## 502780977 04/24/2014

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2827573

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
THOMAS R. JENKINS	03/27/2014
JESSICA M. LIBERATORE	03/27/2014
RANDY J. KESTEN	03/25/2014
RADIT TANTISIRA	03/27/2014

### **RECEIVING PARTY DATA**

Name:	ACCLARENT, INC.	
Street Address:	1525-B O'BRIEN DRIVE	
City:	MENLO PARK	
State/Country:	CALIFORNIA	
Postal Code:	94025	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14199338

## **CORRESPONDENCE DATA**

**Fax Number:** (513)651-6981

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via

US Mail.

Phone: 513-651-6800

Email: dattix@fbtlaw.com

Correspondent Name: ANDREW B. ULMER

Address Line 1: 3300 GREAT AMERICAN TOWER
Address Line 2: 301 EAST FOURTH STREET
Address Line 4: CINCINNATI, OHIO 45202

ATTORNEY DOCKET NUMBER: ACC5063USCIP3.0615154

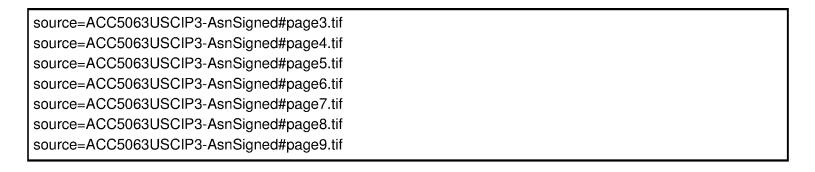
NAME OF SUBMITTER: ANDREW B. ULMER

SIGNATURE: /Andrew B. Ulmer/

DATE SIGNED: 04/24/2014

**Total Attachments: 9** 

source=ACC5063USCIP3-AsnSigned#page1.tif source=ACC5063USCIP3-AsnSigned#page2.tif



DOCKET NO. ACC5063USCIP3

Joint Inventors

## ASSIGNMENT

Serial No. 14/199,338 Filed: March 6, 2014

WHEREAS, **Thomas R. Jenkins**, a citizen of the United States of America, residing at 1000 Grand Street, Alameda, CA 94501; **Jessica M. Liberatore**, a citizen of the United States of America, residing at 3709 Edison Street, San Mateo, CA 94403; **Randy J. Kesten**, a citizen of the United States of America, residing at 181 Ada Avenue, #41, Mountain View, CA 94043; and **Radit Tantisira**, a citizen of the United States of America, residing at 1110 Cascade Drive, Menlo Park, CA 94025 (hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to:

#### NASAL FLUID MANAGEMENT DEVICE

for which they have this day executed an application for Letters Patent of the United States; and

WHEREAS, Acclarent, Inc., located at 1525-B O'Brien Drive, Menlo Park, CA 94025, a corporation formed under the laws of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and reissues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

ACC5063USCIP3 Page 1 of 5

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMO of Marc V	NY WHEREOF, Assignor 1 , 20/4.	has hereunto set his hand a	and seal this $2^{\frac{1}{2}}$	_ day
(L.S.)		Thomas R. Jenkins	Min.	
STATE OF	) ) ss.			
COUNTY OF	)			
	MBERED, That on this	day of	, 20, before n	
who executed the for contents thereof, he di	ally appeared Thomas R. Jer regoing instrument in my produced acknowledge that he signed and purposes therein expresso	resence, and I having first d, sealed, and delivered the	made known to him	n the
Se	e attached	Notary Public	<del></del>	

ACC5063USCIP3 Page 2 of 5

County of San Mateo	
on 3/27/14 before me, 5	staun Hames
Dotal	Here Insert Name and Title of the Officer
personally appeared	Name(s) of Signer(s)
STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
	paragraph is true and correct.  WITNESS my hand and official seal.
Place Notary Seal Above	WITNESS my hand and official seal.  Signature: My Hand and official seal.
Though the information below is not required by and could prevent fraudulent removal	WITNESS my hand and official seal. Signature:
Though the information below is not required by and could prevent fraudulent removal Description of Attached Document	Signature:  Signature:  Signature of Notary Public  FIONAL  I and reattachment of this form to another document.
Though the information below is not required by and could prevent fraudulent removal  Description of Attached Document  Title or Type of Document:	Signature:  Signature of Notary Public  FIONAL  Jaw, it may prove valuable to persons relying on the document of and reattachment of this form to another document.
Though the information below is not required by and could prevent fraudulent removal  Description of Attached Document  Title or Type of Document:  Document Date:	WITNESS my hand and official seal.  Signature:  Signature of Notary Public  FIONAL  Jaw, it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:
Though the information below is not required by and could prevent fraudulent removal  Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:	WITNESS my hand and official seal.  Signature:  Signature of Notary Public  FIONAL  I law, it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:
Though the information below is not required by and could prevent fraudulent removal  Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)	WITNESS my hand and official seal.  Signature:  Signature of Notary Public  Flow it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:
Though the information below is not required by and could prevent fraudulent removal  Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)  Signer's Name:	WITNESS by hand and official seal.  Signature:  Signature of Notary Public  FIONAL  I law, it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:  Signer's Name:
Though the information below is not required by and could prevent fraudulent removal  Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)	WITNESS my hand and official seal.  Signature:  Signature of Notary Public  Flow it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:
Though the information below is not required by and could prevent fraudulent removal Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)  Signer's Name:  Corporate Officer — Title(s):	WITNESS my hand and official seal.  Signature:  Jignature of Notary Public  Flaw, it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:  Signer's Name:  Corporate Officer Title(s):
Though the information below is not required by and could prevent fraudulent removal Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)  Signer's Name:  Corporate Officer — Title(s):  Individual  Partner — Limited — General	WITNESS by hand and official seal.  Signature:  Jignature of Notary Public  Flow AL  Jaw, it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:  Signer's Name:  Corporate Officer Title(s):
Though the information below is not required by and could prevent fraudulent removal Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)  Signer's Name:  Corporate Officer — Title(s):  Individual  Partner — Limited General	WITNESS my hand and official seal.  Signature:  Jignature of Notary Public  Flaw, it may prove valuable to persons relying on the document  Jignature of Notary Public  Ji
Though the information below is not required by and could prevent fraudulent removal Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)  Signer's Name:  Corporate Officer — Title(s):  Individual  Partner — Limited — General  Attorney in Fact	WITNESS by hand and official seal.  Signature:  Jignature of Notary Public  FIONAL  Jaw, it may prove valuable to persons relying on the document and reattachment of this form to another document.  Number of Pages:  Signer's Name:  Corporate Officer — Title(s):  Individual  Partner — Limited  General  Attorney in Fact
Though the information below is not required by and could prevent fraudulent removal Description of Attached Document  Title or Type of Document:  Document Date:  Signer(s) Other Than Named Above:  Capacity(ies) Claimed by Signer(s)  Signer's Name:  Corporate Officer — Title(s):  Individual  Partner — Limited — General  Attorney in Fact  Trustee	WITNESS my hand and official seal.  Signature:  Jignature of Notary Public  FIONAL  Jaw, it may prove valuable to persons relying on the document of and reattachment of this form to another document.  Number of Pages:  Signer's Name:  Corporate Officer Title(s):  Individual  Partner - Limited General  Attorney in Fact  Guardian or Conservator  Other:

4		assignor has hereunto se	t his hand and seal this	27
of March	ु, 20 <u>14</u> .	:		
(L.S.)		Jessica M	Liberatore	
STATE OF	) ) ss.			
COUNTY OF	) 33.			
Notary Public, person and who executed the	foregoing instrumed acknowledge that	a M. Liberatore, who at in my presence, and I he signed, sealed, and d	I am satisfied is the personal having first made know	vn to him the
Sc	ee alta	Notary	Public	

ACC5063USCIP3 Page 3 of 5

State of California	}
County of San IV San IV	J <u></u>
On 3 27 14 before me, St	ram Hames
TACC'S C	Here Insert Name and Title of the Officer
personally appeared	Name(s) of Signer(s)
STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015	who proved to me on the basis of satisfactor evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same is his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.  WITNESS of hand and official seal.
Place Notary Seal Above	Signature: Signature of Notary Public
	TIONAL —————
and could prevent fraudulent removal	law, it may prove valuable to persons relying on the document land reattachment of this form to another document.
Description of Attached Document	
Title or Type of Document:	
Document Date:	
Capacity(ies) Claimed by Signer(s)	
Signer's Name:	Signer's Name:
Corporate Officer — Title(s):	☐ Corporate Officer — Title(s):
☐ Individual	Individual
☐ Partner — ☐ Limited ☐ General	□ Partner — □ Limited □ General
Attorney in Fact	☐ Attorney in Fact
☐ Trustee	☐ Trustee
Guardian or Conservator	☐ Guardian or Conservator
□ Other:	Other:
Signer Is Representing:	Signer Is Representing:

IN TESTIMONY WHEREOF, Assign of MARCH, 2014.  (L.S.)	nor has hereunto set his hand and seal this 25 <sup>th</sup> day  Randy J. Kesten
STATE OF )	·
COUNTY OF ) ss.	
who executed the foregoing instrument in my	·
see attached	Notary Public

ACC5063USCIP3 Page 4 of 5

State of California  County of San Maken	}
obuilty of	
On 325 14 before me, S	Hame S. Here Insert Name and Title of the Officer
personally appeared Randy J.	Kesten
personally appeared	Name(s) of Signer(s)
STACY LYNN HAMES  Commission # 1949486  Notary Public - California	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
Santa Clara County My Comm. Expires Aug 25, 2015	I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
Place Notary Seal Above	WITNESS bay hand and official seal.  Signature.  Signature of Notary Public
Though the information below is not required by la and could prevent fraudulent removal a	aw, it may prove valuable to persons relying on the document and reattachment of this form to another document.
Description of Attached Document	
Title or Type of Document:	N. J. (D.
	Number of Pages:
Signer(s) Other Than Named Above:	
Capacity(ies) Claimed by Signer(s)	Circu avia Nama
Signer's Name:	Signer's Name:
☐ Corporate Officer — Title(s)	☐ Individual
☐ Partner — ☐ Limited ☐ General	☐ Partner ☐ Limited ☐ General
☐ Attorney in Fact	☐ Attorney in Fact
☐ Trustee	□ Trustee
☐ Guardian or Conservator	☐ Guardian or Conservator
□ Other:	☐ Other:
Signer Is Representing:	Signer Is Representing:

of_	IN TESTIMO March	NY WHEREOF, A , 20 <u>14</u> .	ssignor has he	reunto set his l	and and seal this	27 <sup>th</sup> day
(L.S.	)		R	adit Tantisira	2	
STA	TE OF	)				
COU	INTY OF	) ss. )			•	
who conte	ry Public, person executed the for ents thereof, he di	MBERED, That on ally appeared Radicegoing instrument in discount acknowledge that and purposes therein	t Tantisira, want my presence the signed, seal	ho I am satisfi , and I having	ed is the person in first made know	named in and on to him the
•		SCC 2	Hadre	Notary Publi	c	

3234959

ACC5063USCIP3 Page 5 of 5

State of California	l
County of San Matco	ſ
2107/11	Inua Hannes
On Date before me,	Hame S Here Insert Name and Title of the Officer  Tantis IV 2
personally appeared Radit 7	Tantisika
percentally appeared	Name(s) of Signer(s)
STACY LYNN HAMES Commission # 1949486 Notary Public - California Santa Clara County My Comm. Expires Aug 25, 2015	who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
Place Notary Seal Above OPT	WITNESS my hand and official seal.  Signature.  Signature of Notary Jublic
	law, it may prove valuable to persons relying on the document and reattachment of this form to another document.
Description of Attached Document	
Title or Type of Document:	
Document Date:	Number of Pages:
Signer(s) Other Than Named Above:	
Capacity(ies) Claimed by Signer(s)	
Signer's Name:	Signer's Name:
☐ Corporate Officer — Title(s):	Corporate Officer — Title(s):
<ul><li>□ Corporate Officer — Title(s):</li><li>□ Individual</li></ul>	
□ Individual	□ Individual
<ul><li>☐ Individual</li><li>☐ Partner — ☐ Limited ☐ General</li></ul>	<ul><li>☐ Individual</li><li>☐ Partner — ☐ Limited ☐ General</li></ul>
<ul><li>☐ Individual</li><li>☐ Partner — ☐ Limited ☐ General</li><li>☐ Attorney in Fact</li></ul>	<ul><li>☐ Individual</li><li>☐ Partner — ☐ Limited ☐ General</li><li>☐ Attorney in Fact</li></ul>
<ul> <li>Individual</li> <li>Partner — □ Limited □ General</li> <li>Attorney in Fact</li> <li>Trustee</li> </ul>	<ul> <li>☐ Individual</li> <li>☐ Partner — ☐ Limited ☐ General</li> <li>☐ Attorney in Fact</li> <li>☐ Trustee</li> <li>☐ Guardian or Conservator</li> </ul>
<ul> <li>Individual</li> <li>Partner — □ Limited □ General</li> <li>Attorney in Fact</li> <li>Trustee</li> <li>Guardian or Conservator</li> </ul>	□ Individual   □ Partner — □ Limited □ General   □ Attorney in Fact   □ Trustee   □ Guardian or Conservator   □ Other:   Signer Is Representing:

**RECORDED: 04/24/2014**