

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SACHIO SUZUKI	04/22/2014
JUN UMETSU	04/22/2014
TAKANORI KOMURO	04/22/2014
SHINYA HAYASHI	04/22/2014
TAKAHIRO FUTATSUMORI	04/22/2014
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	HITACHI METALS, LTD.
<b>Street Address:</b>	2-1, SHIBAURA 1-CHOME MINATO-KU
<b>City:</b>	TOKYO
<b>State/Country:</b>	JAPAN
<b>Postal Code:</b>	105-8614
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14260127
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(703)761-2375
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	703 761 4100
<b>Email:</b>	admin@mcginniplaw.com
<b>Correspondent Name:</b>	MCGINN I.P. LAW GROUP, PLLC
<b>Address Line 1:</b>	8321 OLD COURTHOUSE ROAD, SUITE 200
<b>Address Line 4:</b>	VIENNA, VIRGINIA 22182-3818
<b>ATTORNEY DOCKET NUMBER:</b>	HIR.662
<b>NAME OF SUBMITTER:</b>	SEAN M.MCGINN
<b>SIGNATURE:</b>	/Sean M.McGinn/
<b>DATE SIGNED:</b>	04/30/2014
<b>Total Attachments: 5</b>	
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## Combined Declaration of Inventorship and Assignment of Application for Patent

As a below-named inventor, I hereby declare that:

I believe that I am the original inventor or an original joint inventor, of the claimed invention in the Application entitled:

CONNECTOR AND WIRE HARNESS

the specification of which (check one):

☒ is attached hereto

☐ was filed as U. S. Application No. or PCT International Application No. \_\_\_\_\_ on \_\_\_\_\_.

The Application was made or was authorized to be made by me. I hereby acknowledge that any willful false statement made in this Declaration is punishable under 18 U.S.C. §1001 by fine or imprisonment of not more than five (5) years, or both. I have reviewed and understand the contents of the Application, including the claims, and I am aware of the duty to disclose to the U. S. P. T. O. all information known to be material to patentability as defined in 37 CFR §1.56.

The below-named inventor (hereinafter referred to as ASSIGNOR) having invented and owning a certain and new and useful invention which is the subject of the Application, and

Hitachi Metals, Ltd. (Full Name of Assignee)

2-1, Shibaura 1-chome Minato-ku, Tokyo, 105-8614, Japan (Address of Assignee)

(hereinafter referred to as ASSIGNEE), being desirous of acquiring the entire right, title, and interest in, to and under the invention which is the subject of the Application and the United States Letters Patent to be obtained therefor.

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment of ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR, by these presents, hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said Application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests Sean M. McGinn of McGinn Intellectual Property Law Group, PLLC, 8321 Old Courthouse Road, Suite 200, Vienna, Virginia, U.S.A. 22182-3817 to insert here in parentheses (Application No. 14/260,127 filed April 23, 2014 ) the filing date and Application number of said Application when/if known.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States Patent obtained therefor on said Application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said Application or any continuation, division, renewal, substitute or reissue thereof may be granted, as full and entirely as the same would have been held by ASSIGNOR had this Assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

Legal Name of Inventor Tomoya KUJI

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Legal Name of Additional Inventor, If Any Sachio SUZUKI

Inventor's Signature Sachio Suzuki Date 22 APR 2014

Legal Name of Additional Inventor, If Any Jun UMETSU

Inventor's Signature Jun Umetsu Date 22 APR 2014

Legal Name of Additional Inventor, If Any Takanori KOMURO

Inventor's Signature Takanori Komuro Date 22 APR 2014

Legal Name of Additional Inventor, If Any Shinya HAYASHI

Inventor's Signature Shinya Hayashi Date 22 APR 2014

Legal Name of Additional Inventor, If Any Takahiro FUTATSUMORI

Inventor's Signature Takahiro Futatsumori Date 22 APR 2014

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY  
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

<b>Title of Invention</b>	CONNECTOR AND WIRE HARNESS		
This statement is directed to: <input checked="" type="checkbox"/> The attached application, OR <input type="checkbox"/> United States application or PCT international application number _____ filed on _____.			
<b>LEGAL NAME of inventor to whom this substitute statement applies:</b> (E.g., Given Name (first and middle (if any)) and Family Name or Surname) <b>Tomoya KUJI</b>			
Residence (except for a deceased or legally incapacitated inventor):			
City	Yokohama	State	Country JAPAN
Mailing Address (except for a deceased or legally incapacitated inventor): c/o Hitachi Metals, Ltd., 2-1, Shibaura 1-chome Minato-ku			
City	Tokyo	State	Zip 105-8614 Country JAPAN
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.  The above-identified application was made or authorized to be made by me.  I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:  <input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only), <input type="checkbox"/> Assignee, <input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign, <input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or <input type="checkbox"/> Joint Inventor.			

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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT**

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased,  
☐ Inventor is under legal incapacity,  
☐ Inventor cannot be found or reached after diligent effort, or  
☒ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.  
OR  
☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

**PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**Name: **Yuji OHMAGARI**Date (Optional): *March 17, 2014*

Signature:

*Yuji Ohmagari***APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

If the applicant is a juristic entity, list the applicant name and the title of the signer:

**Hitachi Metals, Ltd.**

Applicant Name:

Title of Person Executing This Substitute Statement: **Officer, General Manager of I.P. Department**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

**Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):**

City **Tokyo** State Country **JAPAN**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)  
 c/o Hitachi Metals, Ltd., 2-1, Shibaura 1-chome Minato-ku

City **Tokyo** State Zip **105-8614** Country **JAPAN**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.