502805151 05/12/2014

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2851746

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
GARY ERZBERGER	01/24/2014
MICHAEL P. CORCORAN	01/24/2014
JUAN F. GRANADA	01/24/2014
DAN WALLACE	01/24/2014
MATTEO MONTORFANO	01/27/2014
ALAIDE CHIEFFO	01/27/2014
THIERRY THAURE	01/30/2014

RECEIVING PARTY DATA

Name:	CEPHEA VALVE TECHNOLOGIES, INC.	
Street Address:	3050 THREE SPRINGS COURT	
City:	SAN JOSE	
State/Country:	CALIFORNIA	
Postal Code:	95140	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14170388

CORRESPONDENCE DATA

Fax Number: (650)212-7562

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via

US Mail.

Phone: 650.212.1700

Email: info@shayglenn.com
Correspondent Name: THOMAS M. ZLOGAR
Address Line 1: SHAY GLENN LLP

Address Line 2: 2755 CAMPUS DRIVE, SUITE 210 **Address Line 4:** SAN MATEO, CALIFORNIA 94403

ATTORNEY DOCKET NUMBER:	12792-700.200
NAME OF SUBMITTER:	THOMAS M. ZLOGAR, REG. 55,760
SIGNATURE:	/Thomas M. Zlogar/
DATE SIGNED:	05/12/2014

502805151 PATENT REEL: 032871 FRAME: 0985

Total Attachments: 8 source=12792-700-200-Assignment#page1.tif source=12792-700-200-Assignment#page2.tif source=12792-700-200-Assignment#page3.tif source=12792-700-200-Assignment#page4.tif source=12792-700-200-Assignment#page5.tif source=12792-700-200-Assignment#page6.tif source=12792-700-200-Assignment#page7.tif source=12792-700-200-Assignment#page8.tif

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ATTORNEY DOCKET NO. 12792-700.200

U.S. DEPARTMENT OF COMMERCE

RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

PATENTS ONLY TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF. Name of conveying party(ies): 2. Name and address of receiving party(ies): CEPHEA VALVE TECHNOLOGIES, INC. (1) Gary ERZBERGER (01/24/2014)Michael P. CORCORAN (01/24/2014)(2)3050 Three Springs Court (01/24/2014) Juan F. GRANADA San Jose, CA 95140 Dan WALLACE (01/24/2014)(4) (5) Matteo MONTORFANO (01/27/2014)(6) Alaide CHIEFFO (01/27/2014)(7) Thierry THAURE (01/30/2014)Additional name(s) of conveying party(ies) attached? Yes No Name and address of receiving party(ies): 3. Nature of Conveyance: Name: Assignment Merger Street Address: Security Agreement Change of Name City: State: Zip: Other Country: Additional name(s) & address(es) attached? Yes Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: Patent No.(s) Patent Application No.(s) - 14/170,388 Title: SYSTEM AND METHOD FOR CARDIAC VALVE REPAIR AND REPLACEMENT \boxtimes Additional numbers attached? Yes No 6. Total number of applications and patents involved:_ 5. Name and address of party to whom correspondence concerning document should be mailed: Thomas M. Zlogar **Shay Glenn LLP** 2755 Campus Drive, Suite 210 San Mateo, CA 94403 7. Total fee (37 CFR 3.41): -0-DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 05/12/2014 Thomas M. Zlogar, Reg. No. 55,760 Signature Date Name of Person Signing Total number of pages including cover sheet, attachments, and documents: 8

PATENT

REEL: 032871 FRAME: 0987

ASSIGNMENT OF PATENT APPLICATION

Docket Number: 12792-700.200

This Assignment of Patent Application is between: Gary ERZBERGER of Minneapolis, MN; Michael P. CORCORAN of Woodbury, MN; Juan F. GRANADA of Upper Saddle River, NJ; Dan WALLACE of Santa Cruz, CA; Matteo MONTORFANO of Milan, Italy; Alaide CHIEFFO of Milan, Italy; and Thierry THAURE of San Jose, CA (hereinafter referred to as "Inventor") and Cephea Valve Technologies, Inc., a corporation of the State of Delaware, having a place of business at 3050 Three Springs Court, San Jose, CA 95140 (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"SYSTEM AND METHOD FOR CARDIAC VALVE REPAIR AND REPLACEMENT"

for which the Inventors have executed an application for a United States Patent on or about the date of this Assignment.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
- 4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

Page 1 of 2

ASSIGNMENT OF PATENT APPLICATION

Date

Docket Number: 12792-700.200

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

1-24-14	Thur Englan
Date	Gary ERZBERGER
1-24-14	Michall Minas
Date	Michael P. CORCÓRAN
Date	Juan F. GRANADA
Date	Dan WALLACE
Date	Matteo MONTORFANO
Date	Alaide CHIEFFO

Thierry THAURE

Page 2 of 2

ASSIGNMENT OF PATENT APPLICATION

Docket Number: 12792-700.200

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date	Gary ERZBERGER
Date	Michael P. CORCORAN
1. <u>24. 2014</u> Date	Juan F. GRANADA
Date	Dan WALLACE
Date	Matteo MONTORFANO
Date	Alaide CHIEFFO
Date	Thierry THAURE

Page 2 of 2

ASSIGNMEN'	OF PA	TENT	APPL	ICA	TION
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Docket Number: 12792-700.200

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date	Gary ERZBERGER
	•
Date	Michael P. CORCORAN
Date	Juan F. GRANADA
1/24/14	87Wa
Date	Dan WALLACE
Date	Matteo MONTORFANO
Date	Alaide CHIEFFO
Date	Thierry THAURE

Docket Number: 12792-700.200

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date	Gary ERZBERGER
Date	Michael P. CORCORAN
Date	Juan F. GRANADA
Date	Dan WALLACE
77.01.2014 Date	Matteo MONTORFANO
Date	Alaide CHIEFFO
Date	Thierry THAURE

Page 2 of 2

ASSIGNMENT	OF	PATENT	APPL	JICA	COL
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Docket Number: 12792-700.200

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignce:

Date	Gary ERZBERGER
Date	Michael P. CORCORAN
DdtC	Juan F. GRANADA
031c	Dan WALLACE
Date	Mattee MONTORFANO
77,01,2014	Alaide CHIEFFO
Dele	Thierry THAURE

Page 2 of 2

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PATENT 4 REEL: 032871 FRAME: 0993

ASSIGNMENT OF PATENT APPLICATION	Docket Number: 12792-700.200
IN WITNESS WHEREOF, said Inventor has e	xecuted and delivered this instrument to said Assignee
Date	Gary ERZBERGER
Date	Michael P. CORCORAN
Date	Juan F. GRANADA
Date	Dan WALLACE
Date	Matteo MONTORFANO
Date / 30 /K/	Alaide OHIED O

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