502807203 05/13/2014

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT2853797

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
GANPING JU	11/20/2013
CHUBING PENG	11/14/2013
XIAOBIN ZHU	11/21/2013
YINGGUO PENG	11/21/2013
YUKIKO KUBOTA	11/20/2013
TIMOTHY J. KLEMMER	11/21/2013
JAN-ULRICH THIELE	11/20/2013
MICHAEL A. SEIGLER	11/26/2013
WERNER SCHOLZ	11/15/2013
KIM Y. LEE	11/21/2013
DAVID S. KUO	12/20/2013
KOICHI WAGO	11/21/2013

#### **RECEIVING PARTY DATA**

Name:	Seagate Technology LLC	
Street Address:	10200 S. De Anza Blvd	
City:	Cupertino	
State/Country:	CALIFORNIA	
Postal Code:	95014	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	14055773

#### **CORRESPONDENCE DATA**

**Fax Number:** (408)971-1817

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via

US Mail.

**Phone:** 650-878-6200

**Email:** docketing@tzpatents.com

Correspondent Name: TABARROK & ZAHRT (SEAGATE-10/11)

Address Line 1: 60 SOUTH MARKET STREET

Address Line 2: SUITE 1250

Address Line 4: SAN JOSE, CALIFORNIA 95113 PATENT

- 502807203 REEL: 032881 FRAME: 0973

ATTORNEY DOCKET NUMBER:	10-18074-00-US
NAME OF SUBMITTER:	HOLLY IRAHETA
SIGNATURE:	/Holly Iraheta/
DATE SIGNED:	05/13/2014
	This document serves as an Oath/Declaration (37 CFR 1.63).

## **Total Attachments: 13**

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PATENT REEL: 032881 FRAME: 0974

### **Corrective Combined Assignment and Declaration**

Seagate Docket No.: STL 18074.00

WHEREAS, Ganping Ju of Pleasanton, CA, Chubing Peng of Eden Prairie, MN, Xiaobin Zhu of San Ramon, CA, Yingguo Peng of San Ramon, CA, Yukiko Kubota of Campbell, CA, Timothy J. Klemmer of Fremont, CA, Jan-Ulrich Thiele of Sunnyvale, CA, Michael A. Seigler of Eden Prairie, MN, Werner Scholz of Edina, MN, Kim Y. Lee of Fremont, CA, David S. Kuo of Palo Alto, CA, and Koichi Wago of Sunnyvale, CA are the inventor(s) of an invention entitled A METHOD FOR REGULATING PATTERNED PLASMONIC UNDERLAYER that is the subject matter of: (check all that apply)

$\boxtimes$	a provisional application for Letters Patent which is identifiable in the United States Patent an	d Trademark
	Office by Application No. <u>61/844,401</u> filed on <u>09-Jul-2013</u> ;	
$\boxtimes$	an application for Letters Patent which is identifiable in the United States Patent and Tradem	
	Application No. 14/055,773 filed on 16-Oct-2013 and/or executed on even date herewith: and	t
$\boxtimes$	an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty	which is
	identifiable in the United States Receiving Office by Application No.	_ filed on
	and/or executed on even date herewith:	

WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 10200 S. De Anza Blvd, Cupertino, CA 95014 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the aboveidentified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.

The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

Rev: 10/18/2012

Ganping Ju (Inventor) 1//2 6//3

State of California Namedos

n 11/20/3 before me,

(inself name and title of the officer)

personally appeared <u>Ganping Ju</u> (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

(Seal)

HOLLY NNEKA IRANETA COMM. #2042332 Notary Public - California & Sania Clara County My Corren. Expires Sep. 21, 2017

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Z	*	9%	
Chubing Pen	ig (Inventor)	1.14	2013
	Date		

State of	MN	)				
County of _	Hann	Din	)			
On <b>NO</b> V	14.	2013	_ before me,	MOISS	s Econtr	a, Notan
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personally appeared <u>Chubing Peng</u> (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Signature of Notary Public



Xiaobin Zhu (Inventor)

State of Call Joinia

County of <u>Alameda</u>

on Nov. 11, 2013

before me.

n Uhia Rocers, 1

personally appeared Xiaobin Zhu (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of \_\_\_\_\_\_\_ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature (Seai)

CYNTHIA ROCERS
Commission # 1909205
Notary Public - California
Ganta Cruz County
My Comm Expires Sep 24, 2014

(insert name and title of the officer)

personally appeared Yingguo Peng (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of paragraph is true and correct.

WITNESS my hand and official seal.

Signature Signature of Notary Public

CYNTHIA ROGERS Commission # 1905205 Notary Public - California Santa Cruz County My Comm. Expires Sep 24, 2016

Rev: 10/18/2012

**REEL: 032881 FRAME: 0979** 

Seagate Docket No. STL 18074.00

Julia Julia Julia Yukiko Kubota (Inventor)

State of (WXXXA)

County of MINIA

on 104911 20,203 be

oefore me, 🔏

insert name and title of the office

personally appeared Yukiko Kubota (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of  $\zeta$  paragraph is true and correct.

WITNESS my hand and official seal.

(Seal) Signature of Notary Public ASHA C. ZANRT

COMM. #2025495

Notary Public - Celifornia

Santa Clara County

Hy Comm. Expires May 20, 2017

Timothy J, Klemmer (Inventor)

State of Call Hornia

County of Manega

100.11,80.3 pefore me.

(insert name and title of the officer)

personally appeared <u>Timothy J. Klemmer</u> (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

(Seal)

CYNTHIA ROGERS
Commission # 1905205
Notary Public - California
Santa Cruz County
My Comm. Expires Sep 24, 2014

Jan-Ulrich Thiele (Inventor) / 20 / / 7

Date

State of Actions

County of Assume Do

On 1/2073 before me

(insert pame and title of the officer)

personally appeared <u>Jan-Ulrich Thiele</u> (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature <u>(\*) / / / / / / / / / / / / / / / / Signature of Netary Public</u>

(Seal)

middle -
Michael A. Seigler (Inventor) //-みんつの
Oate

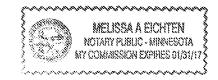
State of			
County of HENNEPIN	i i		
On NOV 26, 2013 before me,	Melissa	Eichten,	Notary
	(insert na	ame and little of the offi	cer)
personally appeared Michael A. Seigler (Inve			

personally appeared Michael A. Seigler (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of \_\_\_\_\_\_\_ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Notary Public (Seal)



Marie S	
WS-b-3-0	
Werner Scholz (Inventor)	15/13

State of)	
County of Hennepin	
on NOV 15, 2013 before me,	Melissa Eichten, Notare
	(insert name and title of the officer)

personally appeared <u>Werner Scholz</u> (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

certify under PENALTY OF PERJURY under the laws of the State of \_\_\_\_\_\_\_ that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Notani Rublic Signature of Notani Rublic



Kim Y, Lee (inventor)

State of <u>California</u>

County of MAMICA

on Nov. 21, 2013

before me.

40400 GORS, NZ (insert name and title of the

(insert name and title of the officer)

personally appeared Kim Y. Lee (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of <u>AUTOVING</u> that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signatbre.....

Signature of Notary Public

(Seal)

CYNTHIA ROCERS
Commission # 1905205
Notary Public - California
Santa Cruz County
My Comm. Expires Sep 24, 2014

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(Date		A STATE OF THE STA	Priming.
David S. K	uo (Inventor	8.000	
	Date	12-20.	-20/3

State of <u>California</u>	)			
County of <u>Alameda</u>	)			
On <u>December Z//</u> ,	2013 before me,	Asha C. Zahrt, Not (insert name	ary Public and title of the o	fficer)
personally appeared <b>David</b> whose name is subscribed to capacity, and that by his sig executed the instrument.	o the within instrument a	nd acknowledged to	me that he exec	story evidence to be the person uted the same in his authorized of which the person acted,
I certify under PENALTY OF paragraph is true and correct		ws of the State of	California	that the foregoing

WITNESS my hand and official seal.

Signature (Seal)
Signature of Notary Public

ASHA C. ZAHET
COMM. \$2025495 ±
Notary Public - California &
Santa Clara County
My Comm. Expires Nay 20, 2017

Koichi Wago (Inventor)

State of A Kana

County of PAVILYNECK

on Novi. 21, 2013

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personally appeared Koichi Waqo (Inventor), who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of A 1 TOVN A that the foregoing paragraph is true and correct.

(Seal)

WITNESS my hand and official seal.

Signature of Notary Public

CYNTHIA ROCERS
Commission # 190527\*
Notary Public - Califoranta Cruz County
My Comm. Expires Ser

CYNTHIA ROGERS
Commission # 1905205
Notary Public - California
Santa Cruz County
My Comm. Expires Sep 24, 2014

RECORDED: 05/13/2014