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Form PTO-1595 (Rev. 07/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET CAR S-119,737 PATENTS ONLY To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. Name of conveying party(ies) 2. Name and address of receiving party(ies) Name: United States Department of Energy Northwestern University Internal Address: Additional name(s) of conveying party(ies) attached? Yes 🗸 No 3. Nature of conveyance/Execution Date(s): Street Address: 1000 Independence Ave., S.W. Execution Date(s) April 26, 2005 Assignment Merger City: Washington Security Agreement Change of Name State: D.C. \_ Government Interest Assignment Country: USA Zip: 20585 ✓ Executive Order 9424, Confirmatory License Other Additional name(s) & address(es) attached? Yes No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) Serial No. 11/005,552 Additional numbers attached? Yes ✓ No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: 1 Name: Michael J. Dobbs 7. Total fee (37 CFR 1.21(h) & 3.41) \$\_\_\_\_\_ Internal Address: U. S. Dept. of Energy, IPLD Authorized to be charged by credit card Authorized to be charged to deposit account **Enclosed** Street Address: 9800 S. Cass Ave ✓ None required (government interest not affecting title) 8. Payment Information City: <u>Araonne</u> a. Credit Card Last 4 Numbers \_\_\_\_\_ State: IL\_\_\_ \_\_\_\_ Zip:60439 Expiration Date \_\_\_\_\_ Phone Number: 630-252-2164 b. Deposit Account Number \_\_\_\_\_ Fax Number: 630-252-2779 Authorized User Name Email Address: Confirmatory.License@ch.doe.gov 9. Signature: Signature Total number of pages including cover John D. Cravero #71.245 sheet, attachments, and documents: Name of Person Signing

> Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

> > **PATENT**

REEL: 032797 FRAME: 0921

NU 23125

## **CONFIRMATORY LICENSE**

(Nonprofit Organizations or Small Business Firms)

Title

Self-Assembling Peptide Amphiphiles And Related Methods For Growth Factor

Delivery

Inventor(s)

Samuel I Stupp, Jack Donners, Shawn Anthony, Heather Behanna, Gabriel Silva

Serial No

11/005,552

Filing Date: 12-06-2004

Patent No.

Issuance Date:

Contractor

Northwestern University

Contract No.

DE-FG02-00ER45810

DOE Case No. :

Patent Rights Clause No.:

DEAR 952.227-11

Foreign Applications filed in or intended to be filed at Contractor's expense in (Countries):

The Contractor, having the right to retain title to Subject Inventions as a result of Public Law 96-517, has reported the above-identified invention as a Subject Invention to DOE with its election to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up license to practice or to have practiced for or on behalf of the United States, required by the Public Law and the above-identified contract, in this Subject Invention, patent application and any resulting patent, as well as any continuation, divisional, reissue, supplemental or continuation-in-part thereof, throughout the world and of all other rights acquired by the Government by the above-identified patent rights clause, a copy of which is attached hereto and incorporated by reference herein. The undersigned certifies the attached copy to be a true copy of said clause. It is understood and agreed that this license does not preclude the Government from asserting rights under the provisions of said contract or of any other agreement between the Government and the Contractor, or from asserting any other rights of the Government with respect to the above-identified Subject Invention.

The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

(SEAL)

Signed this 26 day of April 20 0

Northwestern University

By: Indrani Mukhayi

Indrani Mukharji, PhD, Executive Director, Technology Transfer Program

PATENT REEL: 032797 FRAME: 0922

RECORDED: 04/24/2014