# 502775478 04/21/2014

# PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:      | NEW ASSIGNMENT |
|-----------------------|----------------|
| NATURE OF CONVEYANCE: | ASSIGNMENT     |

### **CONVEYING PARTY DATA**

| Name               | Execution Date |
|--------------------|----------------|
| HOSHENG TU         | 06/14/2002     |
| GREGORY T. SMEDLEY | 06/14/2002     |
| DAVID HAFFNER      | 06/17/2002     |
| BARBARA A. NIKSCH  | 06/14/2002     |

## **RECEIVING PARTY DATA**

| Name:             | GLAUKOS CORPORATION |
|-------------------|---------------------|
| Street Address:   | 26051 MERIT CIRCLE  |
| Internal Address: | SUITE 103           |
| City:             | LAGUNA HILLS        |
| State/Country:    | CALIFORNIA          |
| Postal Code:      | 92653               |

## **PROPERTY NUMBERS Total: 8**

| Property Type       | Number   |
|---------------------|----------|
| Patent Number:      | 7563241  |
| Patent Number:      | 8075511  |
| Application Number: | 12979249 |
| Patent Number:      | 8062244  |
| Patent Number:      | 7857782  |
| Patent Number:      | 8579846  |
| Application Number: | 13786357 |
| Application Number: | 13786363 |
|                     |          |

### CORRESPONDENCE DATA

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Address Line 4: IRVINE, CALIFORNIA 92614

PATENT

502775478 REEL: 032718 FRAME: 0501

| ATTORNEY DOCKET NUMBER:    | GLAUKO.011C1             |  |
|----------------------------|--------------------------|--|
| NAME OF SUBMITTER:         | MICHAEL CHRISTENSEN      |  |
| SIGNATURE:                 | /Michael R. Christensen/ |  |
| DATE SIGNED:               | 04/21/2014               |  |
| Total Attachments: 3       |                          |  |
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| source=Assign11a#page2.tif |                          |  |
| source=Assign11a#page3.tif |                          |  |

PATENT REEL: 032718 FRAME: 0502

PATENT

Application No.: 10/118,578

Filing Date: April 8, 2002

Client Code: GLAUKO.011A

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#### ASSIGNMENT

WHEREAS, We, Hosheng Tu, a United States citizen, residing at 15 Riez, Newport Coast, CA 92657; Gregory T. Smedley, a United States citizen, residing at 81 Whitman Court, Irvine, CA 92612; David Haffner, a United States citizen, residing at 24681 Via San Fernando, Mission Viejo, CA 92692 and; Barbara A. Niksch, a United States citizen, residing at 28571 Jaeger Drive, Laguna Niguel, Ca 92677, have invented certain new and useful improvements in a GLAUCOMA STENT AND METHODS THEREOF FOR GLAUCOMA TREATMENT for which we have filed an application for Letters Patent in the United States, Application No. 10/118,578, filed on April 8, 2002;

AND WHEREAS, Glaukos Corporation (hereinafter "ASSIGNEE"), a Delaware Corporation, with its principal place of business at 26061 Merit Circle, Suite 101, Laguna Hills, CA 92653, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this / 4 th day of June, 2002

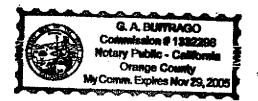
Hosheng Tu

STATE OF CALIFORNIA SS.

On <u>take 14, 2002</u>, before me, <u>G.A. Suitrago</u>, personally appeared Hosheng Tu personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are—subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ics), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Notary Signature

PATENT REEL: 032718 FRAME: 0503 Application No.: 10/118,578

Filing Date: April 8, 2002

Client Code: GLAUKO.011A

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this day of

STATE OF CALIFORNIA COUNTY OF Orange ss.

On <u>June 14, 2002</u>, before me, <u>G. A. Buittagi</u>, personally appeared Gregory T. Smedley personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 17

Dand S. ofaflur

STATE OF CALIFORNIA SS.

On June 17, 2002 before me, G. A. Suitrage, personally appeared David Haffner personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/aresubscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

G. A. BUITRAGO Commission # 1332298 lotary Public - Ca **Orange County** My Comm. Expires Nov 29, 2005

[SEAL]

**PATENT** 

REEL: 032718 FRAME: 0504

PATENT

Application No.: 10/118,578 Filing Date: April 8, 2002

Client Code: GLAUKO.011A

Page 3 of 3

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 4th day of 2002.

Barbara A. Niksch

STATE OF CALIFORNIA COUNTY OF OFANGE SS.

On <u>Ture 14,2002</u>, before me, <u>6.A. Suitragi</u>, personally appeared Barbara A. Niksch personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity(ies), and that by her signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

G. A. BUTTRAGO
Commission if 1332296
Notary Public - Cellionnia
Orange County
My Comm. Expires Nov 29, 2005

Notary Signature

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> PATENT REEL: 032718 FRAME: 0505

RECORDED: 04/21/2014