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**S-135,806**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

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GENERAL ELECTRIC GLOBAL RESEARCH  
ONE RESEARCH CIRCLE  
NISKAYUNA, NY 12309

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 12/11/2013

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
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☒ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: United States Department of Energy

Internal Address: \_\_\_\_\_

Street Address: 1000 Independence Ave., S.W.

City: Washington

State: D.C.

Country: USA Zip: 20585

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)

12/908,954

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: James B. Potts

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**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_**

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☒ None required (government interest not affecting title)

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**9. Signature:**

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James B. Potts #58.316

Name of Person Signing

5/5/14  
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Total number of pages including cover sheet, attachments, and documents:

**2**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**

**CONFIRMATORY LICENSE****Title of Invention:** LIGHTING SYSTEM WITH HEAT DISTRIBUTION FACE PLATE**Inventor(s):** Mehmet Arik, Stanton Weaver, Glenn Kuenzler, Charles Wolfe, Ri Li, Thomas Stecher**Patent Application Serial No:** 12/908,954**Filing Date** 21OCT2010**Patent Number:** 8,529,097**Issue Date:** 10SEP2013**Name of Contractor** (i.e. Contractor, Subcontractor, Grant, or Cooperative Agreement, as applicable):  
General Electric Global Reserach**DOE Contract No.** (i.e. Contract, Subcontract, Grant, or Cooperative Agreement, as applicable):  
DE-FC26-08NT01579**DOE Case No.:** None Yet**Contractor Docket No.:** 248343-1

The Contractor has reported the above-identified invention as a Subject Invention to DOE and has elected to retain title thereto.

Accordingly, this document is confirmatory of the nonexclusive, nontransferable, irrevocable, paid-up, worldwide license in this subject invention, patent application and any resulting patent, including any continuation, divisional, reissue, or supplemental application thereof, to practice or to have practiced for or on behalf of the United States throughout the world; and of all other rights acquired by the Government by the patent rights clause in the above-identified contract.

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The Government is hereby granted an irrevocable power to inspect and make copies of the above-identified patent application.

Signed this 11<sup>th</sup> day of December, 2013

General Electric Global Research

Contractor Name (Typed)

  
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