IN ANY OTHER MANNER PERMITTED BY APPLICABLE LAW.
- EXPRESSLY WAIVES ANY RIGHT TO THIS IP SECURITY AGREEMENT HEREBY EXPRESSLY WAIVES ANY RIGHT TO TRIAL BY JURY OF ANY CLAIM, DEMAND, ACTION OR CAUSE OF ACTION ARISING UNDER THIS IP SECURITY AGREEMENT OR IN ANY WAY CONNECTED WITH OR RELATED OR INCIDENTAL TO THE DEALINGS OF THE PARTIES HERETO OR ANY OF THEM WITH RESPECT TO THIS IP SECURITY AGREEMENT, OR THE TRANSACTIONS RELATED THERETO, IN EACH CASE WHETHER NOW EXISTING OR HEREAFTER ARISING, AND WHETHER FOUNDED IN CONTRACT OR TORT OR OTHERWISE; AND EACH PARTY HEREBY AGREES AND CONSENTS THAT ANY SUCH CLAIM, DEMAND, ACTION OR CAUSE OF ACTION SHALL BE DECIDED BY COURT TRIAL WITHOUT A JURY, AND THAT ANY PARTY TO THIS AGREEMENT MAY FILE AN ORIGINAL COUNTERPART OR A COPY OF THIS SECTION 6(e) WITH ANY COURT AS WRITTEN EVIDENCE OF THE CONSENT OF THE SIGNATORIES HERETO TO THE WAIVER OF THEIR RIGHT TO TRIAL BY JURY.

[Remainder of page intentionally left blank.]

- 3 -

IN WITNESS WHEREOF, the Grantor and the Secured Party have caused this IP Security Agreement to be duly executed and delivered by its officer thereunto duly authorized as of the date first written above.

PINEBROOK IMAGING, INC.

as Grantor

Name: Jang Fung Chen

Title: President

GDSVF&H\1841756.1

[Signature page to Intellectual Property Security Agreement]

APPLIED VENTURES, LLC, as Secured Party

By: Alexa M Tay S Name: Filen Tary T Title: Managing Priecher and General Hory.

**REEL: 032712 FRAME: 0649** 

# Schedule A

## Patents

Title	Optical Imaging Writer	System	Optical Imaging Writer	An Optical Imaging	Writer System	An Optical Image Writer	System			An Optical Image Writer	System	Optical Imaging Writer	System	Optical Imaging Writer	System	Optical Imaging Writer	System	Optical Imaging Writer	System	An Optical Imaging	writer System	An Optical Image Writer	System			An Optical Image Writer System	Ontion Imaging Weiter	Optical illiaging writer System	An Optical Imaging Writer System
Status*	Provisional	Non-provisional		Filed		Non-provisional;	under examination;	Filing combined with	US Family 1, 2, 3		Issued 2013-06-19	Issued 2012-08-28		Dispatched from Pre-	Exam	Provisional	Non-provisional	(Issue Fee	Notification)	Filed		Non-provisional;	under examination;	filing combined with	US family 1, 2, 3	Filed	Allowed Cleans dots	2014-03-11)	Filed (divisional)
Appl/Filing Date	2008-09-23	2008-12-17			2009-09-11		2010-10-06				2011-03-23	2008-12-17		2012-08-16		2009-03-21	2009-05-29			2010-03-16		2010-10-06				2011-09-20	00 50 0000	50.60-CO	2014-03-10
App./Patent No.	US 61099495	US 12337504		PCT/US09/056740		Taiwan 099134071				China(PCT/US09/056740)		US 8253923		US 13587773		US61162286 US12475114				PCT/US10/27550		Taiwan 099134071				China(PCT/US10/27550)	9010296 311	0.3 80/0100	US 14203168
Publ. No.   Publ. Date																													
Family	П			1		1				-				1		2				2		2				7	c	7	2

Schedule A – Page 1

Family	Publ. No.	Publ. Date	App./Patent No.	Appl/Filing Date	Status*	Title
2			US 14203371	2014-03-10	Filed (continuation-	Pixel Blending For
					in-part)	Multiple Charged-Particle
						Beam Lithography
3			US 61249936	2009-10-08	Provisional -	Optical Imaging Writer System
3			US 12897726	2010-10-04	Non-provisional Filed	Optical Imaging Writer
						System
3			PCT/US10/51823	2009-10-07	Filed	An Optical Imaging Writer System
3			Taiwan 099134071	2010-10-06	Filed; combined with US family 1, 2, 3	An Optical Image Writer System
3			China(PCT/US10/51823)	2012-05-30	Filed	An Optical Image Writer System
3			US 8390786	2010-10-04	Issued 2013-03-05	Optical Imaging Writer System
4			US 61286342	2009-12-14	Provisional -	Optical Image Writer System
4			US 12960533	2010-12-05	Non-provisional Filing	Optical Imaging Writer System
4			PCT/US10/59667	2010-12-9	PCT Filing Filed	An Optical Imaging Writer System
4			Taiwan 099143776	2011-05-24	Request for Examination filed 2014-02-07	An Optical Image Writer System
4			China (PCT/US10/59667)	2012-06-13	Filed	An Optical Image Writer System
4			US 8395752	2010-12-05	Issued 2013-03-12	Optical Imaging Writer System
5			US 61330244	2010-04-30	Provisional	Method and System for Handling Substrates
5			US 13098296	2011-04-29	Non-provisional Filing	Method and System for Handling Substrates
5			PCT		Not filed	
5			Taiwan		Not filed	
5			China		Not filed	

Status* Title	onal Parallel Image Processing	Non-provisional System	Parallel Image Processing	System	A Parallel Image	Processing System	A Parallel Image	Processing System	A Parallel Image	Processing System	Allowed (Issue date Parallel Image Processing 2014-03-11) System	Filed (divisional) A Parallel Imaging		Manufacturing 3-D	Integrated Circuits	Non-provisional System and Method for	Manufacturing Three	Dimensional Integrated	Circuits	ined	US family 7, 8, 9   Manufacturing Three	Dimensional Integrated	Circuits	SO pa		for Integrated Circuits	Examination filed	-07	ined	US family 7, 8, 9   Manufacturing 3-D	Integrated Circuits		Manufacturing Multiple	Light Emitting Diodes in
	Provisional	Non-pro			Filed		Filed		Filed		Allowed (Iss 2014-03-11)	Filed (d	Provisional			Non-pro	Filing			PCT Fil	US fam			Filing c	family 7, 8, 9;	Request for	Examin	2014-02-07	PCT Fil	US tam		Provisional		
Appl/Filing Date	2010-05-19	2011-05-17			2011-05-18		2011-05-18		2012-11-16		2011-05-17	2014-03-10	2010-09-03			2011-09-02				2011-09-03				2011-09-03					2013-03-04			2010-09-03		
App./Patent No.	US 61346436	US 13109563			PCT/US11/37026		Taiwan 100117437		China (PCT/US11/37026)		US 8669989	US 14203174	US 61379732			US 13225404				PCT/US11/50459				Taiwan 100131842					China (PCT/US2011/050459)			US 61379734		
Publ. Date																																		
Family Publ. No.   Publ. Date																																		
Family	9				9		9		9		9	9	7			7				7				7					7			8		

	<u> </u>	le in	T.						in .		ı	<u>е</u>	in		-												ī				T	<del>-</del>	
Title	Method fo	ng Multip ng Diodes	Method fo	ng Three	Integrate	,	Method fo	ng Multip	ng Diodes		Method fo	ng Multip	ng Diodes		Method fo	Automatic	ection of	ircuits	Method fo	Automatic	ection of	ircuits	Method	ng Three	l Integrate		Method fo	Automatic	ection of	ircuits		Method to Automatic	ection of
=	System and Method for	Manufacturing Multiple Light Emitting Diodes in Parallal	System and Method for	Manufacturing Three	Dimensional Integrated	Circuits	System and Method for	Manufacturing Multiple	Light Emitting Diodes in	Parallel	System and Method for	Manufacturing Multiple	Light Emitting Diodes in	Parallel	System and Method for	Performing Automatic	Optical Inspection of	Integrated Circuits	System and Method for	Performing Automatic	Optical Inspection of	Integrated Circuits	System and Method	Manufacturing Three	Dimensional Integrated	Circuits	System and Method for	Performing Automatic	Optical Inspection of	Integrated Circuits	-	System and Method tor Performing Automatic	Optical Inspection of
	S	ă E Z	+		ם כ	+		$\Xi$					<u> </u>	P2	Sy	- Pe	<u> </u>	In	S		<u> </u>	In		<u> </u>		Ci		Pe			+		<u></u>
Status*	/isional		io combi	y 7, 8, 9		,	Filing combined US	8, 9;	for	Examination filed on 2014-02-07	ng combi	y 7, 8, 9			ıal				/isional				ng combi	y 7, 8, 9			Filing combined US	8, 9;	for	Examination filed on	),  -	ng combi y 7, 8, 9	
Š	Non-provisional	Filing	PCT Filing combined	US family 7, 8, 9			Filing co.	family 7, 8, 9;	Request for	Examination 2014-02-07	PCT Filing combined	US family 7, 8, 9			Provisional				Non-provisional	Filing			PCT Filing combined	US family 7, 8, 9			Filing co	family 7, 8, 9;	Request for	Examina	2014-02-07	PCT Filing combined US family 7, 8, 9	
g Date																																	
Appl/Filing Date	2011-09-02		2011-09-03	, , , , , , , , , , , , , , , , , , ,			2011-09-03				2013-03-04				2010-09-03				2011-09-02				2011-09-03				2011-09-03				000	2013-03-04	
*	20		20	<u> </u>		4					20				20				20				70				20				6	OZ	
VO.											(654)																				(C)	(454)	
App./Patent No.			50	)		4	842				CT/US2011/050459)												59				842				700	CT/US2011/050459)	
App.	US 13225405		1511/50459			- 1	n 100131842								379735				US 13225406				JS11/50459				n 100131842					(PCI/US	
	1		PCT/IIS	,		-	Taiwan				China (P				US 6137				US 13				PCT/US				Taiwan					China (P	
.   Publ. Date																																	
0.																																	
Publ. No.																																	
viii	~		~				<u>∞</u>				8				6				6								· ·				+	۷	
Family	3			,			~				3				١				٠ ١				6				6					<b>.</b> `	

Family Pub	Publ. No.   P	Publ. Date	App./Patent No.	Appl/Filing Date	Status*	Title
10			US 61286342	2009-12-14	Provisional	Optical Image Writer
						System
10			US 12960535	2010-12-05	Non-provisional	Optical Imaging Writer
						System
10			PCT/US10/59677	2010-12-09	PCT Filed	An Optical Image Writer
						System
10			Taiwan 099143774	2011-5-24	Filed; Request for	An Optical Image Writer
					Examination filed 2014-02-07	System
10			China(PCT/US10/59677)	2012-06-13	Filed	An Optical Image Writer
						System
10			US 8390781	2010-12-05	Issued 2013-03-05	Optical Imaging Writer
						System
11			US 61541484	2011-09-30	Provisional	Illumination System
11			US 13629382	2012-09-27	Non-provisional	Illumination System
11			PCT/US12/57941	2012-09-28	PCT Filed	An Illumination System
11			Taiwan 101135869	2011-09-28	Filed	An Illumination System
11			China			
12			US 61655475	2012-06-04	Provisional	Optical Projection Array
						Exposure System
12			US 13909076	2013-06-04	Non-provisional	Optical Projection Array
						Exposure System
12			PCT/US13/44145	2013-06-4	PCT Filed	An Optical Projection
						Array Exposure System
12			Taiwan 102119784	2013-06-04	Filed	An Optical Projection
						Array Exposure System
12.			China			

Schedule B

Trademarks

None

Schedule B

Schedule C

Copyrights

None

Schedule C

US\_ACTIVE:\44441397\6\16308.0230

**RECORDED: 04/17/2014**