

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT2863565

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BIAO HE	01/29/2014
MICHAEL MANN	05/05/2014
DAVID M. JABLONS	01/29/2014
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2014012466
CORRESPONDENCE DATA	
Fax Number:	(650)327-3231
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Address Line 4:	EAST PALO ALTO, CALIFORNIA 94303
ATTORNEY DOCKET NUMBER:	UCSF-481WO
NAME OF SUBMITTER:	RUDY J. NG
SIGNATURE:	/Rudy J. Ng, Reg. No. 56,741/
DATE SIGNED:	05/20/2014
Total Attachments: 2	
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source=UCSF-481WO_Exec_Assignment#page2.tif	

ASSIGNMENT

Atty Docket No.: UCSF-481WO
UCSF Case No.: SF11-156-3

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Biao He
2. Michael Mann
3. David M. Jablons

hereby sells, assigns and transfers to ASSIGNEE, **The Regents of the University of California**, a California Corporation, having its statewide administrative offices located **1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200**, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"Targeting Gli Proteins in Human Cancer by Small Molecules"

and which is found in

- (a) ☐ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) ☐ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) ☐ U.S. application serial no. _____ filed on _____
- (d) ☐ U.S. Patent No.: _____, issued _____
- (e) ☒ PCT Patent Application No. PCT/US2014/012466, filed on January 22, 2014

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter parties review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

1) 29th day of January, 2014

2) _____ day of _____, 20__

3) 29th day of January, 2014

Signature of Inventor(s)

Biao He

Michael Mann

David M. Jablons

Assignee hereby accepts this Assignment: *[Signature]*

Date: 5/15/14

PATENT

REEL: 032934 FRAME: 0550

ASSIGNMENT

Atty Docket No.: UCSF-481WO
UCSF Case No.: SF11-156-3

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"Targeting Gli Proteins in Human Cancer by Small Molecules"

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- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) _____ U.S. application serial no. _____ filed on _____
- (d) _____ U.S. Patent No.: _____, issued _____
- (e) XX PCT Patent Application No. PCT/US2014/012466, filed on January 22, 2014

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

1) _____ day of _____, 20____

2) 5 day of May, 2014

3) _____ day of _____, 20____

Biao He

Michael Mann

David M. Jablons

Assignee hereby accepts this Assignment:

Date: 5/15/14

PATENT