

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT2868059

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MICHAEL STEFFEN	05/20/2014
JESSE HERLOCKER	05/08/2014
JEFFREY MICHAEL FRIES	05/05/2014
RECEIVING PARTY DATA	
Name:	GENERAL ELECTRIC COMPANY
Street Address:	1 RIVER ROAD
City:	SCHENECTADY
State/Country:	NEW YORK
Postal Code:	12345
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	14285231
Application Number:	61835830
CORRESPONDENCE DATA	
Fax Number:	(203)944-6712
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	203-944-6710
Email:	gpo.mail@ge.com
Correspondent Name:	GE GPO- TRANSPORTATION- THE SMALL PATENT
Address Line 1:	PO BOX 861
Address Line 2:	2 CORPORATE DRIVE, SUITE 648
Address Line 4:	SHELTON, CONNECTICUT 06484
ATTORNEY DOCKET NUMBER:	270075-3
NAME OF SUBMITTER:	JOHN A. KRAMER
SIGNATURE:	/John A. Kramer/
DATE SIGNED:	05/22/2014
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 5	
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REEL: 032952 FRAME: 0404

**COMBINED DECLARATION AND GLOBAL ASSIGNMENT
FOR UTILITY OR DESIGN PATENT APPLICATION**

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

SYSTEMS AND METHOD FOR CONTROLLING WARNINGS AT VEHICLE CROSSINGS

- ☐ the specification of which is attached hereto OR
- ☒ United States application or PCT international application number
14285231 filed on May 22, 2014, as
amended on [date] (if applicable).
(We hereby authorize and request the Company or its delegated attorneys or agents to
insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Michael		Steffen	of	Lee's Summit	MO	US
Jesse		Herlocker	of	Melbourne	FL	US
Jeffrey	Michael	Fries	of	Grain Valley	MO	US

hereby sell and assign to:

Name of Company: <i>General Electric Company</i>
Entity Type (optional): <i>New York corporation</i>
Address: <i>1 River Road, Schenectady, New York 12345 USA</i>

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title
US	PR	61/835830	06-17-2013	Systems and Methods for Controlling Warnings at Vehicle Crossings

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1

Signature: 
MICHAEL STEFFEN

Date: 05/20/2014

Witnessed by: 
Signature

Date: 5-20-14

RANDY O'NEIL
Printed Name of Witness

Witnessed by: 
Signature

Date: 05-20-2014

Jeff Dutton
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

MICHAEL STEFFEN

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **MICHAEL STEFFEN**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

(Notary Public)

INVENTOR 2

Signature: _____
JESSE HERLOCKER

Date: _____

Witnessed by: _____
Signature

Date: _____

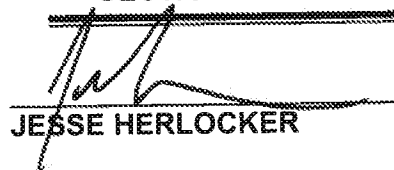
Printed Name of Witness

Witnessed by: _____
Signature

Date: _____

Printed Name of Witness

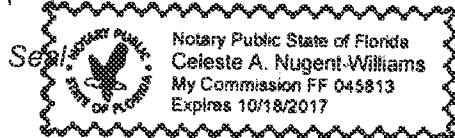
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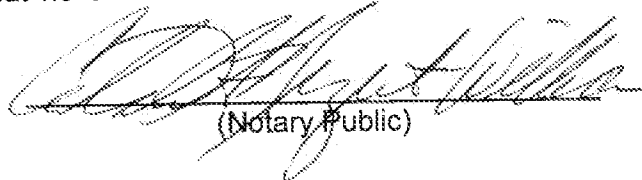

JESSE HERLOCKER

Date: 05/08/2014

STATE OF Florida
COUNTY OF Brevard ss.

This 8 day of May, 2014 before me personally came the above-named **JESSE HERLOCKER**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.




(Notary Public)

INVENTOR 3

Signature: Jeffrey Michael Fries
JEFFREY MICHAEL FRIES

Date: 05/05/14

Witnessed by: Alyssa Fries
Signature

Date: 5/5/14

Alyssa Fries
Printed Name of Witness

Witnessed by: Michael Carter
Signature

Date: 5/5/2014

Michael Carter
Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

JEFFREY MICHAEL FRIES

Date: _____

STATE OF

ss.

COUNTY OF

This _____ day of _____, _____ before me personally came the above-named **JEFFREY MICHAEL FRIES**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

(Notary Public)