

05/20/2014

Form PTO-1595 (Rev. 06-12)
OMB No. 0651-0027 (exp. 04/30/2015)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

103667648

05.20.14

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Expression Technologies Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 14, 2014

- ☐ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Joint Research Agreement
- ☐ Government Interest Assignment
- ☐ Executive Order 9424, Confirmatory License
- ☒ Other company dissolved

2. Name and address of receiving party(ies)

Name: Chuan Li

Internal Address: Unit 96

Street Address: 4335 Nobel Drive

City: San Diego

State: California

Country: USA Zip: 92122

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

10/068,664

B. Patent No.(s)

US 7,820,435 B2

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Chuan Li

Internal Address: Unit 96

Street Address: 4335 Nobel Drive

City: San Diego

State: CA Zip: 92122

Phone Number: 858 558-1861

Docket Number: NA

Email Address: chuanli@exptec.com

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

- ☐ Authorized to be charged to deposit account
- ☒ Enclosed
- ☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number

Authorized User Name

9. Signature:

Signature

May 14, 2014

Date

Chuan Li

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450PATENT
REEL: 033001 FRAME: 0895



State of California Secretary of State

DISS STK

01159674

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

DEC 03 2012

Domestic Stock Corporation Certificate of Dissolution

There is no fee for filing a Certificate of Dissolution.

IMPORTANT - Read instructions before completing this form.

This Space For Filing Use Only

Corporate Name (Enter the name of the domestic stock corporation exactly as it is of record with the California Secretary of State.)

1. Name of corporation

EXPRESSION TECHNOLOGIES INCORPORATED

Required Statements (The following statements are required by statute and should not be altered.)

2. A final franchise tax return, as described by California Revenue and Taxation Code section 23332, has been or will be filed with the California Franchise Tax Board, as required under the California Revenue and Taxation Code, Division 2, Part 10.2 (commencing with Section 18401). The corporation has been completely wound up and is dissolved.

Debts & Liabilities (Check the applicable statement. Note: Only one box may be checked.)

3. ☐ The corporation's known debts and liabilities have been actually paid.

☒ The corporation's known debts and liabilities have been paid as far as its assets permitted.

☐ The corporation's known debts and liabilities have been adequately provided for by their assumption and the name and address of the assumer is _____

☐ The corporation's known debts and liabilities have been adequately provided for as far as its assets permitted.

(Specify in an attachment to this certificate (incorporated herein by this reference) the provision made and the address of the corporation, person or governmental agency that has assumed or guaranteed the payment, or the name and address of the depository with which deposit has been made or other information necessary to enable creditors or others to whom payment is to be made to appear and claim payment.)

☐ The corporation never incurred any known debts or liabilities.

Assets (Check the applicable statement. Note: Only one box may be checked.)

4. ☒ The known assets have been distributed to the persons entitled thereto.

☐ The corporation never acquired any known assets.

Election (Check the "YES" or "NO" box, as applicable. Note: If the "NO" box is checked, a Certificate of Election to Wind Up and Dissolve pursuant to Corporations Code section 1901 must be filed prior to or together with this Certificate of Dissolution.)

5. The election to dissolve was made by the vote of all the outstanding shares. ☒ YES ☐ NO

Verification & Execution (If additional signature space is necessary, the dated signature(s) with verification(s) may be made on an attachment to this certificate. Any attachments to this certificate are incorporated herein by this reference.)

6. The undersigned constitute(s) the sole director or a majority of the directors now in office. I declare under penalty of perjury under the laws of the State of California that the matters set forth in this certificate are true and correct of my own knowledge.

November 28, 2012

Date

Signature of Director



Chuan Li

Type or Print Name of Director

Signature of Director

Type or Print Name of Director

Signature of Director

Type or Print Name of Director

DISS STK (REV 12/2010)

APPROVED BY SECRETARY OF STATE

PATENT

REEL: 033001 FRAME: 0896

Application Data Sheet**Application Information**

Application Type:: Regular
Subject Matter:: Utility
Suggested Classification::
Suggested Group Art Unit::
CD-ROM or CD-R? None
Title:: De novo synthesized plasmid, methods of making and
use thereof
Attorney Docket Number::
Request for Early Publication?:: No
Request for Non-Publication?:: No
Suggested Drawing Figure:: 5
Total Drawing Sheets:: 5
Small Entity:: Yes
Petition included?:: No
Secrecy Order in Parent Appl.?:: No

Applicant Information

Applicant Authority type:: Inventor
Primary Citizenship Country: China
Status:: Full Capacity
Given Name:: Chuan
Middle Name::
Family Name:: Li
City of Residence:: San Diego
State or Province of Residence:: CA
Country of Residence:: US
Street of mailing address:: 7908 Avenida Navidad
Apt. 158

City of mailing address:: San Diego
State or Province of mailing address:: CA
Postal or Zip Code of mailing address:: 92122

Correspondence Information

Name:: Chuan Li
Street of mailing address:: 7908 Avenida Navidad
Apt. 158
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State or Province of mailing address:: CA
Postal or Zip Code of mailing address:: 92122
Telephone:: (858) 550-0594, (858) 361-7231
E-Mail address:: eti@znet.com

Assignee Information

Assignee Name:: Expression Technologies Inc.

[This application has no priority claims]

Chuan Li, Ph.D.

4335 Nobel Dr., Unit 96

San Diego, CA 92122

Mail Stop Assignment Recordation Services

Director of the USPTO

PO Box 1450

Alexandria, VA 22313-1450

May 14, 2014

To whom it may concern:

I, Chuan Li, was the president of Expression Technologies Inc. The company was dissolved. The ownership of the patent: DE NOVO SYNTHESIZED PLASMID, METHOD OF MAKING AND USE THEREOF (Application No. 10/068,664 and Patent No. US 7,820,435 B2) is changed to its inventor Chuan Li.

A copy of Certificate of Dissolution and copies of proof of assignment are included (Application Data Sheet and Filing Receipt) for the record. A check of total fee of \$40 is also included.

Sincerely,

A handwritten signature in cursive script that reads "Chuan Li".

Chuan Li



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/068,664	02/06/2002	1653	370		5	15	3

CONFIRMATION NO. 8973

Chuan Li
Apt. 158
7908 Avenida Navidad
San Diego, CA 92122

FILING RECEIPT



OC000000008922954

Date Mailed: 10/08/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Chuan Li, San Diego, CA;

Assignment For Published Patent Application

Expression Technologies Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/08/2002

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

De novo synthesized plasmid, methods of making and use thereof

Preliminary Class