

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT2830720

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
RICHARD DUCHARME	11/05/2013
MARK LEVATICH	11/05/2013
CHRISTOPHE RAMSTEIN	11/12/2013
RECEIVING PARTY DATA	
Name:	STRATEGIC POLYMER SCIENCES, INC.
Street Address:	200 INNOVATION BLVD., SUITE 237
City:	STATE COLLEGE
State/Country:	PENNSYLVANIA
Postal Code:	16803
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	14015841
CORRESPONDENCE DATA	
Fax Number:	(719)448-5922
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	719-448-5900
Email:	patentcoloradosprings@hoganlovells.com
Correspondent Name:	HOGAN LOVELLS US LLP
Address Line 1:	SUITE 1300
Address Line 2:	TWO NORTH CASCADE AVENUE
Address Line 4:	COLORADO SPRINGS, COLORADO 80903
ATTORNEY DOCKET NUMBER:	SPO-002
NAME OF SUBMITTER:	EDWARD C. KWOK
SIGNATURE:	/edward c. kwok/
DATE SIGNED:	04/25/2014
Total Attachments: 8	
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PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

<p>1. Name of conveying party(ies)/Execution Date(s):</p> <p>Richard Ducharme - Execution Date: 11/5/2013 Mark Levatich - Execution Date: 11/5/2013 Christophe Ramstein - Execution Date: 11/12/2013</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies)</p> <p>Strategic Polymer Sciences, Inc. 200 Innovation Blvd., Suite 237 State College, PA 16803</p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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3. Nature of conveyance

Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

<p>4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application.</p> <p>A. Patent Application No.(s) 14/015,841 Attorney Docket No.: SPO-002</p>	<p>B. Patent No.(s)</p>
<p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	

<p>5. Name and Address to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Edward C. Kwok</u> Internal Address: <u>Hogan Lovells US LLP</u> <u>Two North Cascade Avenue, Suite 1300</u> Street Address: <u>same as above</u> City: <u>Colorado Springs</u> State: <u>CO</u> Zip: <u>80903</u> Phone Number: <u>719-448-5900</u> Fax Number: <u>719-448-5922</u> Email Address: <u>patentcoloradosprings@hoganlovells.com</u></p>	<p>6. Total number of applications and patents involved: 1</p>
	<p>7. Total fee (37 CFR 1.21(h) & 3.41)\$ <u>0.00</u></p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)</p>
<p>8. Payment Information</p> <p>Deposit account number <u>50-1123</u> Authorized User Name _____</p>	

9. Signature : /edward c. kwok/ 18 April 2014
Signature Date

Edward C. Kwok
Name of Person signing

Total number of pages including cover sheet, attachments and document: 8

ASSIGNMENT

WHEREAS, we, Richard Ducharme, having a residence address of 4185 Shaffersville Rd, Alexandria, PA 16611, Mark Levatich having a residence address of 708 W Beaver Ave Apt. D State College, PA 16801 and Christophe Ramstein having a residence address of 818 Union St., San Francisco, CA 94133, have made a certain new and useful invention relating to a CATHETER HAVING A STEERABLE TIP, for which we are making or we have made application for Letters Patent of the United States, said application being identified by Docket No. SPO-002 and Client/Matter No. 40398.0014, in the law firm of HOGAN LOVELLS US LLP, 2 North Cascade Avenue, Suite 1300, Colorado Springs, Colorado 80903, and which was filed on August 30, 2013, assigned serial no. 14/015,841 and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, Strategic Polymer Sciences, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose mailing address is 200 Innovation Blvd., Suite 237, State College, PA 16803, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE, and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

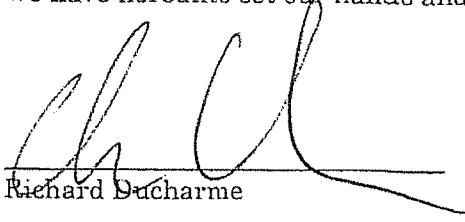
UPON SAID CONSIDERATION, we do hereby covenant and agree with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or

desirable to perfect the ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

The undersigned inventors hereby grant the patent practitioners associated with Customer No. 114560 the power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

Date: 11/5/13

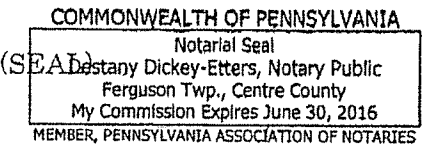

Richard Ducharme

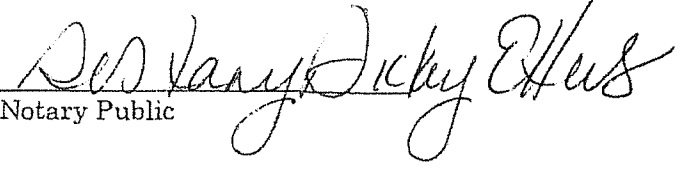
STATE OF PENNSYLVANIA)
) ss.
COUNTY OF Centre)
)
)
)

On this 5th day of November, 2013 before me, a notary public in and for said county, appeared Richard Ducharme, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed, and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

My commission expires June 30, 2016

Witness my hand and official seal.




Notary Public

Date: 11/5/2013

[Signature]
Mark Levatich

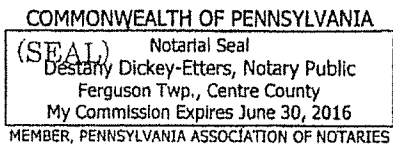
STATE OF PA)
)
COUNTY OF Centre)

ss.

On this 5th day of November, 2013 before me, a notary public in and for said county, appeared Mark Levatich, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed, and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

My commission expires June 30, 2016

Witness my hand and official seal.



[Signature]
Notary Public

Date: _____

Christophe Ramstein

STATE OF CALIFORNIA)
)
COUNTY OF San Francisco)

ss.

On this ____ day of _____, 20__, before me, a notary public in and for said county, appeared Christophe Ramstein, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed, and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

My commission expires _____.

Witness my hand and official seal.

(SEAL)

Notary Public

ASSIGNMENT

WHEREAS, we, Richard Ducharme, having a residence address of 4185 Shaffersville Rd, Alexandria, PA 16611, Mark Levatich having a residence address of _____, and Christophe Ramstein having a residence address of 818 Union St., San Francisco, CA 94133, have made a certain new and useful invention relating to a CATHETER HAVING A STEERABLE TIP, for which we are making or we have made application for Letters Patent of the United States, said application being identified by Docket No. SPO-002 and Client/Matter No. 40398.0014, in the law firm of HOGAN LOVELLS US LLP, 2 North Cascade Avenue, Suite 1300, Colorado Springs, Colorado 80903, and which was filed on August 30, 2013, assigned serial no. 14/015,841 and

WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, Strategic Polymer Sciences, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose mailing address is 200 Innovation Blvd., Suite 237, State College, PA 16803, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE, and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant and agree with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or

desirable to perfect the ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal, reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

The undersigned inventors hereby grant the patent practitioners associated with Customer No. 114560 the power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

Date: _____
Richard Ducharme

STATE OF PENNSYLVANIA)
) ss.
COUNTY OF Huntingdon)

On this ____ day of _____, 20__, before me, a notary public in and for said county, appeared Richard Ducharme, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed, and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

My commission expires _____.

Witness my hand and official seal.

(SEAL) _____
Notary Public

Date: _____

Mark Levatich

STATE OF _____)

COUNTY OF _____)

ss.

On this ____ day of _____, 20__, before me, a notary public in and for said county, appeared Mark Levatich, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed, and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

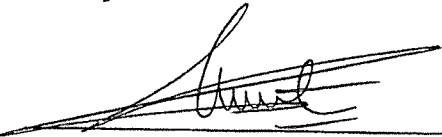
My commission expires _____.

Witness my hand and official seal.

(SEAL)

Notary Public

Date: Nov 12, 2013



Christophe Ramstein

STATE OF CALIFORNIA)

COUNTY OF San Francisco)

ss.

On this ____ day of _____, 20__, before me, a notary public in and for said county, appeared Christophe Ramstein, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he signed, sealed, and delivered the same instrument as a free and voluntary act for the uses and purposes therein set forth.

My commission expires _____.

Witness my hand and official seal.

SEE ATTACHED FOR NOTARIZATION

(SEAL)

Notary Public

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

State of California

County of SAN MATEO

On Nov 12, 2013 before me, D. DeMott, Notary Public,
(Here insert name and title of the officer)

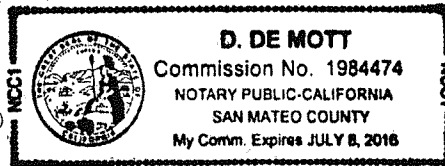
personally appeared CHRISTOPHE RAMSTEIN,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to
the within instrument and acknowledgment to me that he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph
is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)



ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

NEW PATENT ASSIGNMENT

(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages _____ Document Date _____

CAPACITY CLAIMED BY THE SIGNER

- Individual(s)
 Corporate Officer

(Title)

- Partner(s)
 Attorney-in-Fact
 Trustee(s)
 Other _____

Joe P. Perez & Associates • 650-787-9622