

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JOSEPH AFERZON	10/20/2010
<b>RECEIVING PARTY DATA</b>	
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<b>Postal Code:</b>	06107
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	14265785
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<b>ATTORNEY DOCKET NUMBER:</b>	1933-10 DIV
<b>NAME OF SUBMITTER:</b>	ALEXANDER G. VODOVOZOV
<b>SIGNATURE:</b>	/alexander g. vodovozov/
<b>DATE SIGNED:</b>	04/30/2014
<b>Total Attachments: 5</b>	
source=Assignment_1933-10DIV#page1.tif	
source=Assignment_1933-10DIV#page2.tif	
source=Assignment_1933-10DIV#page3.tif	
source=Assignment_1933-10DIV#page4.tif	
source=Assignment_1933-10DIV#page5.tif	

For:  U.S. and/or  Foreign Rights  
 For:  U.S. Application;  
 U.S. Provisional Application;  
 U.S. Patent; or  
 PCT Application  
 By:  Inventors or  Present Owners

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Joseph Aferzon  
Name

11 Wingate Drive  
Address

Avon, Connecticut 06001

USA  
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_ Reel \_\_\_\_\_  
Frame \_\_\_\_\_

hereby sells, assigns and transfers to

ASSIGNEE:

International Spinal Innovations, LLC  
(Type or print name of ASSIGNEE)

97 Balfour Drive  
Address

West Hartford, Connecticut 06107

USA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- the entire right, title and interest  
 an undivided \_\_\_\_\_ percent (\_\_\_%) interest

for the United States and its territorial possessions

- and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

**SPINAL PLATE WITH COMPRESSION LOCKING**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a)  U.S. patent application executed on even date herewith  
(b)  U.S. patent application executed on **October 20, 2010** as **Serial No. 12/908,814**.  
(c)  U.S. provisional application naming the above inventor(s) for the above-identified invention.  
 Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
 To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d)  U.S. Provisional Application Serial No. **61/279,351** filed on **October 21, 2009** and Serial No. **61/280,950** filed on **November 12, 2009**.  
(e)  International application No. \_\_\_\_\_.  
(f)  U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_  
 A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g)  and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the

continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

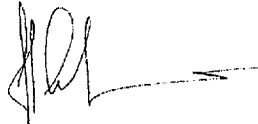
IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date X *October 20, 2010*

Date of signing

X



Joseph Aferzon

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

Notarization or Legalization Page Added.

Assignment of Invention for 1933-10 (3) wps

Assignment of Invention - Page 3 of 3



OCT 22 2010

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



\*501328189A\*

OCTOBER 22, 2010

PTAS

HOFFMANN & BARON, LLP  
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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 10/22/2010

REEL/FRAME: 025181/0248  
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 1933-10

ASSIGNOR:

AFERZON, JOSEPH

DOC DATE: 10/20/2010

ASSIGNEE:

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97 BALFOUR DRIVE  
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SERIAL NUMBER: 12908814

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: SPINAL PLATE WITH COMPRESSION LOCKING

SERIAL NUMBER: 61279351

FILING DATE: 10/21/2009

PATENT NUMBER:

ISSUE DATE:

TITLE: CERVICAL PLATE COVER LOCK

USPTO

10/22/2010 4:20:57 PM PAGE 3/005 Fax Server

J:HOFFMANN & BARON, LLP COMPANY:6900 JERICO TURNPIKE

025181/0248 PAGE 2

SERIAL NUMBER: 61280950

FILING DATE: 11/12/2009

PATENT NUMBER:

ISSUE DATE:

TITLE: SPINAL PLATE WITH COMPRESSION LOCK

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