

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	HITACHI, LTD.	11/19/2003
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	Trading Technologies International, Inc.	
<b>Street Address:</b>	222 S. Riverside Plaza, Suite 1100	
<b>City:</b>	Chicago	
<b>State/Country:</b>	ILLINOIS	
<b>Postal Code:</b>	60606	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	14278652
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(312)913-0002	
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<b>ATTORNEY DOCKET NUMBER:</b>	031106CIPUS08	
<b>NAME OF SUBMITTER:</b>	JORI R. FULLER	
<b>SIGNATURE:</b>	/Jori R. Fuller/	
<b>DATE SIGNED:</b>	05/15/2014	
<b>Total Attachments: 4</b>		
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source=03-1106-Z4-CON3_Assignment_3#page3.tif		
source=03-1106-Z4-CON3_Assignment_3#page4.tif		

## ASSIGNMENT

Case No.: 369992.109

Serial No.: 09/651,301

Inventors: Richard W. Friesen and Mark C. Klizas

Date of Execution of Application: 11/10/00

Filing Date: August 30, 2000 (claiming priority to 60/151,468 filed on August 30, 1999)

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, Hitachi, Ltd. hereby assigns to:

**Trading Technologies International, Inc., a corporation of the State of Delaware**

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, having U.S. Patent Application No. 09/651,301 entitled:

**User Interface for Semi-Fungible Trading**

naming Richard W. Friesen and Mark C. Klizas as inventors and identified as:

**Case No. 36992.109**

in the offices of Squire, Sanders and Dempsey L.L.P. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned, except for non-exclusive licenses given to Hitachi, Ltd., its current and future subsidiaries, and its pre-existing cross licensees.


For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient,

**PATENT**

**REEL: 032904 FRAME: 0583**

and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper.

WITNESS my hand and seal this 19 day of Nov., 2003

  
\_\_\_\_\_  
Hitachi, Ltd.

Name: Takahito Karasawa

Title: Manager, Intellectual Property Group

State of

County of

The foregoing instrument was acknowledged before me this \_\_\_\_ day of

\_\_\_\_, \_\_\_\_ by

\_\_\_\_\_  
NOTARY PUBLIC

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and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper.

Agreed To:



Hitachi, Ltd. Yasuo SAKUTA, Patent Attorney  
Executive Officer,  
Intellectual Property Group  
(Authorized Signing Officer)

November 19, 2003

Date: \_\_\_\_\_