# 502790709 05/01/2014

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2837305

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
SCOTT D. ALLEN	03/28/2013
GABRIEL JOB	03/15/2013
JAY J. FARMER	03/18/2013

### **RECEIVING PARTY DATA**

Name:	NOVOMER, INC.
Street Address:	950 DANBY ROAD
Internal Address:	SUITE 198
City:	ITHACA
State/Country:	NEW YORK
Postal Code:	14850

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14355334

# **CORRESPONDENCE DATA**

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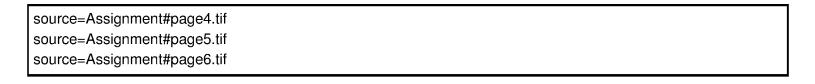
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ATTORNEY DOCKET NUMBER:	2008376-0348
NAME OF SUBMITTER:	CHARLES E. LYON, DPHIL, JD
SIGNATURE:	/Charles E. Lyon/
DATE SIGNED:	05/01/2014

**Total Attachments: 6** 

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PATENT 502790709 REEL: 032796 FRAME: 0202



PATENT REEL: 032796 FRAME: 0203

Attorney Docket No: 2008376-0215

# **COMBINED JOINT ASSIGNMENT & DECLARATION**

### A. ASSIGNMENT

WHEREAS, each of the below-named inventors whose name and residence is set forth in the following Table 1:

Inventor Name	City, State
1. Scott D. Allen	Ithaca, NY
2. Gabriel Job	Ithaca, NY
3. Jay J. Farmer	Ithaca, NY

### Table 1

hereby declares and agrees, on behalf of himself/herself and all of his/her successors and assigns that each is aware of the patent application(s) entitled:

# CATALYSTS AND METHODS FOR POLYMER SYNTHESIS; and prepared for filing in the United States Patent and Trademark Office; or identified by United States Application Serial No. Serial No. filed in the United States Patent and Trademark Office on Filing Date; and identified by International Patent Application No. PCT/US12/63462 filed on November 3, 2012; and is also aware of the following priority applications: Serial No.: 61/555924.; Filed: November 4, 2011

WHEREAS Novomer, Inc. (hereinafter "ASSIGNEE"), having a usual place of business at 950 Danby Road, Suite 198, Ithaca, New York 14850, desires to acquire or confirm an interest therein;

NOW, THEREFORE, to all whom it may concern be it known that, in consideration of agreements previously and duly entered into between the parties, and/or for other good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned, and transferred and/or do hereby sell, assign, and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, my entire right, title, and interest in and throughout the United States of America, its territories and all foreign countries, in and to any and all inventions described in the patent application, and/or any priority applications noted above; and hereby confirm that my sale, assignment and transfer is and was effective at least as of the filing date of the patent application and/or priority application. My sale, assignment and transfer applies to the above-referenced patent application, and to any application that is based in whole or in part on the patent application, including to divisional, continuing, substitute, renewal, reissue,

Page 1 of 6

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2008376-0215

reexamination and other applications, for example that claim priority to the patent application. Also, this sale, assignment and transfer pertains to any and all other rights arising under or pursuant to any and all international agreements, treaties, or laws relating to the protection of industrial property, including all rights of priority under the International Convention for the Protection of Industrial Property, and in and to any such patent(s) as may issue thereon including any and all original and reissued patents which have been or shall be issued in the United States and foreign countries; said inventions, applications, and patent(s) to be held and enjoyed by ASSIGNEE for its own use and for its successors, assigns and legal representatives, to the full end of the term for which said patent(s) may be granted as fully and entirely as the same would have been held by me had this sale, assignment and transfer not been made;

AND, I hereby acknowledge that this Assignment, being of my entire right, title, and interest in and to the inventions, carries with it the right in ASSIGNEE, by attorneys and agents of ASSIGNEE selection, to apply for and receive any and all patent(s) for said inventions in its own name;

AND, I hereby further agree for myself and my executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the patent(s) to ASSIGNEE, its successors, assignees, and legal representatives, but at its expense and charges, including the execution of application for patents in foreign countries, the execution of substitution, reissue, divisional or continuation applications, and the giving of testimony, preliminary statements, or other statements in any interference or other proceeding in which the inventions or any applications or patents directed to the inventions may be involved by communicating to the ASSIGNEE all facts I know relating to the inventions and their history, and generally by doing everything possible which ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for the inventions and for vesting title in the inventions and all applications for patent and all patents on the inventions in ASSIGNEE;

- AND, I further hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number(s) and/or filing date(s) into this assignment document, if appropriate;
- AND, I hereby appoint ASSIGNEE as my common agent for purposes of prosecuting international patent applications and any national patent applications for which such common agency is recognized;
- AND, I hereby request the Director of Patents and Trademarks of the United States to issue any and all patent(s) as shall be granted upon said application or applications based thereon to ASSIGNEE, its successors, assigns, and legal representatives;
- AND, I covenant with said ASSIGNEE that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by me, and that full right to convey the same as herein expressed is possessed by me.

Page 2 of 6

## B. DECLARATION (37 CFR 1.63)

As a below named inventor, I hereby declare that:

This declaration is directed to the patent application entitled:

# CATALYSTS AND METHODS FOR POLYMER SYNTHESIS; and

Office	; or	attached hereto or prepared for filing in the United States Patent and Trademark
States	Datent a	identified by United States Application Serial No. Serial No. filed in the United nd Trademark Office on Filing Date; or
Noven	⊠ nber 3,	identified by International Patent Application No. PCT/US12/63462 filed on 2012.
	The ab	ove-identified application was made or authorized to be made by me.
invent		eve that I am the original inventor or an original joint inventor of a claimed the application.
	I here	by acknowledge that any willful false statement made in this declaration is

I have reviewed and understand the contents of the application, including the claims and am aware of the duty to disclose to the United States Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR 1.56.

punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or

Page 3 of 6

2008376-0215

both.

SIGNED this 28th day of March, 2013.
Name: Scott D. Allen
STATE OF New York COUNTY OF Tomprins ss.
Before me this 28 day of MovCh, 2013, personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally appeared Scott D. Allen, and proved to me through satisfactory evidence of identity which was personally ap
KYMBERLEE A ZIMMER Notary Public - State of New York NO. 01216216069 Qualified in Tioga County My Commission Expires OH OS 2014

SIGNED this, 2013.
Name: Gabriel Job
STATE OF New York
COUNTY OF Tompkins
Before me this 5 day of March, 2013, personally appeared Gabriel Job, and proved to me through satisfactory evidence of identity which was be the person whose name is signed on the preceding or attached document, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.
Name: Kumberlee A. Zinner  My Commission Expires: 04 05 2014
KYMBERLEE A ZIMMER Notary Public - State of New York
NO. 01Zi6216089  Qualified in Tioga County  My Commission Expires

SIGNED this 18th day of Morch, 2013.
Lann
Name: Jay J. Farmer
STATE OF New York COUNTY OF Tompkins ss.
Before me this 18th day of 10000, 2013, personally appeared Jay J. Farmer, and proved to me through satisfactory evidence of identity which was 100000 be the person whose name is signed on the preceding or attached document, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.
NoTARY PUBLIC Q. Jumme Name: Kumberlee A. Zimmer My Commission Expires: 04/05/2014
KYMBERLEE A ZIMMER Notary Public - State of New York
NO. 012I6216089 Qualified in Tioga County My Commission Expires 아버스 2억년

Page 6 of 6

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**RECORDED: 05/01/2014** 

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