

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2825505

| | | |
|---|------------------------------------|-----------------------|
| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | ASSIGNMENT | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | IHC HEALTH SERVICES, INC. | 11/05/2010 |
| RECEIVING PARTY DATA | | |
| Name: | CARDIACASSIST, INC. | |
| Street Address: | 240 ALPHA DRIVE | |
| City: | PITTSBURGH | |
| State/Country: | PENNSYLVANIA | |
| Postal Code: | 15238 | |
| PROPERTY NUMBERS Total: 1 | | |
| | Property Type | Number |
| | Application Number: | 14019230 |
| CORRESPONDENCE DATA | | |
| Fax Number: | (412)945-5933 | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i> | | |
| Phone: | 4124718815 | |
| Email: | assignments@webblaw.com | |
| Correspondent Name: | THE WEBB LAW FIRM | |
| Address Line 1: | 420 FT. DUQUESNE BLVD., SUITE 1200 | |
| Address Line 4: | PITTSBURGH, PENNSYLVANIA 15222 | |
| NAME OF SUBMITTER: | CHRISTIAN E SCHUSTER | |
| SIGNATURE: | /christian e schuster/ | |
| DATE SIGNED: | 04/23/2014 | |
| Total Attachments: 2 | | |
| source=IHCtoCA#page1.tif | | |
| source=IHCtoCA#page2.tif | | |

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

IHC Health Services, Inc.
36 South State Street, Suite 200
Salt Lake City, UT 84111

2. Name and address of receiving party(ies)

Name: CardiacAssist, Inc.

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) November 5, 2010

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☒ Other Addendum to Patent Assignment and Royalty Agreement

Street Address: 240 Alpha Drive

City: Pittsburgh

State: PA

Country: US Zip: 15238

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

14/019,230

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: _____

Internal Address: The Webb Law Firm

Street Address: One Gateway Center
420 Ft. Duquesne Blvd., Ste 1200

City: Pittsburgh

State: PA Zip: 15222

Phone Number: 412-471-8815

Fax Number: 412-945-5933

Email Address: assignments@webblaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ _____

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature:



Signature

April 23, 2014

Date

Christian E. Schuster

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Doc. No.

**PATENT
REEL: 032735 FRAME: 0905**

Addendum to Patent Assignment and Royalty Agreement

This Addendum to Patent Assignment and Royalty Agreement is entered into as of October 7, 2010, by and between the following Parties:

Intermountain: IHC Health Services, Inc. ("Intermountain"), a Utah corporation
36 South State Street, Suite 200
Salt Lake City, UT 84111

Cardiac: Cardiac Assist, Inc. ("Cardiac"), a Delaware corporation
240 Alpha Drive
Pittsburgh, PA 15238

Whereas Intermountain and Cardiac previously entered into a Patent Assignment and Royalty Agreement on January 6, 2009 ("the Agreement"), a copy of which is attached hereto;

Whereas Intermountain obtained the Patent Rights sold, assigned, and transferred to Cardiac by an Assignment dated January 7, 2009, a copy of which is included as Exhibit A in the Agreement; and

Whereas it was the intent of the Parties that Intermountain sell, assign, and transfer any and all rights, title, and interest in the Patent Rights to Cardiac at the time the Agreement was entered into on January 6, 2009;

Now therefore, in consideration of the promises and mutual covenants set forth, the Parties do agree to amend the Agreement as follows:

1. The Effective Date of the Agreement shall be amended and changed to January 7, 2009 from January 6, 2009. "
2. All other terms and conditions contained in the Agreement shall remain in effect.

In Witness thereof, this Addendum to Patent Assignment and Royalty Agreement has been executed and delivered by a duly authorized officer of the Parties above written.

Cardiac Assist, Inc

Name: Robert J. Dickson
Title: CFO
Signature: Robert J. Dickson
Date: 11/10/10

IHC Health Services, Inc.

Name: Brent Wallace
Title: Brent Wallace, MD
Signature: Chief Medical Officer
Date: 11/5/10
Intermountain Healthcare